

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional) REX

Date: 9/28/2026

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED. ☒

ORGANIZATION

Railbird Exchange, LLC d/b/a DKeX

FILING AS A: ☒ DCM ☐ SEF ☐ DCO ☐ SDR ☐ ECM/SPDC

TYPE OF FILING

• Rules and Rule Amendments

- ☐ Certification under § 40.6 (a) or § 41.24 (a)
- ☐ “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- ☒ Notification under § 40.6 (d)
- ☐ Request for Approval under § 40.4 (a) or § 40.5 (a)
- ☐ Advance Notice of SIDCO Rule Change under § 40.10 (a)

• Products

- ☐ Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)
- ☐ Swap Class Certification under § 40.2 (d)
- ☐ Request for Approval under § 40.3 (a)
- ☐ Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

13.1

DESCRIPTION

Updated minimum tick size