SUBMISSION COVER SHEET		
IMPORTANT: Check box if Confidential Treatment is requested		
Registered Entity Identifier Code (optional): <u>17-383</u>		
Organization: Commodity Exchange, Inc. ("COMEX")		
Filing as a: DCM SEF DCO	SDR	
Please note - only ONE choice allowed.		
Filing Date (mm/dd/yy): <u>September 27, 2017</u> Filing Desc of Amendments Related to Product Terms and Conditi	<del>-</del>	
2017)	ions (week of September 18,	
SPECIFY FILING TYPE		
Please note only ONE choice allowed per Submission.		
Organization Rules and Rule Amendments		
Certification	§ 40.6(a)	
Approval	§ 40.5(a)	
Notification	§ 40.6(d)	
Advance Notice of SIDCO Rule Change	§ 40.10(a)	
SIDCO Emergency Rule Change	§ 40.10(h)	
Rule Numbers:		
	product per Submission.	
Certification	§ 40.2(a)	
Certification Security Futures	§ 41.23(a)	
Certification Swap Class	§ 40.2(d)	
Approval	§ 40.3(a)	
Approval Security Futures	§ 41.23(b)	
Novel Derivative Product Notification	§ 40.12(a)	
Swap Submission  Official Product Name:	§ 39.5	
	Dula Amanduranta)	
Product Terms and Conditions (product related Rules and		
Certification	§ 40.6(a)	
Certification Made Available to Trade Determination	§ 40.6(a)	
Certification Security Futures	§ 41.24(a)	
Delisting (No Open Interest)	§ 40.6(a)	
Approval	§ 40.5(a)	
Approval Made Available to Trade Determination	§ 40.5(a)	
Approval Security Futures	§ 41.24(c)	
Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)	
"Non-Material Agricultural Rule Change"	§ 40.4(b)(5)	
Notification  Official Name(s) of Product(s) Affected: See filing.	§ 40.6(d)	
Rule Numbers: See filing.		



September 27, 2017

#### **VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, DC 20581

RE: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. ("COMEX" or

"Exchange") Weekly Notification of Amendments Related to Product Terms and

Conditions.

**COMEX Submission No. 17-383** 

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of September 18, 2017.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Busan, Republic of Korea. The marketplace was informed of the approval via MKR #09-18-17, which is attached hereto as Exhibit A.

On September 18, 2017, the Exchange approved the request of C. Steinweg – Handelsveem B.V. for the withdrawal of regularity for lead from their warehouse located in Johor, Malaysia. The marketplace was informed of the approval via MKR #09-18-17B, which is attached hereto as Exhibit B.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of lead and zinc deliverable against the COMEX Lead and COMEX Zinc futures contracts at their warehouse in Johor, Malaysia. The marketplace was informed of the approval via MKR #09-18-17C, which is attached hereto as Exhibit C.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Singapore, Republic of Singapore. The marketplace was informed of the approval via MKR #09-18-17D, which is attached hereto as Exhibit D.

On September 18, 2017, the Exchange approved the request of Henry Bath Singapore Pte Ltd for the withdrawal of regularity for lead from their warehouse located in Pork Klang, Malaysia. The marketplace was informed of the approval via MKR #09-18-17E, which is attached hereto as Exhibit E.

On September 18, 2017, the Exchange received and approved the application of Henry Bath Singapore Ptd Ltd for regularity for the storage of lead and zinc deliverable against the COMEX Lead and COMEX Zinc futures contracts at their warehouse in Port Klang, Malaysia. The marketplace was informed of the approval via MKR #09-18-17F, which is attached hereto as Exhibit F.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg Belgium N.V. for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Antwerp, Belgium. The marketplace was informed of the approval via MKR #09-18-17G, which is attached hereto as Exhibit G.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Bilbao, Spain. The marketplace was informed of the approval via MKR #09-18-17H, which is attached hereto as Exhibit H.

On September 18, 2017, the Exchange received and approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Rotterdam, The Netherlands. The marketplace was informed of the approval via MKR #09-18-17I, which is attached hereto as Exhibit I.

On September 18, 2017, the Exchange received and approved the application of Engelhart Warehousing (US) LLC for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their location in Owensboro, KY (2300 Harbor Rd). The marketplace was informed of the approval via MKR #09-18-17J, which is attached hereto as Exhibit J.

On September 18, 2017, the Exchange received and approved the application of Engelhart Warehousing (US) LLC for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their location in Owensboro, KY (Warehouse 3). The marketplace was informed of the approval via MKR #09-18-17K, which is attached hereto as Exhibit K.

In accordance with these approvals, the Exchange updated the COMEX Rulebook Chapter 7 Chart ("Chart") for "Service Providers". The Chart is attached under separate cover, in blackline format, as Exhibit L.

If you require any additional information, please e-mail <a href="mailto:CMEGSubmissionInquiry@cmegroup.com">CMEGSubmissionInquiry@cmegroup.com</a> or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #09-18-17

Exhibit B – MKR #09-18-17B Exhibit C – MKR #09-18-17C Exhibit D – MKR #09-18-17D Exhibit E – MKR #09-18-17E Exhibit F – MKR #09-18-17F Exhibit G – MKR #09-18-17G Exhibit H – MKR #09-18-17H Exhibit J – MKR #09-18-17J Exhibit J – MKR #09-18-17J

Exhibit K – MKR #09-18-17K

Exhibit L – COMEX Rulebook Chapter 7 Chart for "Service Providers" (attached under

separate cover) (blackline format)

# **EXHIBIT A**

DATE: September 18, 2017

MKR#: 09-18-17

SUBJECT: REGULARITY APPROVAL FOR ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of C. **Steinweg – Handelsveem B.V.** for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Busan, Republic of Korea.

The approved capacity at this facility is 5,000 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling	
To truck per metric ton	\$33.00
To railcar per metric ton	n/a
Storage	
Inside per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton	\$8.00
Facility Receipt	
Issue (each)	n/a
Replacement (each)	n/a
Bill of Lading	
Per truck	n/a
Per railcar	n/a

Metal Rewarranting per metric ton	\$10.00	
Additional Labor per man hour (1 hour minimum)	\$85.00	
Maximum Charge(s)	See note 2	
Note 1: Inbound handling from truck, container, rail car or vessel to be billed to cargo interest separately.		
Note 2: Maximum charge(s) not applicable. All charges rendered pro-rate per the "Unit of Charge" described above.		

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

### Market Surveillance:

## **EXHIBIT B**

DATE: September 18, 2017

MKR#: 09-18-17B

SUBJECT: Withdrawal of Lead Regularity from an Approved Warehouse

Please be advised that **C. Steinweg – Handelsveem B.V.**, an existing warehouse for the storage of lead has requested from the Exchange that its facility located in Johor, Malaysia (132, PLO 142 Pasir Gudang) be withdrawn from the exchange for Lead Regularity. This location will no longer be available for the storage of Zinc deliverable against the respective COMEX Futures contract.

The withdrawal of regularity is effective immediately

Please refer questions on this subject to:

#### **Market Surveillance**

Spencer McGowan <u>spencer.mcgowan@cmegroup.com</u> (312) 435-3662

The Commodity Futures Trading Commission (CFTC) will be notified of this withdrawal in regularity during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

## **EXHIBIT C**

DATE: September 18, 2017

MKR#: 09-18-17C

SUBJECT: REGULARITY APPROVAL FOR LEAD AND ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of C. **Steinweg – Handelsveem B.V.** for regularity for the storage of lead and zinc deliverable against the COMEX Lead and COMEX Zinc futures contracts at their warehouse in Johor, Malaysia (4FZ2, Lot PTD 119687).

The approved capacity at this facility is 3,000 metric tons for both lead and zinc.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling	
To truck per metric ton	\$31.00
To railcar per metric ton	n/a
Storage	
Inside per metric ton per month or	\$13.00
part thereof	
Weighing Charge per metric ton	\$8.00
Facility Receipt	
Issue (each)	\$50.00
Replacement (each)	\$50.00
Bundle Weight Packing List	
Per Receipt	\$75.00
Metal Rewarranting per metric ton	\$10.00
Additional Labor per man hour (1 hour minimum)	\$85.00
Maximum Charge(s)	See note 2
Note 1: Inbound handling from truck,	
container, rail car or vessel to be billed	
to cargo interest separately.	
Note 2: Maximum charge(s) not applicable. All charges rendered pro-rate per	
the "Unit of Charge" described above.	

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

**Market Surveillance:** 

# **EXHIBIT D**

DATE: September 18, 2017

MKR#: 09-18-17D

SUBJECT: REGULARITY APPROVAL FOR ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of C. **Steinweg – Handelsveem B.V.** for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Singapore, Republic of Singapore.

The approved capacity at this facility is 3,000 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling	
To truck per metric ton	\$33.00
To railcar per metric ton	n/a
Storage	
Inside per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton	\$8.00
Facility Receipt	
Issue (each)	\$50.00
Replacement (each)	\$50.00
Bundle Weight Packing List	
Per Receipt	\$75.00

Metal Rewarranting per metric ton	\$10.00	
Additional Labor per man hour (1 hour minimum)	\$85.00	
Maximum Charge(s)	See note 2	
Note 1: Inbound handling from truck, container, rail car or vessel to be billed to		
cargo interest separately.		
Note 2: Maximum charge(s) not applicable. All charges rendered pro-rate per the "Unit of Charge" described above.		

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

### Market Surveillance:

## **EXHIBIT E**

DATE: September 18, 2017

MKR#: 09-18-17E

SUBJECT: Withdrawal of Lead Regularity from an Approved Warehouse

Please be advised that **Henry Bath Singapore Pte Ltd**, an existing Warehouse for the storage of lead has requested from the Exchange that its facility located in Pork Klang, Malaysia (Warehouse BD2B) be withdrawn from the exchange for Lead Regularity. This location will no longer be available for the storage of Zinc deliverable against the respective COMEX Futures contract.

The withdrawal of regularity is effective immediately

Please refer questions on this subject to:

#### **Market Surveillance**

Spencer McGowan <u>spencer.mcgowan@cmegroup.com</u> (312) 435-3662

The Commodity Futures Trading Commission (CFTC) will be notified of this withdrawal in regularity during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

## **EXHIBIT F**

DATE: September 18, 2017

MKR#: 09-18-17F

SUBJECT: REGULARITY APPROVAL FOR LEAD AND ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Henry Bath Singapore Ptd Ltd** for regularity for the storage of lead and zinc deliverable against the COMEX Lead and COMEX Zinc futures contracts at their warehouse in Port Klang, Malaysia (Warehouse BD2A).

The approved capacity at this facility is 29,403 metric tons for both lead and zinc.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Handling: Inbound from FOT to in Warehouse per Metric Ton:	\$7.50
Handling: Inbound from FOR to in Warehouse per Metric Ton:	N/A
Storage: Charges per Metric Ton per Month:	\$15.50
Handling: Outbound from in Warehouse to FOT per Metric Ton:	\$45.00
Handling: Outbound from in Warehouse to FOR per Metric Ton:	N/A
Weighing Charges per Metric Ton:	\$8.00
Preparation of Facility Receipt per Batch:	\$75.00
Replacement of Facility Receipt per Batch:	\$75.00
Issuance of Bill of Lading:	\$12.50
Labor Rate per Hour:	\$75.00
Blocking and Bracing	On request

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

#### Market Surveillance:

# **EXHIBIT G**

DATE: September 18, 2017

MKR#: 09-18-17G

SUBJECT: REGULARITY APPROVAL FOR ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of C. **Steinweg Belgium N.V.** for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Antwerp, Belgium.

The approved capacity at this facility is 25,000 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling per metric ton (Truck)	\$36.00
Storage – Indoor per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton	\$8.00
Facility Receipt Issue (each)	\$50.00
Facility Receipt Replacement (each)	\$50.00
Bill of Lading Issue	\$30.00
Additional Labor per man hour (1 hour minimum)	\$85.00

Note 1: Inbound handling from truck, container, rail car or vessel to be billed to cargo interest separately.

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

#### Market Surveillance:

# **EXHIBIT H**

DATE: September 18, 2017

MKR#: 09-18-17H

SUBJECT: REGULARITY APPROVAL FOR ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **C. Steinweg – Handelsveem B.V.** for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Bilbao, Spain.

The approved capacity at this facility is 4,280 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling per metric ton (Truck)	\$36.00
Storage – Indoor per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton	\$8.00
Facility Receipt Issue (each)	\$50.00
Facility Receipt Replacement (each)	\$50.00
Bill of Lading Issue	\$30.00
Additional Labor per man hour (1 hour minimum)	\$85.00
Note A laboration illustration of the state	

Note 1: Inbound handling from truck, container, rail car or vessel to be billed to cargo interest separately.

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

#### Market Surveillance:

## **EXHIBIT I**

DATE: September 18, 2017

MKR#: 09-18-17I

SUBJECT: REGULARITY APPROVAL FOR ZINC

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **C. Steinweg – Handelsveem B.V.** for regularity for the storage of zinc deliverable against the COMEX Zinc futures contract at their warehouse in Rotterdam, The Netherlands.

The approved capacity at this facility is 5,000 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b.

At all times, the regular warehouse shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders.

The storage and handling charges are as follows:

Inbound Handling per metric ton	See note 1
Outbound Handling per metric ton (Truck)	\$36.00
Storage – Indoor per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton	\$8.00
Facility Receipt Issue (each)	\$50.00
Facility Receipt Replacement (each)	\$50.00
Bill of Lading Issue	\$30.00
Additional Labor per man hour (1 hour minimum)	\$85.00

Note 1: Inbound handling from truck, container, rail car or vessel to be billed to cargo interest separately.

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

#### Market Surveillance:

# **EXHIBIT J**

DATE: September 18, 2017

MKR#: 09-18-17J

**SUBJECT:** Regularity Approval for Copper

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Engelhart Warehousing (US) LLC** for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their location in Owensboro, KY (2300 Harbor Rd). The approved capacity at this warehouse is 55,115 short tons.

The rates that have been approved by the Exchange to be published on behalf of Engelhart Warehousing (US) LLC for copper are as follows:

Storage – Indoor per short ton per month	\$11.00
Inbound Handling per short ton (rail only)	\$5.50
Outbound Handling per short ton (truck and rail)	\$45.00
Weighing Charge per short ton	\$5.00
Facility Receipt (Issue)	\$25.00
Facility Receipt (Replacement)	\$100.00

Please refer questions on this subject to:

# Market Surveillance:

Terry Gehring <u>Terry.Gehring@cmegroup.com</u> (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

# **EXHIBIT K**

DATE: September 18, 2017

MKR#: 09-18-17K

**SUBJECT:** Regularity Approval for Copper

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Engelhart Warehousing (US) LLC** for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their location in Owensboro, KY (Warehouse 3). The approved capacity at this warehouse is 22,046 short tons.

The rates that have been approved by the Exchange to be published on behalf of Engelhart Warehousing (US) LLC for copper are as follows:

Storage – Indoor per short ton per month	\$11.00
Inbound Handling per short ton (rail only)	\$5.50
Outbound Handling per short ton (truck and rail)	\$45.00
Weighing Charge per short ton	\$5.00
Facility Receipt (Issue)	\$25.00
Facility Receipt (Replacement)	\$100.00

Please refer questions on this subject to:

# Market Surveillance:

Terry Gehring <u>Terry.Gehring@cmegroup.com</u> (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 25, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

# **EXHIBIT L**

# COMEX Rulebook Chapter 7 Chart "Service Providers"

(attached under separate cover)

(additions underlined, deletions overstruck)