

# SWAPEX™

September 8, 2014

**BY ELECTRONIC MAIL: [submissions@cftc.gov](mailto:submissions@cftc.gov)**

Melissa Jurgens  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: SwapEx Submission 07-14: Certification Pursuant to Commission Rule 40.6: Rule Amendments to Product Specifications**

Dear Ms. Jurgens:

SwapEx, LLC (“SwapEx”) hereby notifies the Commodity Futures Trading Commission (the “Commission” or “CFTC”), pursuant to Commission Regulation 40.6(a), that it is certifying amendments to the product specifications of the 13 non-deliverable foreign exchange forwards (“NDFs”) that are currently listed for trading on SwapEx’s electronic trading system (the “Trading System”). The amended specifications will become effective on September 23, 2014. These amendments clarify and make explicit existing aspects of these products.

This submission letter contains the following attachments:

- The CFTC submission cover sheet.
- Attached as Exhibit A, a clean copy of the amended specifications, which will be published pursuant to SwapEx’s procedures for contract specifications on SwapEx’s website in accordance with SwapEx Rule 901(c).
- Attached as Exhibit B, a copy of the specifications marked to show the amendments being made to the existing version of the specifications.

SwapEx certifies that these amendments comply with the CEA and Commission Regulations thereunder. SwapEx additionally certifies that it has concurrently posted a copy of this submission letter and attachments hereto on SwapEx’s website at <http://www.swapex.com/swapex/rules-policies-and-notice/recent-rule-filings-cftc/>.

\* \* \*

In the event that you have questions, please contact the undersigned at (312) 798-6517 or at [BJRegan@statestreet.com](mailto:BJRegan@statestreet.com).

Sincerely,

  
Brian J. Regan  
Chief Compliance Officer

Encl. (Exhibits A and B)

cc: Mark Schlegel