

# SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: March 6, 2024

**IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.**

## ORGANIZATION

ICE Trade Vault, LLC

## FILING AS A:

DCM

SEF

DCO

**X SDR**

ECM/SPDC

## TYPE OF FILING

### • Rules and Rule Amendments

Certification under § 40.6 (a) or § 41.24 (a)

“Non-Material Agricultural Rule Change” under § 40.4 (b)(5)

Notification under § 40.6 (d)

Request for Approval under § 40.4 (a) or § 40.5 (a)

Advance Notice of SIDCO Rule Change under § 40.10 (a)

### • Products

Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)

Swap Class Certification under § 40.2 (d)

Request for Approval under § 40.3 (a)

Novel Derivative Product Notification under § 40.12 (a)

## RULE NUMBERS

ICE Trade Vault, LLC Rulebook

## DESCRIPTION

ICE Trade Vault, LLC has amended the ICE Trade Vault Rulebook to revise certain terms related to the CFTC swap reporting rule amendments implementing Unique Product Identifiers (“UPI”). The amended ICE Trade Vault Rulebook is scheduled to be effective on March 20, 2024.