

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-220

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 05/11/21 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of May 3, 2020)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

May 11, 2021

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") Weekly Notification of Amendments Related to Product Terms and Conditions.
CBOT Submission No. 21-220**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of May 3, 2021.

Pursuant to CBOT Submission No. 20-008 dated October 9, 2020, the Exchange initially listed the Thailand Long Grain White Rice (Platts) Futures contract effective on trade date Monday, November 23, 2020. On Monday, May 3, 2021, the Exchange deleted references to Rule 71102.D. ("Price Limits (up to and including April 30, 2021)") which became obsolete on trade date May 3, 2021 as noted in Exhibit A attached.

Pursuant to CBOT Submission No. 20-347 dated September 9, 2020, the Exchange initially listed the FOB Santos Soybeans Financially Settled (Platts) Futures contract effective on trade date Monday, September 21, 2020. On Monday, May 3, 2021, the Exchange deleted references to Rule 11F102.D. ("Price Limits" (for all trade dates through April 30, 2021)) which become obsolete on trade date May 2, 2021 as noted in Exhibit B attached.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – Amendments to CBOT Rulebook Chapter 71 (blackline format)
Exhibit B – Amendments to CBOT Rulebook Chapter 11F (blackline format)

Exhibit A

CBOT Rulebook Chapter 71 Thailand Long Grain White Rice (Platts) Futures

(deletions struck through)

- 71100. SCOPE OF CHAPTER**
The provisions of these rules shall apply to all contracts bought or sold on the Exchange for cash settlement based on the Floating Price. The procedures for trading, clearing and cash settlement of this contract, and any other matters not specifically covered herein shall be governed by the general rules of the Exchange.
- 71101. CONTRACT SPECIFICATIONS**
The Final Settlement Price for each contract month shall be equal to the arithmetic average of the “Thai Long Grain White Rice 5% Broken FOB” price assessments published by Platts for each day that it is determined during the contract calendar month, rounded to the nearest \$0.01.
- 71102. TRADING SPECIFICATIONS**
The number of months open for trading at a given time shall be determined by the Exchange.
- 71102.A. Trading Schedule**
The hours of trading for this contract shall be determined by the Exchange.
- 71102.B. Trading Unit**
The contract quantity shall be twenty-five (25) metric tonnes.
- 71102.C. Price Increments**
Prices shall be quoted in U.S. dollars and cents per metric tonne. The minimum price fluctuation shall be \$0.50 per metric tonne. The final settlement price shall be rounded to the nearest \$0.01 per metric tonne.
- 71102.D. Price Limits (up to and including April 30, 2021)**
~~The initial daily price limit for Thailand Long Grain White Rice (Platts) Futures will be \$40. Should two or more Thailand Long Grain White Rice (Platts) Futures contract months, excluding the contract month which is in its final settlement price calculation period, settle at limit, the daily price limits for all contract months shall be \$60 the next business day. If no Thailand Long Grain White Rice (Platts) contract month settles at the expanded limit the next business day, daily price limits for all contract months shall revert back the following business day to the pre-expansion price limit. There shall be no price limits on a contract month during its final settlement price calculation period. The final settlement price calculation period is all settlement days from the first business day to the last business day of the contract month.~~
- 71102.D. Price Limits (commencing May 3, 2021)**
Daily price limits for Thailand Long Grain White Rice (Platts) Futures are reset every six months, on the first trading day in May and the first trading day in November. For the May reset, daily settlement prices are collected for the nearest July contract month over 45 consecutive trading days before and on the business day prior to April 16th. For the November reset, daily settlement prices are collected for the nearest November contract month over 45 consecutive trading days before and on the business day prior to October 16th. The average of these daily settlement prices is calculated, and the new daily price limit shall be 10 percent of this average price rounded up to the nearest \$5.
There shall be no trading in Thailand Long Grain White Rice (Platts) Futures at a price more than the price limit above or below the previous day’s settlement price.
Should two or more Thailand Long Grain White Rice (Platts) Futures contract months, excluding the contract month which is in its final settlement price calculation period, settle at limit, the daily price limits for all contract months shall increase by 50 percent, rounded up to the nearest \$5, the next business day. If no Thailand Long Grain White Rice (Platts) Futures contract month settles at the expanded limit the next business day, daily price limits for all contract months shall revert back the following business day to the pre-expansion price limit. There shall be no price limits on a contract month during its final settlement price calculation period. The final settlement price calculation period is all settlement days from the first business day to the last business day of the contract month.

71102.E. Position Limits, Exemptions, Position Accountability and Reportable Levels

The applicable position limits and/or accountability levels, in addition to the reportable levels, are set forth in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of Chapter 5. A Person seeking an exemption from position limits for bona fide commercial purposes shall apply to the Market Regulation Department on forms provided by the Exchange, and the Market Regulation Department may grant qualified exemptions in its sole discretion. Refer to Rule 559 for requirements concerning the aggregation of positions and allowable exemptions from the specified position limits.

71102.F. Termination of Trading

Trading shall cease on the last business day in the contract month which is also a Platts publication date for the price assessment.

71103. FINAL SETTLEMENT

Final settlement under the contract shall be by cash settlement. The final settlement price will be the Floating Price calculated for each contract month.

71104. DISCLAIMER

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Exhibit B

CBOT Rulebook Chapter 11F FOB Santos Soybeans Financially Settled (Platts) Futures

(deletions struck through)

11F100. SCOPE OF CHAPTER

The provisions of these rules shall apply to all contracts bought or sold on the Exchange for cash settlement based on the Floating Price. The procedures for trading, clearing and cash settlement of this contract, and any other matters not specifically covered herein shall be governed by the general rules of the Exchange.

11F101. CONTRACT SPECIFICATIONS

The Floating Price for each contract month shall be equal to the arithmetic average of the "SOYBEX FOB Santos" price assessment published by Platts for each day that it is determined from and including the 16th calendar day in the 2nd month prior to the contract month to and including the 15th calendar day in the month prior to the contract month. The Floating Price shall be rounded to the nearest \$0.01.

11F102. TRADING SPECIFICATIONS

The number of months open for trading at a given time shall be determined by the Exchange.

11F102.A. Trading Schedule

The hours of trading for this contract shall be determined by the Exchange.

11F102.B. Trading Unit

The contract quantity shall be one hundred and thirty-six (136) metric tons.

11F102.C. Price Increments

Prices shall be quoted in U.S. dollars and cents per metric ton. The minimum price fluctuation shall be \$0.20 per metric ton. The final settlement price shall be rounded to the nearest \$0.01.

11F102.D. Price Limits

(FOR ALL TRADE DATES THROUGH APRIL 30, 2021)

~~The initial daily price limit for FOB Santos Soybeans Financially Settled (Platts) Futures will be \$40. Should two or more FOB Santos Soybeans Financially Settled (Platts) Futures contract months, excluding the contract month which is in its final settlement price calculation period, settle at limit, the daily price limits for all contract months shall be \$60 the next business day. If no FOB Santos Soybeans Financially Settled (Platts) Futures contract month settles at the expanded limit the next business day, daily price limits for all contract months shall revert back the following business day to the pre-expansion price limit. There shall be no price limits on a contract month during its final settlement price calculation period. The final settlement price calculation period are all settlement days from and including the 16th calendar day in the 2nd month prior to the contract month to and including the 15th calendar day in the month prior to the contract month.~~

11F102.D. Price Limits

(FOR ALL TRADE DATES COMMENCING WITH MAY 3, 2021 AND BEYOND)

Daily price limits for FOB Santos Soybeans Financially Settled (Platts) Futures are reset every six months, on the first trading day in May and the first trading day in November. For the May reset, daily settlement prices are collected for the nearest July contract month over 45 consecutive trading days before and on the business day prior to April 16th. For the November reset, daily settlement prices are collected for the nearest November contract month over 45 consecutive trading days before and on the business day prior to October 16th. The average of these daily settlement prices is calculated, and the new daily price limit shall be 10 percent of this average price rounded up to the nearest \$5.

There shall be no trading in FOB Santos Soybeans Financially Settled (Platts) Futures at a price more than the price limit above or below the previous day's settlement price.

Should two or more FOB Santos Soybeans Financially Settled (Platts) Futures contract months, excluding the contract month which is in its final settlement price calculation period, settle at limit, the daily price limits for all contract months shall increase by 50 percent, rounded up to the nearest \$5, the next business day. If no FOB Santos Soybeans Financially Settled (Platts) Futures contract month settles at the expanded limit the next business day, daily price limits for all contract months shall revert back the following business day to the pre-expansion price limit. There shall be no price limits on a contract month during its final settlement price calculation period. The final settlement price calculation period are all settlement days from and including the 16th calendar day in the 2nd month prior to the contract month to and including the 15th calendar day in the month prior to the contract month.

11F102.E. Position Limits, Exemptions, Position Accountability and Reportable Levels

The applicable position limits and/or accountability levels, in addition to the reportable levels, are set forth in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of Chapter 5.

A Person seeking an exemption from position limits for bona fide commercial purposes shall apply to the Market Regulation Department on forms provided by the Exchange, and the Market Regulation Department may grant qualified exemptions in its sole discretion.

Refer to Rule 559 for requirements concerning the aggregation of positions and allowable exemptions from the specified position limits.

11F102.F. Termination of Trading

Trading shall cease on the business day prior the 16th calendar day in the month prior to the contract month.

11F103.

FINAL SETTLEMENT

Final settlement under the contract shall be by cash settlement. The final settlement price will be the Floating Price calculated for each contract month.

11F104.

DISCLAIMER

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