

# SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: 6/25/2020

**IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.**

**ORGANIZATION** | Small Exchange, Inc.

**FILING AS A:**     DCM     SEF     DCO     SDR     ECM/SPDC

## TYPE OF FILING

- **Rules and Rule Amendments**

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

- **Products**

- Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

## RULE NUMBERS

Exchange Rules 30003.E., 31003.E. and 32003.E., all titled “Position Limits, Exemptions, Accountability Levels and Reportable Levels” concerning the Small Stocks 75 Index Futures Contracts, Small Precious Metals Index Futures Contracts and Small Dollar Index Futures Contracts, respectively.

## DESCRIPTION

Amendment to Reportable Levels for such Contracts, as set forth herein.