

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 22-384

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 09/06/22 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of August 29, 2022)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

September 6, 2022

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
NYMEX Submission No. 22-384**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of August 29, 2022.

Effective August 30, 2022, the Exchange approved certain London Platinum and Palladium Market (“LPPM”) good delivery brands for delivery against the Exchange’s Platinum Futures (PL) and Palladium Futures (PA) contracts. The list of brands is located in the “Platinum (PL) Brands” and “Palladium (PA) Brands” tabs in the [service providers table](#) at the end of Chapter 7 of the NYMEX Rulebook. These approvals will increase the brands of available material that can be used to satisfy delivery requirements of the Platinum Futures (PL) and Palladium Futures (PA) contracts and will afford market participants expanded delivery options. The marketplace was alerted of the changes via MKR #08-30-22, which is attached hereto as Exhibit A.

In accordance with the changes above, the Exchange updated the NYMEX Rulebook Chapter 7 “Service Providers” spreadsheet (“Spreadsheet”) for “Platinum (PL) Brands” and “Palladium (PA) Brands.” The Spreadsheet is attached under separate cover, in blackline format, as Exhibit B.

Also effective August 30, 2022, the Exchange implemented administrative amendments to the Strike Price Listing and Exercise Procedures Table (the “Table”). The amendments include classification of products by asset class and the inclusion of type of margin style of the respective option contracts. No operational changes have been made to the option contracts. Amendments to the table are attached under separate cover, in blackline format, as Exhibit C.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #08-30-22  
Exhibit B – NYMEX Rulebook Chapter 7 – Service Providers (attached under separate cover) (blackline format)  
Exhibit C – Amendments to NYMEX Strike Price Listing and Exercise Procedure Table (attached under separate cover) (blackline format)

## Exhibit A

**DATE:** August 30, 2022

**MKR#:** 08-30-22

**SUBJECT:** Regularity Approvals for Platinum and Palladium Brands

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The New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) has approved certain London Platinum and Palladium Market (“LPPM”) good delivery brands for delivery against the Exchange’s Platinum Futures (PL) and Palladium Futures (PA) contracts. The list of brands are located in the “Platinum (PL) Brands” and “Palladium (PA) Brands” tabs in the [service providers table](#) at the end of Chapter 7 of the NYMEX Rulebook. These approvals will increase the brands of available material that can be used to satisfy delivery requirements of the Platinum Futures (PL) and Palladium Futures (PA) contracts and will afford market participants expanded delivery options.

These approvals are effective immediately.

### **Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

312-435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of these approvals during the week of September 5, 2022, via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**Exhibit B**

**NYMEX Rulebook  
Chapter 7  
“Service Providers”**

(additions underlined, deletions overstruck)

(attached under separate cover)

**Exhibit C**

**Amendments to the NYMEX  
Strike Price Listing and Exercise Table**

(additions underlined, deletions overstruck)

(attached under separate cover)