

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): **18-343**

Organization: **The Board of Trade of the City of Chicago, Inc. ("CBOT")**

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): **09/07/18** Filing Description: **Expansion of the Listing Schedule of the Short Dated Options on New Crop Corn Futures and Short Dated Options on New Crop Soybean Futures Contracts**

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

**Official Product Name:** \_\_\_\_\_

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

**Official Name(s) of Product(s) Affected:** Rough Rice Futures and Options

**Rule Numbers:** N/A

September 7, 2018

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
 Office of the Secretariat  
 Commodity Futures Trading Commission  
 Three Lafayette Centre  
 1155 21st Street, N.W.  
 Washington, DC 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Expansion of the Listing Schedule of the Short Dated Options on New Crop Corn Futures and Short Dated Options on New Crop Soybean Futures Contracts. CBOT Submission No. 18-343**

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. (“CBOT” or the “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying the expansion of the listing schedule of the Short Dated Options on New Crop Corn Futures and Short Dated Options on New Crop Soybean Futures contracts (the “Contracts”) for trading on the CBOT trading floor and the CME Globex electronic trading platform and for submission of clearing via CME ClearPort effective on Sunday, September 23, 2018 for trade date Monday, September 24, 2018, as more specifically described in the table below.

Contract Title	CME Globex Code/CME ClearPort Code	Rulebook Chapter	Current Trading and Clearing Listing Schedule	Expanded Trading and Clearing Listing Schedule
Short Dated Options on New Crop Corn Futures	OCD/CDF	10A	18 months of Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, and Sep listed in Sep, all expiring into the nearest December.	24 months of Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, Sep, Oct, Nov, Dec listed in Sep, with the Jan-Sep expiring into the nearest December and the Oct-Dec expiring into the next December.
Short Dated Options on New Crop Soybean Futures	OSD/SDF	11A	18 months of Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, and Sep listed in Sep, all expiring into the nearest November.	24 months of Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, Sep, Oct, Nov, Dec listed in Sep, with the Jan-Sep expiring into the nearest November and the Oct-Dec expiring into the next November.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the expansion of the listing schedule of the Contracts may have some bearing on the following Core Principles:

- **Availability of General Information**: CBOT will issue a Special Executive Report (“SER”) o the marketplace regarding these amendments. The SER will also be posted on the CME Group website.
- **Daily Publication of Trading Information**: CBOT will continue to comply with this Core Principle by making public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contracts which will be published daily on the Exchange’s website.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the expansion of the listing schedule of the Contracts comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this submission, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact me at 212-299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel