

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-332

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 08/22/16 **Filing Description:** Amendment to the Soybean Option Listing Schedule

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers:

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: Options on Soybean Futures

Rule Numbers: CBOT Chapter 11A, 11A01.A

August 22, 2016

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

RE: CFTC Regulation 40.6(a) Notification. Amendment to the Listing Schedule of the Options on Soybean Futures Contract. CBOT Submission No. 16-332

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying an amendment to the listing schedule of the Options on Soybean futures contract (the "Contract") (Rulebook Chapter 11A; Clearing Code: S; Globex Code: OZS; Floor Codes: CZ/PZ; and ClearPort Code: S), which is listed for trading on the CME Globex electronic trading platform, the CBOT trading floor, and for submission for clearing via CME ClearPort, effective on Sunday, September 11, 2016 for trade date Monday, September 12, 2016.

More specifically, the current listing schedule of the Contract lists three (3) consecutive months and lists nine (9) months of January, March, May, July, August, September, and November. The amended listing schedule affects all trading and clearing venues and will list the same expirations as the current cycle plus the next available November expiration (see Appendix A, attached). As a result of the amended listing schedule, the November 2018 contract month of the Contract, which would ordinarily be listed for trading and clearing on July 21, 2017, will now be listed for trade date September 12, 2016.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified that the proposed changes may impact the following Core Principles:

- Prevention of Market Disruption: The amended listing cycle will result in one additional instrument being listed. However, the additional instrument will not affect the Exchange's monitoring and surveillance. As with all products listed for trading on one of CME Group's designated contract markets, activity will be subject to extensive monitoring and surveillance by CME Group's Market Regulation Department.
- Daily Publication of Trading Data: The Exchange will report in a manner consistent with how activity is currently reported for all relevant information on newly listed contract expirations.
- Execution of Transactions: Additional listed contract expirations will not impact the Exchange's order execution, which will continue as before.
- Trade Information: Additional listed contract expirations will be included in the Exchange's Trade Information.

- Protection of Market Participants: Exchange Rules include prohibitions against fraudulent, non-competitive, unfair or abusive practices. These rules will continue to apply with all newly listed expirations.
- Availability of Information: The Exchange will disseminate a Special Executive Report (“SER”) to advise the marketplace of the amendments to the listing schedule. The SER will also be posted on the CME Group website.

The Exchange certifies that the amendments comply with the Act and regulations thereunder. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this action, please contact me at 212-299-2200 or via email at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Current and Amended Listing Schedule

EXHIBIT A

CURRENT LISTING SCHEDULE

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC |
|-----------------------------------|-------------------|-----|-------------------|-----|-------------------|-----|-------------------|-------------------|-------------------|-----|-------------------|-----|
| | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | JAN |
| | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | JAN | FEB |
| | <u>APR</u> | MAY | <u>JUN</u> | JUL | AUG | SEP | <u>OCT</u> | NOV | <u>DEC</u> | JAN | <u>FEB</u> | MAR |
| First 3 consecutive months and | May | Jul | Jul | Aug | Sep | Nov | Nov | Jan | Jan | Mar | Mar | May |
| | Jul | Aug | Aug | Sep | Nov | Jan | Jan | Mar | Mar | May | May | Jul |
| First 9 standard option contracts | Aug | Sep | Sep | Nov | Jan | Mar | Mar | May | May | Jul | Jul | Aug |
| | Sep | Nov | Nov | Jan | Mar | May | May | Jul | Jul | Aug | Aug | Sep |
| | Nov | Jan | Jan | Mar | May | Jul | Jul | Aug | Aug | Sep | Sep | Nov |
| | Jan | Mar | Mar | May | Jul | Aug | Aug | Sep | Sep | Nov | Nov | Jan |
| | Mar | May | May | Jul | <u>Aug</u> | | <u>Sep</u> | <u>Nov</u> | Nov | Jan | Jan | Mar |
| | <u>May</u> | | <u>Jul</u> | | | | | | <u>Jan</u> | | <u>Mar</u> | |

AMENDED LISTING SCHEDULE

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC |
|---------------------------------------|-------------------|-----|-------------------|-----|-------------------|-----|-------------------|-----|-------------------|-----|-------------------|-----|
| | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | JAN |
| | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | JAN | FEB |
| | <u>APR</u> | MAY | <u>JUN</u> | JUL | AUG | SEP | <u>OCT</u> | NOV | <u>DEC</u> | JAN | <u>FEB</u> | MAR |
| First 3 consecutive months and | May | Jul | Jul | Aug | Sep | Nov | Nov | Jan | Jan | Mar | Mar | May |
| | Jul | Aug | Aug | Sep | Nov | Jan | Jan | Mar | Mar | May | May | Jul |
| First 9 standard option contracts and | Aug | Sep | Sep | Nov | Jan | Mar | Mar | May | May | Jul | Jul | Aug |
| | Sep | Nov | Nov | Jan | Mar | May | May | Jul | Jul | Aug | Aug | Sep |
| Plus next available Nov | Nov | Jan | Jan | Mar | May | Jul | Jul | Aug | Aug | Sep | Sep | Nov |
| | Jan | Mar | Mar | May | Jul | Aug | Aug | Sep | Sep | Nov | Nov | Jan |
| | Mar | May | May | Jul | <u>Aug</u> | Nov | <u>Sep</u> | Nov | Nov | Jan | Jan | Mar |
| | <u>May</u> | Nov | <u>Jul</u> | Nov | Nov | | Nov | | <u>Jan</u> | Nov | <u>Mar</u> | Nov |
| | Nov | | Nov | | | | | | <u>Nov</u> | | Nov | |

Note: Contract months that are bold and underlined indicate contracts were added during the previous month on the first business day following an option expiration. Months listed in SMALL CAPS indicate reduced strike price intervals.