

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 18-169

**Organization:** New York Mercantile Exchange, Inc. ("NYMEX")

**Filing as a:**  DCM  SEF  DCO  SDR

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** April 18, 2018 **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of April 9, 2018)

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

April 18, 2018

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
NYMEX Submission No. 18-169**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of April 9, 2018.

On April 9, 2018, the Exchange made amendments to NYMEX Rule 1283101. (“Contract Specifications of Chapter 1283 of the NYMEX Rulebook to correct the reference for the WCS Cushing price assessment regarding the WCS Cushing (Argus) vs. WTI Calendar Month Futures contract (the “Contract”). The initial listing of the Contract was provided to the CFTC on March 23, 2018 (see NYMEX Submission No. 18-027). Amendments to Rule 183191. is attached hereto as Exhibit A.

On April 13, 2018, the Exchange revoked the approved status for registration (warranting) for delivery and delivery of the Ekaterinburg Brand against the Exchange’s Platinum Futures contract, and revoked the approved status for registration (warranting) for delivery and delivery of the Ekaterinburg Non-Ferrous Metal Processing Plant Brand against the Exchange’s Palladium Futures contract. The Exchange notes that on April 6, 2018, the US Department of the Treasury’s Office of Foreign Assets Control (“OFAC”), in consultation with the Department of State, designated certain legal and natural persons as subject to sanctions, including Renova Group. Accordingly, the Exchange is taking the actions set out in this notice in respect of the Former Approved Brands pursuant to Exchange Rule 703.C. The marketplace was alerted of these changes via MKR# 04-13-18, which is attached hereto as Exhibit B.

In accordance with the change above, the Exchange updated the NYMEX Rulebook Chapter 7 Service Providers Chart (“Chart”) for “Platinum (PL) Brands” and “Palladium (PA) Brands”. The Chart is attached under separate cover, in blackline format, as Exhibit C.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – NYMEX Rulebook Chapter 1283, Amendments to the WCS Cushing (Argus) vs. WTI Calendar Month Futures  
Exhibit B – MKR# 04-13-18  
Exhibit C – NYMEX Rulebook Chapter 7 Service Providers Chart (attached under separate cover) (blackline format)

## **Exhibit A**

### **NYMEX Rulebook**

(Additions are underscored; deletions are ~~struckthrough~~)

#### **Chapter 1283**

#### **WCS Cushing (Argus) vs. WTI Calendar Month Futures**

**1283101. CONTRACT SPECIFICATIONS**

The Floating Price for each contract month is equal to the average of the Argus ~~WTI~~ WCS Cushing Differential to the Calendar Month Average (CMA) NYMEX WTI price (weighted average) under the heading "~~WTI~~ WCS Cushing Diff to CMA NYMEX (wtd avg)" price from Argus Media for each business day that it is determined during the calendar month.

## Exhibit B

**DATE:** April 13, 2018  
**MKR#:** 04-13-18  
**SUBJECT:** Revocation of Approved Status for Warranting and Delivery of Brands under the NYMEX Platinum and Palladium Futures Contract

Effective immediately, New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") has revoked the approved status for registration (warranting) for delivery and delivery of the following Brands against the Exchange's Platinum Futures contract (CME Globex/CME ClearPort Code: PL; Rulebook Chapter 105) and Palladium Futures contract (CME Globex/CME ClearPort Code: PA; Rulebook Chapter 106):

Platinum (PL) Brands			
Producer	Refined At	Code	Brand Marks
Ekaterinburg	Russia	EKAT	POCCNR (where "N" and "R" are backwards) in an oval & company symbol

Palladium (PA) Brands			
Producer	Refined At	Code	Brand Marks
Ekaterinburg Non-Ferrous Metal Processing Plant	Russia	EKNF	Company logo in circle

each a "Former Approved Brand", and together the "Former Approved Brands".

As such, platinum or palladium of a Former Approved Brand and warrants issued for platinum or palladium of a Former Approved Brand shall not be deliverable under the Exchange's Platinum Futures and Palladium Futures contracts, respectively. There is currently no warranted material of a Former Approved Brand at Exchange-approved warehouses.

Any unwarranted material of a Former Approved Brand at Exchange-approved warehouses will no longer be deemed, or reported as, eligible for registration.

The Former Approved Brands are hereby removed from the list of Exchange approved Brand marks as provided in Chapter 7 of the Exchange Rules.

The Exchange notes that on April 6, 2018, the US Department of the Treasury's Office of Foreign Assets Control ("OFAC"), in consultation with the Department of State, designated certain legal and natural persons as subject to sanctions, including Renova Group. Accordingly, the Exchange is taking the actions set out in this notice in respect of the Former Approved Brands pursuant to Exchange Rule 703.C.

The Commodity Futures Trading Commission ("CFTC") will be notified of the aforementioned during the week of April 16, 2018 via the weekly notification procedures set out in Part 40 of the CFTC's Regulations.

Please refer questions on this subject to:

### Market Surveillance

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

+1 312 435 3644

**EXHIBIT C**

**NYMEX Rulebook Chapter 7  
Service Providers Chart**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)