

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 23-210

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 05/09/23 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of May 1, 2023)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

May 9, 2023

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
CBOT Submission No. 23-210**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of May 1, 2023.

Effective Sunday, April 30, 2023 for trade date Monday, May 1, 2023, the Exchange amended the strike price listing schedule for all short-dated options on new crop Corn, Soybean, Soybean Meal, Soybean Oil, Soybean Meal, Wheat, and KC HRW Wheat Futures contracts listed below in Exhibit A for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort. The marketplace was alerted of the changes via Special Executive Report (“SER”) 9170, which is attached hereto as Exhibit A.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – SER 9170



Exhibit A

Special Executive Report

**DATE:** April 4, 2023

**SER#:** 9170

**SUBJECT:** Amendments to the Strike Price Listing Schedule for ALL Short-Dated Options on New Crop Futures Contracts: Corn, Soybean, Soybean Oil, Soybean Meal, Wheat, and KC HRW Wheat

Effective Sunday, April 30, 2023 for trade date Monday, May 1, 2023, The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) will amend the strike price listing schedule for all short-dated options on new crop Corn, Soybean, Soybean Meal, Soybean Oil, Soybean Meal, Wheat, and KC HRW Wheat Futures contracts in the table below (the “Contracts”) for trading on the CME Globex electronic trading platform (“CME Globex”) and for submission for clearing via CME ClearPort as more specifically described below (collectively, the “Rule Amendments”).

Contract Title	Rulebook Chapter	CME Globex / CME ClearPort Code	Current Strike Price Listing Schedule	Amended Strike Price Listing Schedule
Short Dated Options on New Crop Corn Futures	10A	CDF / OCD	Strikes listed for 25% of the underlying Dec settlement price above and below the at-the-money strike at \$0.05 per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre-listed strikes.	Strikes listed for 25% of the underlying Dec settlement price above and below the at-the-money strike at <b>\$0.10</b> per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre-listed strikes. <b><u>Additional strikes listed for 25% of the underlying settlement price above and below the at-the-money strike at \$0.05 per bushel increment for the first three listed contract months.</u></b>
Short-Dated Options on New Crop Soybean Futures	11A	SDF / OSD	Strikes listed for 25% of the underlying Nov settlement price above and below the at-the-money strike at \$0.10 per bushel strike increment plus dynamic strikes at \$0.10 per bushel strike increment above and below the highest and lowest pre-listed strikes.	Strikes listed for 25% of the underlying Nov settlement price above and below the at-the-money strike at <b>\$0.20</b> per bushel strike increment plus dynamic strikes at \$0.10 per bushel strike increment above and below the highest and lowest pre-listed strikes. <b><u>Additional strikes listed for 25% of the underlying settlement price above and below the at-the-money strike at \$0.10 per bushel increment for</u></b>

				<b><u>the first three listed contract months.</u></b>
Short-Dated Options on New Crop Soybean Oil Futures	12A	LDF / OLD	Strikes listed for 50% of the underlying Dec settlement price above and below the at-the-money strike at \$0.005 per pound increment plus dynamic strikes at \$0.005 per pound increment above and below the highest and lowest pre-listed strikes.	Strikes listed for <b>25%</b> of the underlying Dec settlement price above and below the at-the-money strike at \$0.005 per pound increment plus dynamic strikes at \$0.005 per pound increment above and below the highest and lowest pre-listed strikes.
Short Dated Options on New Crop Soybean Meal Futures	13A	ODF / OMD	Strikes listed for 50% of the underlying Dec settlement price above and below the at-the-money strike at \$5.00 per ton increment plus dynamic strikes at \$10.00 per ton increment above and below the highest and lowest pre-listed strikes.	Strikes listed for <b>25%</b> of the underlying Dec settlement price above and below the at-the-money strike at \$5.00 per ton increment for strikes below <b><u>\$200.00, and \$10.00 per ton increment for strikes equal to or above \$200.00, plus dynamic strikes at \$5.00 per ton increment above and below the highest and lowest pre-listed strikes. Additional strikes listed for 25% of the underlying settlement price above and below the at-the-money strike at \$5.00 per ton increment for the first three listed contract months.</u></b>
Short-Dated Options on New Crop Wheat Futures	14A	WDF / OWD	Strikes listed for 25% of the underlying Jul settlement price above and below the at-the-money strike at \$0.05 per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre-listed strikes.	Strikes listed for 25% of the underlying Jul settlement price above and below the at-the-money strike <b><u>at \$0.10</u></b> per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre-listed strikes. <b><u>Additional strikes listed for 25% of the underlying settlement price above and below the at-the-money strike at \$0.05 per bushel increment for the first three listed contract months.</u></b>

Short Dated Options on New Crop KC HRW Wheat Futures	14L	KWO / KWE	Strikes listed for 25% of the underlying Jul settlement price above and below the at-the-money strike at \$0.05 per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre- listed strikes.	Strikes listed for 25% of the underlying Jul settlement price above and below the at-the- money strike at <b>\$0.10</b> per bushel increment plus dynamic strikes at \$0.05 per bushel increment above and below the highest and lowest pre-listed strikes. <b><u>Additional strikes listed for 25% of the underlying settlement price above and below the at-the- money strike at \$0.05 per bushel increment for the first three listed contract months.</u></b>
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The Commodity Futures Trading Commission (“CFTC”) will be notified of the Rule Amendments during the week of May 8, 2023, via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

Steven Stasys, Senior Director, Business Line Management - (312) 213-7046,  
[steve.stasys@cmegroup.com](mailto:steve.stasys@cmegroup.com)

Emily Balsamo, Manager, Research & Product Development - (312) 504-0450,  
[emily.balsamo@cmegroup.com](mailto:emily.balsamo@cmegroup.com)