## SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested $\square$ Registered Entity Identifier Code (optional): 23-327
Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")
Filing as a:
 DCM SEF $\square$ DCO $\square$ SDR

Please note - only ONE choice allowed.
Filing Date ( $\mathrm{mm} / \mathrm{dd} / \mathrm{yy}$ ): 09/01/23 Filing Description: Expansion of Trading at Settlement (TAS) Eligibility for the KC HRW Wheat, Soybean, Soybean Meal, and Soybean Oil Futures Contracts

## SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.
Organization Rules and Rule Amendments


Certification
§ 40.6(a)
Approval
§ 40.5(a)
Notification
§ 40.6(d)
Advance Notice of SIDCO Rule Change
§ 40.10(a)
SIDCO Emergency Rule Change
§ 40.10(h)
Rule Numbers: See filing.
New Product Please note only ONE product per Submission.

Certification
Certification Security Future
§ 40.2(a)
Certification Security Futures
§ 41.23(a)
Certification Swap Class
§ 40.2(d)
Approval
§ 40.3(a)
Approval Security Futures
§ 41.23(b)
Novel Derivative Product Notification
Swap Submission
§ 39.5
Product Terms and Conditions (product related Rules and Rule Amendments)

| Certification | § 40.6(a) |
| :---: | :---: |
| Certification Made Available to Trade Determination | § 40.6(a) |
| Certification Security Futures | § 41.24(a) |
| Delisting (No Open Interest) | § 40.6(a) |
| Approval | § 40.5(a) |
| Approval Made Available to Trade Determination | § 40.5(a) |
| Approval Security Futures | § 41.24(c) |
| Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected:
Rule Numbers:

## CME Group

September 1, 2023

## VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

## Re: CFTC Regulation 40.6(a) Certification. Expansion of Trading at Settlement (TAS) Eligibility for the KC HRW Wheat, Soybean, Soybean Meal, and Soybean Oil Futures Contracts. <br> CBOT Submission 23-327

Dear Mr. Kirkpatrick:
The Board of Trade of the City of Chicago, Inc. ("CBOT") is certifying to the Commodity Futures Trading Commission ("CFTC" or "Commission") amendments to the Trading at Settlement ("TAS") Table (the "Table") located in Chapter 5 ("Trading Qualifications and Practices") to expand TAS eligibility for the agricultural futures contracts noted below (the "Contracts") for trading on CME Globex electronic trading platform and for submission for clearing via CME ClearPort effective on Sunday, October 1, 2023, for trade date Monday, October 2, 2023. In addition, spread functionality will be permitted for all combinations of TAS eligible contract months of the Contracts (collectively, the "Rule Amendments").

| Contract Title | Rulebook <br> Chapter | CME Globex/ <br> CME ClearPort Code | TAS Code |
| :---: | :---: | :---: | :---: |
| KC HRW Wheat Futures | 14 H | KE/KW | ZCT |
| Soybean Futures | 11 | ZS/S | SBT |
| Soybean Meal Futures | 13 | ZL/06 | ZMT |
| Soybean Oil Futures | 12 | ZL/07 | ZLT |

The Rule Amendments to the Table are provided in Exhibit A attached with additions underscored.
The Exchange conducted an analysis of liquidity of the affected contract month and determined that the expansion of TAS eligibility for the Contracts is appropriate at this time. Additional information regarding TAS transactions may be viewed HERE.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified that the Rule Amendments may have some bearing on the following core principles:

- Availability of General Information: The Exchange shall publish information regarding the Rule Amendments via a Special Executive Report ("SER") to notify the marketplace. The SER will also be posted on the CME Group website.
- Contracts Not Readily Subject to Manipulation: A review of the Contracts shows that there is sufficient liquidity on CME Globex to support expanded TAS eligibility of the Contracts TAS. The Exchange continues to be belief that the Contracts are not subject to manipulation.
- Daily Publication of Trading Information: The Exchange shall make public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contract.
- Compliance with Rules: The Exchange shall continue its normal practice to establish, monitor and enforce compliance with the rules of the Contracts including the Rule Amendments.
- Execution of Transactions: The Exchange will continue its current practice of providing a competitive, open, and efficient market mechanism for executing transactions in the Contracts.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange certifies that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to the Rule Amendments.
The Exchange certifies that this submission has been concurrently posted on the CME Group website at http://www.cmegroup.com/market-regulation/rule-filings.html.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,
/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A - Amendments to the Trading at Settlement Table Located in CBOT/CME Chapter 5 ("Trading Qualifications and Practices")

## Exhibit A

CME/CBOT Rulebook
Chapter 5
("Trading Qualifications and Practices")
Trading at Settlement ("TAS") Table
(additions underscored)
Trading at Settlement ("TAS") Outright and Calendar Spread Availability - CBOT Grain and Oilseed Futures


| Soybean (S) | Mar- <br> May | Mar- <br> May | MayJul | MayJul | Jul- <br> Aug | Jul- <br> Aug | AugSep | Sep- <br> Nov | Nov- <br> Jan | Nov- <br> Jan | Jan- <br> Mar | Jan- Mar |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Mar- | Mar- | May- | May- | Jul- | Jul- | Aug- | Sep- | Nov- | Nov- | Jan- | Jan- |
|  | Jul | Jul | Aug | Aug | Sep | Sep | Nov | Jan | Mar | Mar | May | May |
|  | Mar- | Mar- | May- | May- | Jul- | Jul- | Aug- | Sep- | Nov- | Nov- | Jan- | Jan- |
|  | Aug | Aug | Sep | Sep | Nov | Nov | Jan | Mar | May | May | Jul | Jul |
|  |  |  | May- | May- | Jul- | Jul- | Aug- | Sep- | Nov- | Nov- | Jan- | Jan- |
|  |  |  | Nov | Nov | Jan | Jan | Mar | May | Jul | Jul | Aug | Aug |
|  | Mar- | Mar- | May- | May- | Jul- | Jul- | Aug- | Sep- |  |  |  |  |
|  | Nov | Nov | Jan | Jan | Mar | Mar | May | Jul |  |  |  |  |
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|  |  | Jan | Mar | Mar |  | May | Jul |  |  |  | Nov | Nov |
|  | May- | May- | Jul- | Jul- | Aug- | Aug- | Sep- | Nov- | Jan- | Jan- | Mar- | Mar- |
|  | Jul | Jul | Aug | Aug | Sep | Sep | Nov | Jan | Mar | Mar | May | May |
|  | May- | May- | Jul- | Jul- | Aug- | Aug- | Sep- | Nov- | Jan- | Jan- | Mar- | Mar- |
|  | Aug | Aug | Sep | Sep | Nov | Nov | Jan | Mar | May | May | Jul | Jul |
|  |  |  | Jul- | Jul- | Aug- | Aug- | Sep- | Nov- | Jan- | Jan- | Mar- | Mar- |
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|  | May- | May- | Jul- | Jul- | Aug- | Aug- | Sep- | Nov- |  |  |  |  |
|  | Nov | Nov | Jan | Jan | Mar | Mar | May | Jul |  |  |  |  |
|  |  | May- | Jul- | Jul- |  | Aug- | Sep- |  |  |  | Mar- | Mar- |
|  |  | Jan | Mar | Mar |  | May | Jul |  |  |  | Nov | Nov |
|  | Jul- | Jul- | Aug- | Aug- | Sep- | Sep- | Nov- | Jan- | Mar- | Mar- | May- | May- |
|  | Aug | Aug | Sep | Sep | Nov | Nov | Jan | Mar | May | May | Jul | Jul |
|  |  |  | Aug- | Aug- | Sep- | Sep- | Nov- | Jan- | Mar- | Mar- | May- | May- |
|  |  |  | Nov | Nov | Jan | Jan | Mar | May | Jul | Jul | Aug | Aug |
|  | Jul- | Jul- | Aug- | Aug- | Sep- | Sep- | Nov- | Jan- |  |  |  |  |
|  | Nov | Nov | Jan | Jan | Mar | Mar | May | Jul |  |  |  |  |
|  |  | Jul- | Aug- |  |  | Sep- | Nov- |  |  |  | May- | May- |
|  |  | Jan | Mar |  |  | May | Jul |  |  |  | Nov | Nov |
|  |  |  | Sep- | Sep- | Nov- | Nov- | Jan- | Mar- | May- | May- | Jul- | Jul- |
|  |  |  | Nov | Nov | Jan | Jan | Mar | May | Jul | Jul | Aug | Aug |
|  | Aug- | Aug- | Sep- | Sep- | Nov- | Nov- | Jan- | Mar- |  |  |  |  |
|  | Nov | Nov | Jan | Jan | Mar | Mar | May | Jul |  |  |  |  |
|  |  | Aug- | Sep- | Sep- |  | Nov- | Jan- |  |  |  | Jul- | Jul- |
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|  |  | Sep- | Nov- | Nov- | Jan- | Jan- | Mar- | May- |  |  |  |  |
|  | Nov | Nov | Jan | Jan | Mar | Mar | May | Jul |  |  |  |  |
|  |  | Sep- | Nov- | Nov- |  | Jan- | Mar- |  |  |  | Aug- | Aug- |
|  |  | Jan | Mar | Mar |  | May | Jul |  |  |  | Nov | Nov |
|  |  | Nov- | Jan- | Jan- |  | Mar- | May- |  |  |  | Sep- | Sep- |
|  |  | Jan | Mar | Mar |  | May | Jul |  |  |  | Nov | Nov |
|  | Mar | Mar | May | May | Jul | Jul | Aug | Sep | Oct | Dec | Dec | Jan |
|  | May | May | Jul | Jul | Aug | Aug | Sep | Oct | Dec | Jan | Jan | Mar |


| Meal (06) | Jul Dec | Jul Dec | Aug Dec | Aug <br> Dec <br> Jan | Sep <br> Dec <br> Jan <br> Mar | Sep <br> Oct <br> Dec <br> Jan <br> Mar | Oct <br> Dec <br> Jan <br> Mar <br> May | Dec Jan <br> Mar <br> May | Jan <br> Mar <br> May <br> Jul | $\begin{aligned} & \text { Mar } \\ & \text { May } \\ & \hline \underline{\text { Jul }} \end{aligned}$ | Mar <br> May <br> Jul | May <br> Jul <br> Dec |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | May- | May- |  |  | Aug- | Sep- | Oct- | Dec- |  |  |
|  | May | May | Jul | Jul | Aug | Aug | Sep | Oct | Dec | Jan | Jan | Mar |
|  | Mar- | Mar- | May- | May- | Jul- | Jul- | Aug- | Sep- | Oct- | Dec- | Dec- | Jan- |
|  | Jul | Jul | Aug | Aug | Sep | Sep | Oct | Dec | Jan | Mar | Mar | May |
|  |  |  |  |  |  | Jul- | Aug- | Sep- | Oct- | Dec- | Dec- | Jan- |
|  |  |  |  |  |  | Oct | Dec | Jan | Mar | May | May | Jul |
|  |  |  |  |  | Jul- | Jul- | Aug- | Sep- | Oct- | Dec- | Dec- |  |
|  |  |  |  |  | Dec | Dec | Jan | Mar | May | Jul | Jul |  |
|  |  |  | May- | May- | Jul- | Jul- | Aug- | Sep- | Oct- |  |  |  |
|  |  |  | Dec | Dec | Jan | Jan | Mar | May | Jul |  |  |  |
|  | Mar- | Mar- |  | May- | Jul- | Jul- | Aug- |  |  |  |  |  |
|  | Dec | Dec |  | Jan | Mar | Mar | May |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  | $\frac{\text { Jan- }}{\text { Dec }}$ |
|  | May- | May- | Jul- | Jul- | Aug- | Aug- | Sep- | Oct- | Dec- | Jan- | Jan- | Mar- |
|  | Jul | Jul | Aug | Aug | Sep | Sep | Oct | Dec | Jan | Mar | Mar | May |
|  |  |  |  |  |  | Aug- | Sep- | Oct- | Dec- | Jan- | Jan- | Mar- |
|  |  |  |  |  |  | Oct | Dec | Jan | Mar | May | May | Jul |
|  |  |  |  |  | Aug- | Aug- | Sep- | Oct- | Dec- | Jan- | Jan- |  |
|  |  |  |  |  | Dec | Dec | Jan | Mar | May | Jul | Jul |  |
|  |  |  | Jul- | Jul- | Aug- | Aug- | Sep- | Oct- | Dec- |  |  |  |
|  |  |  | Dec | Dec | Jan | Jan | Mar | May | Jul |  |  |  |
|  | May- | May- |  | Jul- | Aug- | Aug- | Sep- |  |  |  |  |  |
|  | Dec | Dec |  | Jan | Mar | Mar | May |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  | $\frac{\text { Mar- }}{\text { Dec }}$ |
|  |  |  |  |  |  | Sep- | Oct- | Dec- | Jan- | Mar- | Mar- | May- |
|  |  |  |  |  |  | Oct | Dec | Jan | Mar | May | May | Jul |
|  |  |  |  |  | Sep- | Sep- | Oct- | Dec- | Jan- | Mar- | Mar- |  |
|  |  |  |  |  | Dec | Dec | Jan | Mar | May | Jul | Jul |  |
|  |  |  | Aug- | Aug- | Sep- | Sep- | Oct- | Dec- | Jan- |  |  |  |
|  |  |  | Dec | Dec | Jan | Jan | Mar | May | Jul |  |  |  |
|  | Jul- | Jul- |  | Aug- | Sep- | Sep- | Oct- |  |  |  |  |  |
|  | Dec | Dec |  | Jan | Mar | Mar | May |  |  |  |  |  |
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|  | $\begin{aligned} & \frac{\text { Aug- }}{\text { Dec }} \\ & \underline{\text { Sep- }} \\ & \underline{\text { Dec }} \\ & \underline{\text { Oct- }} \\ & \underline{\text { Dec }} \end{aligned}$ | Aug- Dec <br> Sep- <br> Dec <br> Oct- <br> Dec | $\frac{\text { Sep- }}{\text { Dec }}$ | Sep- <br> Dec <br> Sep- <br> Jan <br> Oct- <br> Dec <br> Oct- <br> Jan <br> Dec- <br> Jan | $\underline{\text { Oct- }}$ <br> $\underline{\text { Dec }}$ <br> $\underline{\text { Oct- }}$ <br> $\underline{\text { Jan }}$ <br> $\underline{\text { Oct- }}$ <br> $\underline{\text { Mar }}$ <br> $\underline{\text { Dec- }}$ <br> $\underline{\text { Jan }}$ <br> $\underline{\text { Mar }}$ <br> $\underline{\text { Jan- }}$ | Oct- <br> Dec <br> Oct- <br> Jan <br> Oct- <br> Mar <br> Dec- <br> Jan <br> Dec- <br> Mar <br> Jan- <br> Mar | DecJan DecMar DecMay <br> Jan- <br> Mar <br> Jan- <br> May <br> Mar- <br> May | Jan- <br> Mar <br> Jan- <br> May <br> Mar- <br> May | Mar- <br> May <br> Mar- <br> Jul <br> May- <br> Jul | $\frac{\text { May- }}{\underline{\text { Jul }}}$ | $\frac{\text { May- }}{\text { Jul }}$ | Jul- <br> Dec <br> Aug- <br> Dec <br> Sep- <br> Dec <br> Oct- <br> Dec |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Soybean Oil (07) | Mar <br> May <br> Jul <br> Dec | Mar <br> May <br> Jul <br> Aug <br> Dec | May <br> Jul <br> Aug <br> Dec | May <br> Jul <br> Aug <br> Sep <br> Dec <br> Jan | Jul <br> Aug <br> Sep <br> Oct <br> Dec <br> Jan | Jul <br> Aug <br> Sep <br> Oct <br> Dec <br> Jan <br> Mar | Aug <br> Sep <br> Oct <br> Dec <br> Jan <br> Mar | Sep <br> Oct <br> Dec <br> Jan <br> Mar <br> May <br> Jul | Oct <br> Dec <br> Jan <br> Mar <br> May <br> Jul | $\begin{aligned} & \hline \text { Dec } \\ & \text { Jan } \\ & \text { Mar } \\ & \text { May } \\ & \hline \underline{\text { Jul }} \end{aligned}$ | $\begin{gathered} \text { Dec } \\ \text { Jan } \\ \text { Mar } \\ \text { May } \\ \text { Jul } \end{gathered}$ | Jan <br> Mar <br> May <br> Jul <br> Dec |
|  | Mar- <br> May <br> Mar- <br> Jul <br> Mar- <br> Dec | Mar- <br> May <br> Mar- <br> Jul <br> Mar- <br> Aug <br> Mar- <br> Dec | May- <br> Jul <br> May- <br> Aug <br> May- <br> Dec | May- <br> Jul <br> May- <br> Aug <br> May- <br> Sep <br> May- <br> Dec <br> May- <br> Jan | Jul- <br> Aug <br> Jul- <br> Sep <br> Jul- <br> Oct <br> Jul- <br> Dec <br> Jul- <br> Jan | Jul- <br> Aug <br> Jul- <br> Sep <br> Jul- <br> Oct <br> Jul- <br> Dec <br> Jul- <br> Jan <br> Jul- <br> Mar | Aug- <br> Sep <br> Aug- <br> Oct <br> Aug- <br> Dec <br> Aug- <br> Jan <br> Aug- <br> Mar | Sep- <br> Oct <br> Sep- <br> Dec <br> Sep- <br> Jan <br> Sep- <br> Mar <br> Sep- <br> May <br> Sep- <br> Jul | Oct- <br> Dec <br> Oct- <br> Jan <br> Oct- <br> Mar <br> Oct- <br> May <br> Oct- <br> Jul | DecJan DecMar DecMay DecJul | Dec- <br> Jan <br> Dec- <br> Mar <br> Dec- <br> May <br> Dec- <br> Jul | $\begin{aligned} & \begin{array}{l} \text { Jan- } \\ \text { Mar } \\ \text { Jan- } \\ \underline{\text { May }} \\ \underline{\text { Jan- }} \\ \underline{\text { Jul }} \\ \underline{\text { Jan- }} \end{array} \\ & \hline \underline{\text { Dec }} \end{aligned}$ |



