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January 7, 2019

## **VIA CFTC PORTAL**

Commodity Futures Trading Commission Attention: Chris Kirkpatrick, Secretary Three Lafayette Centre 1155 21<sup>st</sup> Street, NW Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Notification of Delisting Eight (8) Nodal Exchange Futures and Options Contracts.

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC ("Nodal Exchange" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is delisting four environmental futures contracts and 4 environmental options contracts. Accordingly, the Exchange is self-certifying amendments to Appendix A (Contract Specifications) and Appendix C (Reporting Levels, Position Accountability Levels and Position Limits) of the Nodal Exchange Rulebook pursuant to Commission Regulation 40.6(a). The amendments to Appendices A and C are attached hereto, indicating the specific contracts being delisted by the Exchange. The contracts were delisted on January 4, 2019.

The Exchange is delisting eight dormant contracts since there is no longer an active expiry and no open interest on these contracts.

Cross State Air Pollution Rule NOX Ozone Season Group 2 Allowance Vintage 2017 Future Cross State Air Pollution Rule NOX Ozone Season Group 2 Allowance Vintage 2017 Option Cross State Air Pollution Rule TR NOx Annual Allowance Vintage 2017 Future Cross State Air Pollution Rule TR NOx Annual Allowance Vintage 2017 Option Cross State Air Pollution Rule TR SO2 Group 1 Allowance Vintage 2017 Future Cross State Air Pollution Rule TR SO2 Group 1 Allowance Vintage 2017 Option Cross State Air Pollution Rule TR SO2 Group 2 Allowance Vintage 2017 Future Cross State Air Pollution Rule TR SO2 Group 2 Allowance Vintage 2017 Option

Exchange management has assessed the amendments to Appendices A and C, and their compliance with applicable provisions of the Commodity Exchange Act ("Act"), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that

the delisting of the eight dormant contacts indicated by amendments to Appendices A and C may affect the following Core Principles as follows:

<u>Core Principle 6 – Emergency Authority</u>: There is no open interest in these contracts, and therefore there will be no market disruption related to their delisting.

<u>Core Principle 7 – Availability of General Information</u>: The Exchange is posting on its website (<u>www.nodalexchange.com</u>) the delisting of the contracts, including the contract specifications for the delisted contracts. Upon delisting, the terms and conditions of these contracts will no longer be available on the Nodal Exchange Rulebook Appendix A – Contract Specifications.

Pursuant to Section 5c(c) of the Act and the Commission's Regulation 40.6, the Exchange certifies that the delisting of these contracts complies with the Act and the Commission's Regulations thereunder. There have not been any opposing views expressed regarding these amendments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at <a href="https://www.nodalexchange.com">www.nodalexchange.com</a>.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or <a href="marketic@nodalexchange.com">marketic@nodalexchange.com</a>.

Sincerely,

/s/ Max Markotic

Senior Compliance Manager

## Attachments:

Nodal Exchange Rulebook Appendix A Delisted Contracts
Redline of Nodal Exchange Rulebook Appendix C (relevant sections)