

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 19-149

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 03/26/19 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of March 18, 2019)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

March 26, 2019

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 19-149**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of March 18, 2019.

On Monday, March 18, 2019, the Exchange made administrative amendments to the COMEX Rulebook. Specifically, the Exchange simply relocated the OPIS disclaimer rules from the relative product chapters to COMEX Chapter iv. (“Disclaimers”). The amended Rulebook Chapter is attached hereto as Exhibit A.

Effective Thursday, March 21, 2019, the Exchange no longer deemed NORANDA ALUMINUM INC (NORAN) as an eligible Aluminum Brand deliverable against the COMEX Aluminum futures contract. The marketplace was alerted of the change via MKR #03-21-19, which is attached hereto as Exhibit B.

In accordance with the changes above, the Exchange updated the COMEX Rulebook Chapter 7 “Service Providers” Chart (“Chart”) for “Aluminum Brands.” The Chart is attached under separate cover, in blackline format, as Exhibit C.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – COMEX Rulebook Chapter iv. (“Disclaimers”)  
Exhibit B – MKR #03-21-19  
Exhibit C – COMEX Rulebook Chapter 7 “Aluminum Brands” (attached under separate cover) (blackline format)

## Exhibit A

### **COMEX Rulebook Chapter iv ("DISCLAIMERS")**

(additions underscored)

#### OPIS

OPIS licenses the New York Mercantile Exchange, Inc. ("NYMEX") to use various OPIS price assessments in connection with the trading of the contract.

NEITHER NYMEX AND ITS AFFILIATES NOR OPIS GUARANTEES THE ACCURACY AND/OR COMPLETENESS OF THE ASSESSMENT OR ANY OF THE DATA INCLUDED THEREIN.

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**Exhibit B**

**DATE: March 21, 2019**

**MKR#: 03-21-19**

**SUBJECT: Delisting of NORANDA ALUMINUM INC Aluminum Brand**

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Effective immediately, the Commodity Exchange, Inc. ("COMEX or Exchange") will no longer deem NORANDA ALUMINUM INC (NORAN) as an eligible Aluminum Brand deliverable against the COMEX Aluminum futures contract.

The Commodity Futures Trading Commission (CFTC) will be notified of this delisting during the week of March 25, 2019 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

**Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**Exhibit C**

**COMEX Rulebook  
Chapter 7  
Service Providers Chart**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)