

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested ☐

Registered Entity Identifier Code (optional): 23-095

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: ☒ DCM ☐ SEF ☐ DCO ☐ SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 02/08/23 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of January 30, 2023)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

February 8, 2023

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.
NYMEX Submission No. 23-095**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of January 30, 2023.

On February 1, 2023, the Exchange amended certain transaction fees. The marketplace was alerted of the changes via Special Executive Report (“SER”) 9098, which is attached hereto as Exhibit A.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – SER 9098

Exhibit A



Special Executive Report

DATE: November 29, 2022
SER#: 9098
SUBJECT: **CME/CBOT/NYMEX/COMEX Exchange Transaction Fee Amendments
Effective February 1, 2023**

Effective February 1, 2023, Chicago Mercantile Exchange Inc. ("CME"), The Board of Trade of the City of Chicago, Inc. ("CBOT"), New York Mercantile Exchange, Inc. ("NYMEX"), and Commodity Exchange, Inc. ("COMEX") will amend transaction fees as more specifically described in the tables below.

- Volume Discounts on Transaction fees for **CME FX FUTURES** will be adjusted as follows:

		CURRENT	NEW
Member Firms & Individual Members	FX Futures & E-mini FX Futures		
Eligible Participants	Average Daily Volume Threshold (Sides)	Exchange Fee Discount	Exchange Fee Discount
Individual Members	0 - 6,500	none	none
Clearing Members	6,501 - 15,000	\$0.15	\$0.14
Rule 106.J Equity Member Firms			
Rule 106.I Members	> 15,000	\$0.19	\$0.18

- Transaction Fees for **CME AGRICULTURAL FUTURES** GLOBEX TAS and Block TAS (Trade-At-Settlement) Trades will now have a differentiated rate, and transaction fees for **CME AGRICULTURAL FUTURES & OPTIONS** will be adjusted as follows:

Fees are charged per side (both buy and sell side) per contract	Venue/Transaction Type	CURRENT	Venue/Transaction Type	NEW
		Agricultural Futures & Options		Agricultural Futures & Options
Individual Members Clearing Equity Member Firms Rule 106.J Equity Member Firms & Rule 106.J Qualified Subsidiaries Rule 106.I Member Firms & Rule 106.I Qualified Affiliates Rule 106.S Member Approved Funds	Delivery	\$0.15	Delivery	\$0.15
	Globex	\$0.51	Globex	\$0.51
			Globex TAS	\$0.61
	EFP EFR Block	\$1.90	EFP EFR Block	\$1.90
			Block TAS	\$2.00
Rule 106.D Lessees Rule 106.F Employees	Exercise Assign Future From	\$0.20	Exercise Assign Future From	\$0.20
	Delivery	\$0.31	Delivery	\$0.31
	Globex	\$0.67	Globex	\$0.67
			Globex TAS	\$0.77
	EFP EFR Block	\$2.06	EFP EFR Block	\$2.06
Rule 106.R Electronic Corporate Member (For other than Globex - See Non-Members)			Block TAS	\$2.16
	Exercise Assign Future From	\$0.36	Exercise Assign Future From	\$0.36
	Globex	\$0.81	Globex	\$0.81
			Globex TAS	\$0.91
	Globex	\$0.81	Globex	\$0.81
Rule 106.H and 106.N Firms Clearing Non-Equity Member Firms			Globex TAS	\$0.91
	EFP EFR Block	\$2.24	EFP EFR Block	\$2.24
			Block TAS	\$2.34
	Exercise Assign Future From	\$0.54	Exercise Assign Future From	\$0.54
	Globex	\$0.82	Globex	\$0.89
Members Trading Outside of Division (For other than Globex During ETH - See Non-Members)	Globex - During ETH Only	\$1.05	Globex - During ETH Only	\$1.12
Non-Members (Including: Central Bank Incentive Program (CBIP) Participants, Latin American Fund Manager Incentive Program (FMIP) Participants, CTA/Hedge Fund Incentive Program Participants & CBOE Members)	Delivery	\$0.69	Delivery	\$0.69
	Globex	\$2.03	Globex	\$2.10
			Globex TAS	\$2.20
	EFP	\$2.44	EFP	\$2.44
	EFR Block	\$3.03	EFR Block	\$3.03
			Block TAS	\$3.13
	Exercise Assign Future From	\$0.74	Exercise Assign Future From	\$0.74

- Transaction Fees for **CBOT AGRICULTURAL FUTURES** GLOBEX TAS and Block TAS (Trade-At-Settlement) Trades will now have a differentiated rate, and transaction fees for **CBOT AGRICULTURAL FUTURES & OPTIONS** will be adjusted as follows:

				CURRENT			NEW	
Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	Agricultural Fut & Opt	Venue/ Transaction Type	Fut & Opt	Agricultural	
1	Individual Members	Member Account Owner	Globex	\$0.23	Globex	\$0.23		
					Globex TAS	\$0.33		
			EFP	\$1.10	EFP	\$1.10		
			EFR	\$1.40	EFR	\$1.40		
	Individual Delegates	Delegate Account Owner	Block	\$1.20	Block	\$1.20		
					Block TAS	\$1.30		
			Globex	\$0.35	Globex	\$0.35		
					Globex TAS	\$0.45		
		EFP	\$1.25	EFP	\$1.25			
		EFR	\$1.55	EFR	\$1.55			
		Block	\$1.35	Block	\$1.35			
				Block TAS	\$1.45			
EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms and Equity Member Firms)								
2	Rule 106.J Equity Member Firms Rule 106.I Affiliate Equity Member Firms Individual Equity Members (Other Member/Delegate executing trade) Clearing Equity Member Firms Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Member or Delegate	Globex	\$0.23	Globex	\$0.23		
					Globex TAS	\$0.33		
			EFP	\$1.10	EFP	\$1.10		
			EFR	\$1.40	EFR	\$1.40		
		Non-Member	Block	\$1.20	Block	\$1.20		
					Block TAS	\$1.30		
			Globex	\$0.51	Globex	\$0.51		
					Globex TAS	\$0.61		
			EFP	\$1.10	EFP	\$1.10		
			EFR	\$1.40	EFR	\$1.40		
	Rule 106.S. Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Block	\$1.20	Block	\$1.20		
					Block TAS	\$1.30		
			Globex	\$0.51	Globex	\$0.51		
					Globex TAS	\$0.61		
			EFP	\$1.10	EFP	\$1.10		
			EFR	\$1.40	EFR	\$1.40		
			Block	\$1.20	Block	\$1.20		
					Block TAS	\$1.30		
TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)								
3	Individual Non-Equity Members (Other Member/Delegate executing trade) Clearing Non-Equity Member Firms Rule 106.H Member Firms Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)	Member, Delegate or Non-Member	Globex	\$0.81	Globex	\$0.81		
					Globex TAS	\$0.91		
			EFP	\$1.21	EFP	\$1.21		
			EFR Block	\$1.81	EFR Block	\$1.81		
		Individual Delegates (Other Member/Delegate executing trade) Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	Member, Delegate or Non-Member	Globex	\$0.96	Globex	\$0.96	
						Globex TAS	\$1.06	
	EFP			\$1.36	EFP	\$1.36		
	Rule 106.S. Family of Funds Trading Member Firms	Member, Delegate or Non-Member	EFR Block	\$1.96	EFR Block	\$1.96		
					Block TAS	\$2.06		
			Globex	\$0.81	Globex	\$0.81		
					Globex TAS	\$0.91		
				EFP	\$1.21	EFP	\$1.21	
	4	Rule 106.R Electronic Corporate Member Firms (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	EFR Block	\$1.81	EFR Block	\$1.81	
						Block TAS	\$1.91	
					Globex	\$0.96		
					Globex TAS	\$1.06		
5	International Incentive Program (IIP) International Volume Incentive Program (IVIP) (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$1.06	Globex	\$1.11		
	Central Bank Incentive Program (CBIP) Latin American Fund Manager Incentive Program (FMIP) (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$1.67	Globex	\$1.72		
	Non-Members	N/A	Globex	\$2.05	Globex	\$2.10		
					Globex TAS	\$2.20		
			EFP	\$1.50	EFP	\$1.50		
			EFR Block	\$2.95	EFR Block	\$2.95		
	6	CMACE Permit Holders (For Rough Rice & Mini-Sized Products Only; For All Other Products - See Non-Members)	Member, Delegate or Non-Member			Block TAS	\$3.05	
Globex				\$0.41	Globex	\$0.41		
					Globex TAS	\$0.51		
EFP				\$1.25	EFP	\$1.25		
EFR				\$1.55	EFR	\$1.55		
Block				\$1.41	Block	\$1.41		
		Block TAS	\$1.51					

- Transaction fees for **CME EQUITY PRODUCTS** will be adjusted as follows:

Fees are charged per side (both buy and sell side) per contract	Venue/Transaction Type	CURRENT				NEW			
		E-mini Equity Index		Micro E-mini Index		E-mini Equity Index		Micro E-mini Index	
		Futures	Options	Futures	Options	Futures	Options	Futures	Options
Individual Members Clearing Equity Member Firms Rule 106.J Equity Member Firms & Rule 106.J Qualified Subsidiaries Rule 106.I Member Firms & Rule 106.I Qualified Affiliates Rule 106.S Member Approved Funds	Delivery	\$0.09	\$0.09	\$0.01	\$0.01	\$0.09	\$0.09	\$0.01	\$0.01
	Globex	\$0.37	\$0.35	\$0.05	\$0.04	\$0.39	\$0.36	\$0.08	\$0.04
	EFP EFR BTIC TACO	\$1.84	\$1.84	\$0.19	\$0.19	\$1.90	\$1.84	\$0.19	\$0.19
	Block		\$0.36				\$0.37		
	Covered Futures	\$0.38	N/A	N/A	N/A	\$0.40	N/A	N/A	N/A
Rule 106.D Lessees Rule 106.F Employees	Exercise Assign Future From	\$0.14	\$0.14	\$0.01	\$0.01	\$0.14	\$0.14	\$0.01	\$0.01
	Delivery	\$0.21	\$0.21	\$0.03	\$0.03	\$0.21	\$0.21	\$0.03	\$0.03
	Globex	\$0.49	\$0.47	\$0.08	\$0.07	\$0.51	\$0.47	\$0.11	\$0.07
	EFP EFR BTIC TACO	\$1.96	\$1.96	\$0.20	\$0.20	\$2.02	\$1.96	\$0.20	\$0.20
	Block		\$0.48				\$0.48		
Rule 106.R Electronic Corporate Member (For other than Globex - See Non-Members)	Covered Futures	\$0.50	N/A	N/A	N/A	\$0.52	N/A	N/A	N/A
	Exercise Assign Future From	\$0.26	\$0.26	\$0.03	\$0.03	\$0.26	\$0.26	\$0.03	\$0.03
	Globex	\$0.52	\$0.45	\$0.09	\$0.08	\$0.54	\$0.45	\$0.12	\$0.08
	Globex - BTIC TACO	\$2.14	N/A	N/A	N/A	\$2.20	N/A	N/A	N/A
	Delivery	\$0.39	\$0.39	\$0.04	\$0.04	\$0.39	\$0.39	\$0.04	\$0.04
Rule 106.H and 106.N Firms Clearing Non-Equity Member Firms	Globex	\$0.62	\$0.45	\$0.10	\$0.09	\$0.64	\$0.45	\$0.13	\$0.09
	EFP EFR BTIC TACO	\$2.14	\$2.14	\$0.22	\$0.22	\$2.20	\$2.14	\$0.22	\$0.22
	Block		\$0.46				\$0.46		
	Covered Futures	\$0.63	N/A	N/A	N/A	\$0.65	N/A	N/A	N/A
	Exercise Assign Future From	\$0.44	\$0.44	\$0.04	\$0.04	\$0.44	\$0.44	\$0.04	\$0.04
International Incentive Program (IIP) Participants International Volume Incentive Program (IVIP) Participants (For other than Globex - See Non-Members)	Globex	\$0.65	\$0.50	\$0.13	\$0.10	\$0.70	\$0.50	\$0.18	\$0.10
	Globex - BTIC TACO	\$2.15	N/A	N/A	N/A	\$2.25	N/A	N/A	N/A
Central Bank Incentive Program (CBIP) Participants Latin American Fund Manager Incentive Program (FMIP) Participants (For other than Globex and where N/A - See Non-Members)	Globex - Outrights	\$1.02	\$0.50	\$0.17	\$0.14	\$1.07	\$0.50	\$0.22	\$0.14
	Globex - Spreads	\$0.90		\$0.14	\$0.11	\$1.00			\$0.11
	Globex - BTIC TACO	\$2.15	N/A	N/A	N/A	\$2.25	N/A	N/A	N/A
CBOE Members (For S&P Products Only; N/A For All Other Products - See Non-Members)	Delivery	\$0.35	\$0.34	\$0.04	\$0.04	\$0.35	\$0.34	\$0.04	\$0.04
	Globex - Outrights	\$1.23	\$0.50	\$0.29	\$0.19	\$1.28	\$0.50	\$0.34	\$0.19
	Globex - Spreads	\$0.85		\$0.23	\$0.13	\$0.95			\$0.13
	EFP EFR BTIC TACO	\$2.10	\$2.09	\$0.27	\$0.22	\$2.20	\$2.09	\$0.35	\$0.22
	Block		\$0.51				\$0.51		
Members Trading Outside of Division (For other than Globex During ETH - See Non-Members)	Covered Futures	\$1.24	N/A	N/A	N/A	\$1.30	N/A	N/A	N/A
	Exercise Assign Future From	\$0.40	\$0.39	\$0.04	\$0.04	\$0.40	\$0.39	\$0.04	\$0.04
Non-Members (Including: CTA/Hedge Fund Incentive Program Participants)	Globex - During ETH Only	\$0.76	\$0.54	\$0.20	\$0.10	\$0.81	\$0.54	\$0.25	\$0.10
	Delivery	\$0.40	\$0.39	\$0.05	\$0.05	\$0.40	\$0.39	\$0.05	\$0.05
	Globex - Outrights	\$1.28	\$0.55	\$0.30	\$0.20	\$1.33	\$0.55	\$0.35	\$0.20
	Globex - Spreads	\$0.90		\$0.24	\$0.14	\$1.00			\$0.14
	EFP EFR BTIC TACO	\$2.15	\$2.14	\$0.29	\$0.24	\$2.25	\$2.14	\$0.36	\$0.24
	Block		\$0.60				\$0.60		
	Covered Futures	\$1.29	N/A	N/A	N/A	\$1.34	N/A	N/A	N/A
	Exercise Assign Future From	\$0.45	\$0.44	\$0.05		\$0.45	\$0.44	\$0.05	

- Transaction fees for **CBOT EQUITY PRODUCTS** will be adjusted as follows, including the Dow Jones U.S. Real Estate Futures now following the same fee schedule as E-mini Dow Futures and Options:

				CURRENT		NEW		
Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	E-mini Dow	Micro E-mini Dow	E-mini Dow & <u>DJ U.S. Real Estate</u>	Micro E-mini Dow	DJ U.S. Real Estate
				Futures & Options	Futures	Futures & Options	Futures	Futures
1	Individual Members	Member Account Owner	Globex	\$0.13	\$0.05	\$0.15	\$0.08	\$0.13
			EFP EFR Block/BTIC	\$1.84	\$0.19	\$1.90	\$0.19	\$1.60
	Individual Delegates	Delegate Account Owner	Globex	\$0.28	\$0.08	\$0.30	\$0.11	\$0.28
			EFP EFR Block/BTIC	\$1.90	\$0.20	\$1.96	\$0.20	\$1.70
EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms and Equity Member Firms)								
2	Rule 106.J Equity Member Firms	Member or Delegate	Globex	\$0.13	\$0.05	\$0.15	\$0.08	\$0.13
	EFP EFR Block/BTIC		\$1.84	\$0.19	\$1.90	\$0.19	\$1.60	
	Rule 106.I Affiliate Equity Member Firms	Non-Member	Globex	\$0.28	\$0.08	\$0.30	\$0.11	\$0.28
	EFP EFR Block/BTIC		\$1.90	\$0.20	\$1.96	\$0.20	\$1.70	
	Clearing Equity Member Firms	Member, Delegate or Non-Member	Globex	\$0.28	\$0.07	\$0.30	\$0.10	\$0.28
	Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate		EFP EFR Block/BTIC	\$1.90	\$0.20	\$1.96	\$0.20	\$1.70
TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)								
3	Individual Non-Equity Members (Other Member/Delegate executing the trade)	Member, Delegate or Non-Member	Globex	\$0.32	\$0.10	\$0.34	\$0.13	\$0.32
	EFP EFR Block/BTIC		\$1.92	\$0.21	\$1.98	\$0.21	\$1.75	
	Clearing Non-Equity Member Firms	Member, Delegate or Non-Member	Globex	\$0.51	\$0.10	\$0.53	\$0.13	\$0.51
	EFP EFR Block/BTIC		\$1.94	\$0.21	\$2.00	\$0.21	\$1.84	
	Rule 106.H Member Firms	Member, Delegate or Non-Member	Globex	\$0.32	\$0.10	\$0.34	\$0.13	\$0.32
	EFP EFR Block/BTIC		\$1.92	\$0.21	\$1.98	\$0.21	\$1.75	
4	Rule 106.R Electronic Corporate Member Firms (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.32	\$0.10	\$0.34	\$0.13	\$0.32
	Globex - BTIC		\$1.94	n/a	\$2.00	n/a	\$1.84	
5	International Incentive Program (IIP)	Member, Delegate or Non-Member	Globex	\$0.65	\$0.13	\$0.70	\$0.18	\$0.65
	International Volume Incentive Program (IVIP) (For other than Globex - See Non-Members)		Globex - BTIC	\$2.15	n/a	\$2.25	n/a	\$2.05
	Central Bank Incentive Program (CBIP)	Member, Delegate or Non-Member	Globex	\$1.02	\$0.17	\$1.07	\$0.22	\$1.28
	Latin American Fund Manager Incentive Program (FMIP) (For other than Globex - See Non-Members)		Globex - BTIC	\$2.15	n/a	\$2.25	n/a	\$2.05
	Non-Members	N/A	Globex	\$1.28	\$0.30	\$1.33	\$0.35	\$1.28
EFP EFR Block/BTIC	\$2.15		\$0.29	\$2.25	\$0.36	\$2.05		

- Non-trade transaction fees for **CBOT BLOOMBERG COMMODITY INDEX SWAPS** will no longer follow a separate schedule, but will instead incur the standard Exchange Fees for Non-Trades as follows:

Exchange Fees for Non-Trades				
		Member	Delegate	Non-Member
CURRENT	Bloomberg Commodity Index Swaps	\$0.50		
NEW	Cash Settlement/Delivery	\$0.10	\$0.25	\$0.55

- Transaction fees for **CBOT TREASURY FUTURES AND OPTIONS** will be adjusted as follows:

CURRENT										NEW									
Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	Treasury Futures					Treasury Options	TBA Futures	Treasury Futures					Treasury Options	TBA Futures		
				2YR 3YR	5YR	10YR Ultra 10YR	20YR 30 YR	Ultra Bond			2YR 3YR	5YR	10YR Ultra 10YR	20YR 30 YR	Ultra Bond				
1	Individual Members	Member Account Owner	Globex	\$0.12		\$0.13			\$0.14	\$0.16	\$0.13		\$0.14			\$0.14	\$0.16		
			Globex TAS			\$0.16			n/a	n/a			\$0.17			n/a	n/a		
			EFPIEFR Block	\$0.87		\$0.88			\$0.89	\$0.45	\$0.87		\$0.88			\$0.89	\$0.45		
	Individual Delegates	Delegate Account Owner	Globex			\$0.26			\$0.27	\$0.17			\$0.27			\$0.27	\$0.17		
			Globex TAS			\$0.30			n/a	n/a			\$0.31			n/a	n/a		
			EFPIEFR Block			\$1.01			\$1.02	\$0.46			\$1.01			\$1.02	\$0.46		
2	Rule 106.J Equity Member Firms Rule 106.I Affiliate Equity Member Firms Individual Equity Members (other Member/Delegate executing trade) Clearing Equity Member Firms Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Member or Delegate	Globex	\$0.12		\$0.13			\$0.14	\$0.16	\$0.13		\$0.14			\$0.14	\$0.16		
			Globex TAS			\$0.16			n/a	n/a			\$0.17			n/a	n/a		
			EFPIEFR Block	\$0.87		\$0.88			\$0.89	\$0.45	\$0.87		\$0.88			\$0.89	\$0.45		
		Non-Member	Globex			\$0.22			\$0.23	\$0.25			\$0.24			\$0.23	\$0.25		
			Globex TAS			\$0.30			n/a	n/a			\$0.32			n/a	n/a		
			EFPIEFR Block	\$0.87		\$0.88			\$0.89	\$0.45	\$0.87		\$0.88			\$0.89	\$0.45		
	Rule 106.S Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Globex			\$0.22			\$0.23	\$0.25			\$0.24			\$0.23	\$0.25		
			Globex TAS			\$0.30			n/a	n/a			\$0.32			n/a	n/a		
			EFPIEFR Block			\$0.88			\$0.89	\$0.45			\$0.88			\$0.89	\$0.45		
5	International Incentive Program (IIP) International Volume Incentive Program (IVIP) (For Other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex			\$0.49			\$0.49	\$0.70			\$0.54			\$0.54	\$0.50		
			Globex TAS			\$0.49			n/a	n/a						n/a	n/a		
	Central Bank Incentive Program (CBIP) Latin American Fund Manager Incentive Program (FMIP) (For Other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.56	\$0.58	\$0.65	\$0.70		\$0.50	\$0.70	\$0.61	\$0.70	\$0.77	\$0.80		\$0.53	\$0.55		
			Globex TAS	\$0.56	\$0.58	\$0.65	\$0.70		n/a	n/a						n/a	n/a		
	Non-Members	N/A	Globex	\$0.60	\$0.65	\$0.75	\$0.80	\$0.85	\$0.82	\$0.70	\$0.65	\$0.65	\$0.80	\$0.87	\$0.95	\$0.85	\$0.70		
			Globex TAS	\$0.60	\$0.65	\$0.75	\$0.80	\$0.85	n/a	n/a	\$0.65	\$0.65	\$0.80	\$0.87	\$0.95	n/a	n/a		
			EFPIEFR Block			\$1.21			\$1.41	\$0.85			\$1.21			\$1.41	\$0.85		

- Transaction fees for **NYMEX ENERGY FUTURES** GLOBEX TAS and Block TAS (Trade-At-Settlement) Trades will now have a differentiated rate, and transaction fees for the products listed, as follows:

			NEW COLUMN		NEW COLUMN	
			Globex TAS		ClearPort	
					Block TAS	
Symbol	Product	Division	Member	Non-Member	Member	Non-Member
BB	Brent Crude Oil Penultimate Financial Futures	NYMEX	0.95	1.35	0.95	1.35
BZ	Brent Look-Alike Crude Oil Futures	NYMEX	0.80	0.87	0.90	1.70
CL	Light Sweet Crude Oil (WTI) Futures	NYMEX	0.80	1.60	0.90	1.70
MCL	Micro WTI Crude Oil Futures	NYMEX	0.30	0.60	0.35	0.65
HH	Henry Hub Natural Gas Look-Alike Last Day Financial Futures	NYMEX	0.80	1.55	0.80	1.55
NG	Henry Hub Natural Gas Futures	NYMEX	0.80	1.60	0.90	1.70
NN	Henry Hub Natural Gas Last Day Financial Futures	NYMEX	0.31	0.44	0.31	0.44
7F	European Low Sulphur Gasoil (100mt) Bullet Futures	NYMEX	0.70	0.85	0.70	0.85
HO	NY Harbor ULSD Futures	NYMEX	0.80	1.60	0.90	1.70
RB	RBOB Gasoline Futures	NYMEX	0.80	1.60	0.90	1.70

- Differentiated “Day Rate” Transaction fees for **NYMEX ENERGY FUTURES** will be eliminated (and the standard Member rates will apply)

			CURRENT		NEW	
			Globex		Globex	
Symbol	Product	Division	Member Day	Member	Member Day	Member
BZ	Brent Look-Alike Crude Oil Futures	NYMEX	0.60	0.70	N/A	0.70
CL	Light Sweet Crude Oil (WTI) Futures	NYMEX	0.60	0.70	N/A	0.70
NG	Henry Hub Natural Gas Futures	NYMEX	0.60	0.70	N/A	0.70
HO	NY Harbor ULSD Futures	NYMEX	0.60	0.70	N/A	0.70
RB	RBOB Gasoline Futures	NYMEX	0.60	0.70	N/A	0.70

- Transaction fees for **NYMEX and COMEX METALS FUTURES & OPTIONS** will be adjusted as follows:

		CURRENT						NEW			
		Globex	ClearPort				Globex	ClearPort			
			EFP		EFR/EOO			EFP		EFR/EOO	
Symbol	Product	Member	Member	Non-Member	Member	Non-Member	Member	Member	Non-Member	Member	Non-Member
G1M	Gold Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G1W	Gold Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G2M	Gold Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G2W	Gold Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G3M	Gold Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G3W	Gold Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G4M	Gold Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G4W	Gold Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G5M	Gold Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
G5W	Gold Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
GC	Gold Futures	0.70	0.85	1.50	3.35	4.00	0.75	0.85	1.55	3.35	4.00
H1E	Copper Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H1M	Copper Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H1W	Copper Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H2E	Copper Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H2M	Copper Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H2W	Copper Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H3E	Copper Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H3M	Copper Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H3W	Copper Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H4E	Copper Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H4M	Copper Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H4W	Copper Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H5E	Copper Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H5M	Copper Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
H5W	Copper Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
HG	Copper Futures	0.70	0.85	1.50	3.35	4.00	0.75	0.85	1.55	3.35	4.00
HX	Copper Options	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
M1S	Silver Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
M2S	Silver Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
M3S	Silver Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
M4S	Silver Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
M5S	Silver Weekly Monday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG	Gold Options	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG1	Gold Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG2	Gold Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG3	Gold Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG4	Gold Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
OG5	Gold Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
PA	Palladium Futures	0.70	0.70	1.50	3.20	4.00	0.75	0.85	1.55	3.35	4.00
PAO	Palladium Options	0.70	N/A	N/A	0.70	1.55	0.75	N/A	N/A	3.35*	4.00*
PL	Platinum Futures	0.70	0.70	1.50	3.20	4.00	0.75	0.85	1.55	3.35	4.00
PO	Platinum Options	0.70	N/A	N/A	0.70	1.55	0.75	N/A	N/A	3.35*	4.00*
SI	Silver Futures	0.70	0.85	1.50	3.35	4.00	0.75	0.85	1.55	3.35	4.00
SO	Silver Options	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
SO1	Silver Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
SO2	Silver Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
SO3	Silver Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
SO4	Silver Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
SO5	Silver Weekly Friday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
W1S	Silver Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
W2S	Silver Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
W3S	Silver Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
W4S	Silver Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*
W5S	Silver Weekly Wednesday Option	0.70	N/A	N/A	0.85	1.55	0.75	N/A	N/A	3.35*	4.00*

- Transaction Fees for **NYMEX and COMEX METALS FUTURES** GLOBEX TAS and Block TAS (Trade-At-Settlement) Trades will now have a differentiated rate, for the products listed, as follows (excluding Micro Gold Futures):

			NEW COLUMN		NEW COLUMN	
			Globex TAS		ClearPort	
					Block TAS	
Symbol	Product	Division	Member	Non-Member	Member	Non-Member
GC	Gold Futures	COMEX	0.85	1.70	0.90	1.75
HG	Copper Futures	COMEX	0.85	1.70	0.90	1.75
PA	Palladium Futures	NYMEX	0.85	1.70	0.90	1.75
PL	Platinum Futures	NYMEX	0.85	1.70	0.90	1.75
SI	Silver Futures	COMEX	0.85	1.70	0.90	1.75

- Facilitation Fees for **NYMEX and COMEX METALS PRODUCTS** will be adjusted as follows:

		CURRENT	NEW
		Fac Fee	Fac Fee
Product Group	Facilitation Fee	Facilitation Fee	
Metals	0.50		0.60
Micro Metals	0.50		0.60

*Fees denoted with an asterisk are pending all relevant CFTC regulatory review periods. For all other fee amendments included herein, the CFTC will be notified during the week of February 6, 2023 via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

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