

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 23-415

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 10/10/23 Filing Description: Expansion of Trading at Settlement (TAS) Eligibility of the Gold Futures Contract

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers: See filing.

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected:

Rule Numbers:

October 10, 2023

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
 Office of the Secretariat
 Commodity Futures Trading Commission
 Three Lafayette Centre
 1155 21st Street, N.W.
 Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification. Expansion of Trading at Settlement (TAS) Eligibility of the Gold Futures Contract. COMEX Submission 23-415

Dear Mr. Kirkpatrick:

The Commodity Exchange, Inc. (“COMEX” or “Exchange”) is certifying to the Commodity Futures Trading Commission (“CFTC” or “Commission”) amendments to the Trading at Settlement (“TAS”) Table (the “Table”) located in Chapter 5 (“Trading Qualifications and Practices”) to expand TAS eligibility for the Gold Futures contract noted in Table 1. below (the “Contract”) for trading on CME Globex electronic trading platform and for submission for clearing via CME ClearPort effective on Sunday, November 5, 2023, for trade date Monday, November 6, 2023. In addition, spread functionality will be permitted for all combinations of TAS eligible contract months of the Contract (collectively, the “Rule Amendments”).

Contract Title	Rulebook Chapter	CME Globex and CME ClearPort Code	TAS Code	Current TAS Eligible	Expanded TAS Eligible
Gold Futures	113	GC	GCT	TAS transactions are permitted in the first, second, third, fourth and fifth active contract months. The active contract months are February, April, June, August, and December	TAS transactions are permitted in the first, second, third, fourth and fifth active contract months. The active contract months are February, April, June, August, <u>October</u> , and December

The Rule Amendments to the Table are provided in Exhibit A attached with additions **underscoring**, and deletions ~~struck through~~.

The Exchange conducted an analysis of liquidity of the affected contract month and determined that the expansion of TAS eligibility for the Contract is appropriate at this time. Additional information regarding TAS transactions may be viewed [HERE](#).

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the Rule Amendments may have some bearing on the following core principles:

- **Availability of General Information**: The Exchange shall publish information regarding the Rule Amendments via a Special Executive Report (“SER”) to notify the marketplace. The SER will also be posted on the CME Group website.
- **Contracts Not Readily Subject to Manipulation**: A review of the Contract shows that there is sufficient liquidity on CME Globex to support expanded TAS eligibility for the Contract. The Exchange continues to be of the belief that the Contract is not subject to manipulation.
- **Daily Publication of Trading Information**: The Exchange shall make public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contract.
- **Compliance with Rules**: The Exchange shall continue its normal practice to establish, monitor and enforce compliance with the rules of the Contract including the Rule Amendments.
- **Execution of Transactions**: The Exchange will continue its current practice of providing a competitive, open, and efficient market mechanism for executing transactions in the Contract.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange certifies that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to the Rule Amendments.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – Amendments to the Trading at Settlement Table Located in COMEX Chapter 5 (“Trading Qualifications and Practices”)

EXHIBIT A

**COMEX Rulebook
Chapter 5
("Trading Qualifications and Practices")
Trading at Settlement ("TAS") Table
(additions underscored; deletions ~~struck through~~)**

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
COMEX												
Gold (GCT)	<i>Feb*</i>	<i>Apr</i>	<i>Apr*</i>	<i>Jun</i>	<i>Jun*</i>	<i>Aug</i>	<i>Aug*</i>	<u>Oct</u>	<u>Oct</u>	<i>Dec</i>	<i>Dec*</i>	<i>Feb</i>
	<i>Apr</i>	<i>Jun</i>	<i>Jun</i>	<i>Aug</i>	<i>Aug</i>	<u>Oct</u>	<u>Oct</u>	<i>Dec</i>	<i>Dec</i>	<i>Feb</i>	<i>Feb</i>	<i>Apr</i>
	<i>Jun</i>	<i>Aug</i>	<i>Aug</i>	<u>Oct</u>	<u>Oct</u>	<i>Dec</i>	<i>Dec</i>	<i>Feb</i>	<i>Feb</i>	<i>Apr</i>	<i>Apr</i>	<i>Jun</i>
	<i>Aug</i>	<u>Oct</u>	<u>Oct</u>	<i>Dec</i>	<i>Dec</i>	<i>Feb</i>	<i>Feb</i>	<i>Apr</i>	<i>Apr</i>	<i>Jun</i>	<i>Jun</i>	<i>Aug</i>
	<u>Oct</u>	<i>Dec</i>	<i>Dec</i>	<i>Feb</i>	<i>Feb</i>	<i>Apr</i>	<i>Apr</i>	<i>Jun</i>	<i>Jun</i>	<i>Aug</i>	<i>Aug</i>	<u>Oct</u>
	<i>Dec</i>	<i>Feb</i>	<i>Feb</i>	<i>Apr</i>	<i>Apr</i>	<i>Jun</i>	<i>Jun</i>	<i>Aug</i>	<i>Aug</i>			<i>Dec</i>
	<i>Feb-Apr</i>	<i>Apr-Jun</i>	<i>Apr-Jun</i>	<i>Jun-Aug</i>	<i>Jun-Aug</i>	<u>Aug-Oct</u>	<u>Aug-Oct</u>	<u>Oct-Dec</u>	<u>Oct-Dec</u>	<i>Dec-Feb</i>	<i>Dec-Feb</i>	<i>Feb-Apr</i>
	<i>Feb-Jun</i>	<i>Apr-Aug</i>	<i>Apr-Aug</i>	<u>Jun-Oct</u>	<u>Jun-Oct</u>	<i>Aug-Dec</i>	<i>Aug-Dec</i>	<u>Oct-Feb</u>	<u>Oct-Feb</u>	<i>Dec-Apr</i>	<i>Dec-Apr</i>	<i>Feb-Jun</i>
	<i>Feb-Aug</i>	<u>Apr-Oct</u>	<u>Apr-Oct</u>	<i>Jun-Dec</i>	<i>Jun-Dec</i>	<i>Aug-Feb</i>	<i>Aug-Feb</i>	<u>Oct-Apr</u>	<u>Oct-Apr</u>	<i>Dec-Jun</i>	<i>Dec-Jun</i>	<i>Feb-Aug</i>
	<u>Feb-Oct</u>	<i>Apr-Dec</i>	<i>Apr-Dec</i>	<i>Jun-Feb</i>	<i>Jun-Feb</i>	<i>Aug-Apr</i>	<i>Aug-Apr</i>			<i>Dec-Aug</i>	<i>Dec-Aug</i>	<u>Feb-Oct</u>
	<i>Feb-Dec</i>	<i>Apr-Feb</i>	<i>Apr-Feb</i>	<i>Jun-Apr</i>	<i>Jun-Apr</i>	<i>Aug-Jun</i>	<i>Aug-Jun</i>	<u>Oct-Jun</u>	<u>Oct-Jun</u>	<i>Dec-Aug</i>	<i>Dec-Aug</i>	<i>Feb-Dec</i>
	<i>Feb-Dec</i> <i>Apr-Jun</i>	<i>Jun-Aug</i>	<i>Jun-Aug</i>	<u>Aug-Oct</u>	<u>Aug-Oct</u>	<u>Oct-Dec</u>	<u>Oct-Dec</u>	<i>Dec-Feb</i>	<i>Dec-Feb</i>	<i>Feb-Apr</i>	<i>Feb-Apr</i>	<i>Apr-Jun</i>
	<i>Apr-Aug</i>	<u>Jun-Oct</u>	<u>Jun-Oct</u>	<i>Aug-Dec</i>	<i>Aug-Dec</i>	<u>Oct-Feb</u>	<u>Oct-Feb</u>	<i>Dec-Apr</i>	<i>Dec-Apr</i>	<i>Feb-Jun</i>	<i>Feb-Jun</i>	<i>Apr-Aug</i>
	<u>Apr-Oct</u>	<i>Jun-Dec</i>	<i>Jun-Dec</i>	<i>Aug-Feb</i>	<i>Aug-Feb</i>	<u>Oct-Apr</u>	<u>Oct-Apr</u>	<i>Dec-Jun</i>	<i>Dec-Jun</i>	<i>Feb-Aug</i>	<i>Feb-Aug</i>	<u>Apr-Oct</u>
	<i>Apr-Dec</i> <i>Jun-Aug</i>	<u>Aug-Oct</u>	<u>Aug-Oct</u>	<u>Oct-Dec</u>	<u>Oct-Dec</u>	<i>Dec-Feb</i>	<i>Dec-Feb</i>	<i>Feb-Apr</i>	<i>Feb-Apr</i>	<i>Apr-Jun</i>	<i>Apr-Jun</i>	<i>Jun-Aug</i>
	<u>Jun-Oct</u>	<i>Aug-Dec</i>	<i>Aug-Dec</i>			<i>Dec-Apr</i>	<i>Dec-Apr</i>	<i>Feb-Jun</i>	<i>Feb-Jun</i>	<i>Apr-Aug</i>	<i>Apr-Aug</i>	<u>Jun-Oct</u>
	<i>Jun-Dec</i> <u>Aug-Oct</u> <i>Aug-Dec</i>	<u>Oct-Dec</u>	<u>Oct-Dec</u>	<i>Dec-Feb</i>	<i>Dec-Feb</i>	<i>Feb-Apr</i>	<i>Feb-Apr</i>	<i>Apr-Jun</i>	<i>Apr-Jun</i>	<i>Jun-Aug</i>	<i>Jun-Aug</i>	<u>Aug-Oct</u>
		<i>Dec-Feb</i>	<i>Dec-Feb</i>	<i>Dec-Apr</i>	<i>Dec-Apr</i>	<i>Feb-Jun</i>	<i>Feb-Jun</i>	<i>Apr-Aug</i>	<i>Apr-Aug</i>			<i>Aug-Dec</i>

Gold Futures: Trading at Settlement (TAS) is allowed in the first, second, third, fourth and fifth nearby active contract months (Feb, Apr, Jun, Aug, **Oct**, Dec)