



Small Exchange Weekly Notification of Rule Amendments

June 16, 2023

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
3 Lafayette Center
1155 21st Street NW
Washington, DC 20581

RE: SMFE 2023-003 - Weekly Notification of Rule Amendments – Removal of d/b/a for Small Exchange, Inc. (SMFE)

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), Small Exchange, Inc. (the “Exchange”) hereby submits this Weekly Notification of the following rule amendments issued during the week of May 1, 2023:

- Revisions to the Exchange Rulebook to reflect the removal of crypto.com | Derivatives North America as a doing business as name for the Exchange, and to continue the use of the acronym SMFE to denote the Exchange’s future rule amendments and related non-substantive revisions.

Attached to this filing is a redline showing the changes to the Exchange Rulebook. This filing and the revised Exchange Rulebook are available at <https://smallexchange.com/>.

If you have any questions or require any further information, please contact the undersigned at peter.santori@thesmallexchange.com.

Sincerely,

/s/ Peter Santori
Chief Regulatory Officer and
Chief Compliance Officer

Attachments: Appendix A Amendments to Exchange Rulebook

Appendix A
Amendments to Exchange Rulebook

Small Exchange, Inc.

Rulebook

~~d/b/a~~

~~crypto.com |~~ Derivatives

* * *

Chapter 1: Definitions

* * *

Exchange means Small Exchange, Inc. ~~d/b/a crypto.com | Derivatives~~, an intermediated market, or any successor thereto.

* * *

Joint Audit Committee means a voluntary, cooperative organization comprised of representatives of U.S. futures exchanges and regulatory organizations, including ~~Small Exchange, Inc. crypto.com | Derivatives~~. The Joint Audit Committee's primary responsibility is to oversee the implementation and functioning of all terms and conditions of the Joint Audit Agreement and to determine the practices and procedures to be followed by each Designated Self-Regulatory Organization in the conduct of audits and financial reviews of FCMs.

* * *

Chapter 2: Exchange Ownership and Governance

Rule 201. Ownership

Small Exchange, Inc. ~~d/b/a crypto.com | Derivatives~~, ("Exchange") is a registered C-Corporation in the State of Delaware effective October 13, 2017.