



Via Portal Submission

December 24, 2014

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: OneChicago, LLC Rule Submission
OCX Rule 153 (Responsible Trader), OCX Rule 513 (System Security), and OCX
Rule 609 (Supervision)
(OCX Submission Number 14-010)

Dear Mr. Kirkpatrick:

Pursuant to section 5c(c)(1) of the Commodity Exchange Act, as amended (the “Act”), and § 40.6(a) of the regulations promulgated by the Commodity Futures Trading Commission (“CFTC” or the “Commission”) under the Act, OneChicago, LLC (“OneChicago,” “OCX,” or the “Exchange”) hereby submits the following rule change, which will become effective on January 12, 2015.

OCX Rules 153 and 513 set forth the definition of a Responsible Trader. A Responsible Trader is an employee or agent of a Clearing Member or Exchange Member that is designated as its administrator with respect to the use of the OneChicago System, or in the case of a Clearing Member only, the Access Persons that such Clearing Member has permitted to act as such. In practice, the Responsible Trader is the individual that is designated by the guaranteeing Clearing Firm or Exchange Member to be responsible for appointing other Responsible Traders and Authorized Trade Reporters. The purpose of the Responsible Trader role is to allow the Clearing Firm to maintain control over the Authorized Trade Reporters that are permitted to enter Orders and report trades into the OneChicago System that are guaranteed by the Clearing Firm, as well as act as designated point of contact between the firm and the Exchange.

OCX is proposing to make a clerical change to the term Responsible Trader. Specifically, OCX is proposing to rename Responsible Trader as Responsible Administrator. The purpose of this rule change is to provide clarity to the Clearing Firms and Exchange Members that the individual designated as a Responsible Administrator acts mainly in an administrative capacity, and does not necessarily enter Orders or report trades into the OneChicago System.

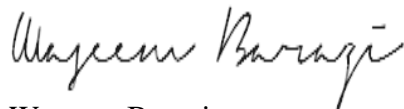
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The purpose and effect of the rule change is to provide clarity to Clearing Firms and Exchange Members regarding the purpose of the Responsible Trader role. Comments on this NTM have not been solicited and none have been received. OneChicago is not aware of any substantive opposing views to this NTM. OneChicago certifies that the NTM complies with the Act, including the core principles, and the Commission's regulations promulgated thereunder. OneChicago further certifies that a copy of this submission has been posted on the [OneChicago website](#). OneChicago staff has reviewed the core principles applicable to designated contract markets ("DCMs"), and has concluded that the proposed NTM may have some bearing upon the following core principles:

Core Principle 7: Core Principle 7 requires that a DCM make available to market authorities, market participants, and the public accurate information concerning the rules, regulations and mechanisms for executing transactions on or through the facilities of the contract market. This rule filing supports Core Principle 7 in that it clarifies to market participants the role of a certain designation of individual under the OneChicago Rulebook.

If you have any questions or comments related to this filing, please feel free to contact me by telephone at (312) 424-8524 or through e-mail at wbarazi@onechicago.com.

Respectfully Submitted,



Waseem Barazi
Chief Regulatory Officer

Encl: Attachment A

Attachment A

153. **Responsible ~~Trader~~Administrator**

The term “Responsible ~~Trader~~Administrator” has the meaning set forth in Rule 513(a).

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513. **System Security**

(a) Each Clearing Member and Exchange Member shall at all times have at least one employee or agent (the “Responsible ~~Trader~~Administrator”) designated as its administrator with respect to the use of the OneChicago System by it (including its Related Parties) or, in the case of a Clearing Member only, the Access Persons that such Clearing Member has permitted to act as such. Each Responsible ~~Trader~~Administrator must be approved in advance by the Exchange, based upon such qualification standards as may from time to time be set by the Exchange for such purpose. Among other things, each Responsible ~~Trader~~Administrator shall (i) have full control over access to the OneChicago System by such Clearing Member, Exchange Member or, if applicable, Access Persons and (ii) be able to access, and, if required, modify and withdraw, any and all Orders placed, or purported to be placed, by such Clearing Member, Exchange Member or, if applicable, Access Persons. The Responsible ~~Trader~~Administrator or Responsible ~~Trader~~Administrators of any Clearing Member or Exchange Member shall also be solely responsible for any and all communications between the Exchange and such Clearing Member or Exchange Member and any and all notices or other communications sent to such Responsible ~~Trader~~Administrator or Responsible ~~Trader~~Administrators by the Exchange shall be binding on such Clearing Member or Exchange Member. Each Clearing Member and Exchange Member shall notify the Exchange promptly of any change regarding any of its Responsible ~~Trader~~Administrators.

(b) Each Clearing Member and Exchange Member shall be solely responsible for controlling and monitoring the use of all user identification codes and passwords to access the OneChicago System (collectively, “Passwords”) issued to its Responsible ~~Trader~~Administrator or Responsible ~~Trader~~Administrators by the Exchange, shall provide the Passwords only to its employees or, in the case of a Clearing Member only, the Access Persons that such Clearing Member has permitted to act as such, and shall notify the Exchange promptly upon becoming aware of any unauthorized disclosure or use of the Passwords or access to the Exchange or of any other reason for deactivating Passwords. Each Clearing Member and Exchange Member shall be bound by any actions taken through the use of its Passwords (other than any such actions resulting from the fault or negligence of the Exchange), including the placing of Orders by any of its Related Parties or, in the case of a Clearing Member only, the Access Persons that such Clearing Member has permitted to act as such, whether or not such actions were authorized by such Clearing Member or Exchange Member or any of its Related Parties.

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609. Supervision

Each Clearing Member and Exchange Member shall be responsible for supervising its Related Parties so as to ensure compliance by such Related Parties with this Chapter 6, and may be held accountable for the actions of such Related Parties. In addition, each Responsible ~~Trader~~Administrator shall be responsible for supervising the Related Parties of the Clearing Member or Exchange Member represented by it, and may be held accountable for the actions of such Related Parties.