

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 22-212

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 05/10/22 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of May 2, 2022)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

May 10, 2022

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.
COMEX Submission No. 22-212**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of May 2, 2022.

On April 13, 2022, the Exchange certified to the Commission COMEX Submission Nos. 22-095, 22-096, and 22-097 regarding the initial listing of Monday and Wednesday Weekly Gold, Silver, and Copper Option contracts, respectively. [Exhibit B](#) of COMEX Submission No. 22-095, [Exhibit B](#) of COMEX Submission No. 22-096, and [Exhibit B](#) of COMEX Submission No. 22-097 representing the COMEX Position Limit, Position Accountability and Reportable Level Table (the “Table”) contained typographical errors.

On May 2, 2022, the Exchange implemented administrative amendments to the Table to correct the administrative errors in the Commodity Code, Spot-Month Aggregate Into Ratio Leg (1), Single Month Aggregate Into Ratio Leg (1), and All Month Aggregate Into Ratio Leg (1) columns for the Gold Weekly Monday Option – weeks 1-5, Gold Weekly Wednesday Option - weeks 1-5, Silver Weekly Monday Option - weeks 1-5, Silver Weekly Wednesday Option – weeks 1-5, Copper Weekly Monday Option - weeks 1-5, and Copper Weekly Wednesday Option - weeks 1-5 (collectively, the “Contracts”). The Commodity Code, Spot-Month Aggregate Into Ratio Leg (1), Single Month Aggregate Into Ratio Leg (1), and All Month Aggregate Into Ratio Leg (1) columns were erroneously populated with incorrect Clearing Codes. Pursuant to COMEX Submission No. 22-095, 22-096, and 22-097 dated April 13, 2022, the Tables reflected incorrect Clearing Codes GM1, GM2, GM3, GM4, GM5, GW1, GW2, GW3, GW4, GW5, SM1, SM2, SM3, SM4, SM5, SW1, SW2, SW3, SW4, SW5, HM1, HM2, HM3, HM4, HM5, HW1, HW2, HW3, HW4, and HW5. The correct Clearing Codes are G1M, G2M, G3M, G4M, G5M, G1W, G2W, G3W, G4W, G5W, M1S, M2S, M3S, M4S, M5S, W1S, W2S, W3S, W4S, W5S, H1M, H2M, H3M, H4M, H5M, H1W, H2W, H3W, H4W, and H5W, respectively. Amendments to the Table are provided under separate cover in Exhibit A in blackline format.

Also on May 2, 2022, due to the initial listing of Monday and Wednesday Weekly Gold, Silver, and Copper Option contracts, in order to provide a distinction between the new and existing weekly option contracts, the Exchange amended the contract titles of the existing Gold Weekly Option, Silver Weekly Option and Copper Weekly Option contracts to specify the currently listed Friday expiries. The marketplace was advised of these changes via Special Executive Report (“SER”) #8940, which is attached hereto as Exhibit B.

On May 3, 2022, the Exchange added deliverable shapes to the Aluminum Brands for delivery against the Exchange's Aluminum futures contract. The marketplace was alerted of the changes via MKR #05-03-22C, which is attached hereto as Exhibit C.

On May 5, 2022 the Exchange received and approved the application of Henry Bath LLC for regularity for the storage of copper, lead, and zinc deliverable against the respective COMEX Copper, Lead, and Zinc futures contracts at their location in New Orleans, LA. The marketplace was alerted of the changes via MKR #05-05-22B, which is attached hereto as Exhibit D.

In accordance with the changes above, the Exchange updated the COMEX Rulebook Chapter 7 "Service Providers" spreadsheet ("Spreadsheet") for "Aluminum Brands" and "Base Warehouse Weighmasters." The Spreadsheet is attached under separate cover, in blackline format, as Exhibit E.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – Amendments to the COMEX Position Limit, Position Accountability and Reportable Level Table
Exhibit B – SER #8940
Exhibit C – MKR #05-03-22C
Exhibit D – MKR #05-05-22B
Exhibit E – COMEX Rulebook Chapter 7 – Service Providers (attached under separate cover) (blackline format)

Exhibit A

**Amendments to the COMEX
Position Limit, Position Accountability
and Reportable Level Table**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)

Exhibit B



Special Executive Report

DATE: April 6, 2022

SER#: 8940

SUBJECT: Initial Listing of Monday and Wednesday Weekly Option Contracts on Gold, Silver and Copper Futures and Clarification Amendments to the Existing Gold Weekly Option, Silver Weekly Option and Copper Weekly Option Contract Titles

Effective Sunday, May 1, 2022, for trade date Monday, May 2, 2022, and pending all relevant CFTC regulatory review periods, Commodity Exchange, Inc. (“COMEX” or “Exchange”) will list Monday and Wednesday Weekly Gold, Silver and Copper option contracts (the “Contracts”) for trading on the CME Globex electronic platform (“CME Globex”) and for submission for clearing via CME ClearPort, as more specifically described below. The Exchange currently lists Gold Weekly Option, Silver Weekly Option and Copper Weekly Option contracts with a Friday expiry and is expanding the offering by listing Monday and Wednesday expiries.

Contract Title	Gold Weekly Monday Option	Silver Weekly Monday Option	Copper Weekly Monday Option
	Gold Weekly Wednesday Option	Silver Weekly Wednesday Option	Copper Weekly Wednesday Option
Commodity Code	G1M, G2M, G3M, G4M, G5M	M1S, M2S, M3S, M4S, M5S	H1M, H2M, H3M, H4M, H5M
	G1W, G2W, G3W, G4W, G5W	W1S, W2S, W3S, W4S, W5S	H1W, H2W, H3W, H4W, H5W
Rulebook Chapter	1008	1009	1010
Underlying Futures Contract	Gold Futures (GC)	Silver Futures (SI)	Copper Futures (HG)
Contract Size	100 troy ounces	5,000 troy ounces	25,000 pounds
Price Quotation	US dollars and cents per troy ounce	US dollars and cents per troy ounce	US dollars and cents per pound
Minimum Price Fluctuation	\$0.10 per troy ounce	\$0.001 per troy ounce	\$0.0005 per pound
Value per Tick	\$10.00	\$5.00	\$12.50
Option Exercise Style	American - exercises into the underlying futures		
Listing Schedule	Weekly contracts listed for 4 weeks. No weekly contract will be listed if the expiration is the same day as the monthly option expiration		
Initial Listing Schedule	G1M, G2M, G3M, G4M	M1S, M2S, M3S, M4S	H1M, H2M, H3M, H4M
	G1W, G2W, G3W, G4W	W1S, W2S, W3S, W4S	H1W, H2W, H3W, H4W

Strike Price Increments	Minimum 40 strikes at \$5.00 per troy ounce strike increment above and below the at-the-money strike. Dynamic strikes at \$5.00 per troy ounce strike increment above and below the highest and lowest pre-listed strikes.	Minimum 20 strikes at \$0.05 increments above and below the at-the-money strike and 20 strikes at \$0.25 increments above and below the highest and lowest pre-listed \$0.05 strike increment. Dynamic strikes of \$0.05 per troy ounce strike price increment above and below the highest and lowest pre-listed strikes.	Minimum 20 Strikes at \$0.05 per pound strike increment above and below the at-the-money strike. Dynamic strikes at \$0.05 per pound strike increment above and below the highest and lowest pre-listed strikes.
Block Trade Minimum Threshold	10 contracts - subject to a 15-minute reporting window		
Termination of Trading	Trading terminates on Monday of the contract week		
	Trading terminates on Wednesday of the contract week		
CME Globex Match Algorithm	K - split FIFO and Pro Rata		
Trading and Clearing Hours	CME Globex Pre-open: Sunday 4:00 p.m. - 5:00 p.m. Central Time/CT Monday – Thursday 4:45 p.m. - 5:00 p.m. CT CME Globex Open: Sunday 5:00 p.m. – Friday 4:00 p.m. CT with a daily maintenance period from 4:00 p.m. - 5:00 p.m. CT CME ClearPort: Sunday 5:00 p.m. - Friday 4:00 p.m. CT with no reporting Monday - Thursday from 4:00 p.m. – 5:00 p.m. CT		

Exchange Fees

	Member	Non-Member
CME Globex	\$0.70	\$1.50
Block	\$0.80	\$1.65
EFR/EOO	\$0.85	\$1.55
Processing Fees	House Account	Customer Account
Option Exercise/Assignment Notice	\$0.40	\$0.85
Fee		
Facilitation Fee	\$0.50	
Give-Up Surcharge	\$0.05	
Position Adjustment/Position Transfer	\$0.10	

as detailed in the table below (collectively, the “Rule Amendments”).

Current Contract Title	Amended Contract Title	Rulebook Chapter	Commodity Code
Gold Weekly Option	Gold Weekly Friday Option	1008	OG1, OG2, OG3, OG4, OG5
Silver Weekly Option	Silver Weekly Friday Option	1009	SO1, SO2, SO3, SO4, SO5
Copper Weekly Option	Copper Weekly Friday Option	1010	H1E, H2E, H3E, H4E, H5E

The Rule Amendments to COMEX Rulebook Chapters 1008, 1009, and 1010 are detailed in Exhibit A in blackline format.

Exhibit A

(additions underscored; deletions ~~struck through~~)

Chapter 1008 Gold Weekly Option

1008100. SCOPE OF CHAPTER

This chapter is limited in application to weekly put and call options on the Gold Futures contract. In addition to the Rules of this chapter, transactions in the Gold Weekly Monday Option, Gold Weekly Wednesday Option and Gold Weekly Friday Option contracts shall be subject to the general Rules of the Exchange insofar as applicable.

1008101. OPTION CHARACTERISTICS

1008101.A. Trading Schedule

The hours of trading for ~~this contract~~ these contracts shall be determined by the Exchange.

1008101.E. Termination of Trading

~~Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will terminate on the business day immediately preceding the Friday.~~

Gold Weekly Monday Option

Options will expire at the close of trading on a Monday schedule. If such Monday is an Exchange holiday, the weekly option will not be listed for trading.

Gold Weekly Wednesday Option

Options will expire at the close of trading on a Wednesday schedule. If such Wednesday is an Exchange holiday, the weekly option will not be listed for trading.

Gold Weekly Friday Option

Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will not be listed for trading.

[Remainder of chapter is unchanged]

Chapter 1009 Silver Weekly Option

1009100. SCOPE OF CHAPTER

This chapter is limited in application to weekly put and call options on the Silver Futures contract. In addition to the Rules of this chapter, transactions in weekly options on the Silver Weekly Monday Option, Silver Weekly Wednesday Option and Silver Weekly Friday Option contracts shall be subject to the general rules of the Exchange insofar as applicable.

1009101. OPTION CHARACTERISTICS

1009101.A. Trading Schedule

The hours of trading for ~~this contract~~ these contracts shall be determined by the Exchange.

1009101.E. Termination of Trading

~~Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will terminate on the business day immediately preceding the Friday.~~

Silver Weekly Monday Option

Options will expire at the close of trading on a Monday schedule. If such Monday is an Exchange holiday, the weekly option will not be listed for trading.

Silver Weekly Wednesday Option

Options will expire at the close of trading on a Wednesday schedule. If such Wednesday is an Exchange holiday, the weekly option will not be listed for trading.

Silver Weekly Friday Option

Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will not be listed for trading.

[Remainder of chapter is unchanged]

Chapter 1010 Copper Weekly Option

1010100. SCOPE OF CHAPTER

This chapter is limited in application to weekly put and call options on the Copper Futures contract. In addition to the Rules of this chapter, transactions in weekly options on the Copper Weekly Monday Option, Copper Weekly Wednesday Option and Copper Weekly Friday Option contracts shall be subject to the general rules of the Exchange insofar as applicable.

1010101. OPTION CHARACTERISTICS

1010101.A. Trading Schedule

The hours of trading for ~~this contract~~ these contracts shall be determined by the Exchange.

1010101.E. Termination of Trading

~~Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will terminate on the business day immediately preceding the Friday.~~

Copper Weekly Monday Option

Options will expire at the close of trading on a Monday schedule. If such Monday is an Exchange holiday, the weekly option will not be listed for trading.

Copper Weekly Wednesday Option

Options will expire at the close of trading on a Wednesday schedule. If such Wednesday is an Exchange holiday, the weekly option will not be listed for trading.

Copper Weekly Friday Option

Options will expire at the close of trading on a Friday schedule. If such Friday is an Exchange holiday, the weekly option will not be listed for trading.

[Remainder of chapter is unchanged]

For additional information, please contact:

Business Line Management

Albert Ng albert.ng@cmegroup.com +1 212 299 2347

Research and Product Development

Joann Arena joann.arena@cmegroup.com +1 212 299 2356

Exhibit C

DATE: May 3, 2022

MKR#: 05-03-22C

SUBJECT: Additional Deliverable Shapes for Aluminum Brands

The Commodity Exchange, Inc. (“COMEX or Exchange”) has added deliverable shapes to the following Aluminum Brands for delivery against the Exchange’s Aluminum futures contract:

Producer	Country	Brand	Code	Current Deliverable Shape	Additional Deliverable Shape
Rio Tinto Alcan Aluminum Dunkerque	France	AD	AD	Ingots/T-Bars	Sows
Vedanta Limited	India	VEDANTAL	VEDL	Ingots	T-Bars
Aluminium Bahrain BSC (c)	Bahrain	ALBA	ALBA	Ingots/T-Bars	Sows

These additions are effective immediately.

Market Surveillance

Terry Gehring

Terry.Gehring@cmegroup.com

312-435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of the shape additions during the week of May 9, 2022 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Exhibit D

DATE: May 5, 2022

MKR#: 05-05-22B

SUBJECT: Regularity Approval for Copper, Lead, and Zinc

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Henry Bath LLC** for regularity for the storage of copper, lead, and zinc deliverable against the respective COMEX Copper, Lead, and Zinc futures contracts at their location in New Orleans, LA. The approved capacity for copper at this location is 22,000 short tons. The approved capacity for lead and zinc at this location is 20,000 metric tons.

The primary conveyance designated by the Exchange for lead shall be truck and for zinc shall be rail. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b., contingent on any pending conveyance orders submitted prior to the primary conveyance order.

The alternative conveyance designated by the Exchange for lead shall be rail and for zinc shall be truck. The minimum guaranteed daily load out rate for this conveyance shall be 200 metric tons which shall be published in the Interpretations & Special Notices Related to Chapter 7. In electing an alternate conveyance, the minimum guaranteed daily load out rate for the alternate conveyance shall apply absent any pending conveyance orders submitted prior to the alternate conveyance order.

At all times, the regular facility shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders, on a first come first served basis.

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC for copper are as follows:

Monthly storage charge per short ton	\$12.00
Minimum storage charge per month for less than one lot (partial lots)	\$100.00
Inbound handling per short ton (truck & rail)	\$6.00
Outbound handling to truck per short ton (FOT)	\$48.00
Outbound handling to rail per short ton (FOR)	\$48.00
Outbound handling to container per short ton (FIC)	\$48.00
Outbound handling to flatbed per short ton (FIF)	\$48.00
Weighing charges per short ton	\$7.50

Facility receipt (issue & replacement)	\$75.00
Bill of lading	\$25.00
Blocking and bracing per short ton (truck)	\$7.50
Blocking and bracing per short ton (rail car)	\$7.50
Blocking and bracing per short ton (container)	\$7.50
Additional labor per man hour	\$90.00
Restocking fee per short ton for cancelled/changed orders	\$6.00
Cancellation charge per shipment cancelled	\$150.00

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC for lead are as follows:

Monthly storage charge per metric ton	\$13.00
Minimum storage charge per month for less than one lot (partial lots)	\$100.00
Inbound handling per metric ton (truck & rail)	\$6.00
Outbound handling to truck per metric ton (FOT)	\$46.00
Outbound handling to rail per metric ton (FOR)	\$50.00
Outbound handling to container per metric ton (FIC)	\$50.00
Outbound handling to flatbed per metric ton (FIF)	\$46.00
Weighing charges per metric ton	\$7.50
Facility receipt (issue & replacement)	\$75.00
Bill of lading	\$25.00
Blocking and bracing per metric ton (truck)	\$8.25
Blocking and bracing per metric ton (rail car)	\$8.25
Blocking and bracing per metric ton (container)	\$8.25
Additional labor per man hour	\$90.00
Restocking fee per short ton for cancelled/changed orders	\$6.00
Cancellation charge per shipment cancelled	\$150.00

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC for zinc are as follows:

Monthly storage charge per metric ton	\$13.00
Minimum storage charge per month for less than one lot (partial lots)	\$100.00
Inbound handling per metric ton (truck & rail)	\$7.00
Outbound handling to truck per metric ton (FOT)	\$41.00
Outbound handling to rail per metric ton (FOR)	\$48.00
Outbound handling to container per metric ton (FIC)	\$48.00
Outbound handling to flatbed per metric ton (FIF)	\$41.00
Weighing charges per metric ton	\$7.50
Facility receipt (issue & replacement)	\$75.00
Bill of lading	\$25.00
Blocking and bracing per metric ton (truck)	\$7.50
Blocking and bracing per metric ton (rail car)	\$7.50
Blocking and bracing per metric ton (container)	\$7.50
Additional labor per man hour	\$90.00
Restocking fee per short ton for cancelled/changed orders	\$6.00
Cancellation charge per shipment cancelled	\$150.00

Please refer questions on this subject to:

Market Surveillance:

Joanna Szklarz

joanna.szklarz@cmegroup.com

(312) 341-5853

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of May 9, 2022 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Exhibit E

**COMEX Rulebook
Chapter 7
“Service Providers”**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)