

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 23-326

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 07/24/23 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of July 17, 2023)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

July 24, 2023

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 23-326**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of July 17, 2023.

On July 20, 2023, the Exchange approved the application of Grafton Warehouse Services (UK) Limited for regularity for the storage of aluminum deliverable against the respective COMEX Aluminum futures contract at their location in Rotterdam, The Netherlands. The marketplace was alerted of the approval via MKR #07-20-23, which is attached hereto as Exhibit A.

In accordance with the changes above, the Exchange updated the COMEX Rulebook Chapter 7 “Service Providers” spreadsheet (“Spreadsheet”) for “Base Metals - Charges” and “Base Warehouses Weighmasters.” The Spreadsheet is attached under separate cover, in blackline format, as Exhibit B.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMESubmissionInquiry@cmegroup.com](mailto:CMESubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #07-20-23  
Exhibit B – COMEX Rulebook Chapter 7 – Service Providers (attached under separate cover) (blackline format)

## Exhibit A

**DATE:** July 20, 2023  
**MKR#:** 07-20-23  
**SUBJECT:** Regularity Approval for Aluminum

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The Commodity Exchange, Inc. (“COMEX” or “Exchange”) has received and approved the application of **Grafton Warehouse Services (UK) Limited** for regularity for the storage of aluminum deliverable against the respective COMEX Aluminum futures contract at their location in Rotterdam, The Netherlands.

The approved outdoor capacity for aluminum at this location is 10,000 metric tons.

The primary conveyance designated by the Exchange for aluminum shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in COMEX Chapter 7, Rule 703.B.3.b., contingent on any pending conveyance orders submitted prior to the primary conveyance order.

The alternative conveyance designated by the Exchange for aluminum shall be rail. The minimum guaranteed daily load out rate for this conveyance shall be 400 metric tons which shall be published in the Interpretations & Special Notices Related to Chapter 7. In electing an alternate conveyance, the minimum guaranteed daily load out rate for the alternate conveyance shall apply absent any pending conveyance orders submitted prior to the alternate conveyance order.

At all times, the regular facility shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders, on a first come first served basis.

The rates that have been approved by the Exchange to be published on behalf of Grafton Warehouse Services (UK) Limited for aluminum are as follows:

|   |                    |
|---|--------------------|
| Monthly indoor storage charge per metric ton                          | N/A                |
| Minimum storage charge per month for less than one lot (partial lots) | \$115.00           |
| Storage – outdoor per metric ton per month or part thereof            | \$13.00            |
| Inbound handling per metric ton (truck & rail)                        | \$6.62             |
| Outbound handling to truck per metric ton                             | \$40.00            |
| Blocking and bracing per metric ton (truck)                           | \$100.00 per truck |
| Outbound handling to rail car per metric ton                          | \$40.00            |

|   |                      |
|---|----------------------|
| Blocking and bracing per metric ton (rail car)            | \$5.00               |
| Outbound handling to container per metric ton             | \$40.00              |
| Blocking and bracing per metric ton (container)           | \$5.00               |
| Outbound handling to flatbed per metric ton               | \$40.00              |
| Blocking and bracing per metric ton (flatbed)             | \$100.00 per flatbed |
| Weighing charges per metric ton                           | \$8.00               |
| Facility receipt (issue & replacement)                    | \$50.00              |
| Bill of lading  | \$30.00              |
| Additional labor per man hour                             | \$60.00              |
| Restocking fee per metric ton of cancelled/changed orders | \$5.00               |
| Cancellation charge per shipment cancelled                | \$75.00              |

Please refer questions on this subject to:

**Market Surveillance:**

Kyle Miller

[Kyle.Miller@cmegroup.com](mailto:Kyle.Miller@cmegroup.com)

(312) 341-3436

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of July 24, 2023 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**Exhibit B**

**COMEX Rulebook  
Chapter 7  
“Service Providers”**

(additions underlined, deletions overstruck)

(attached under separate cover)