

Tradition SEF, Inc.

Scot J. Halvorsen
Senior Regulatory Counsel and Secretary
Legal Department

December 21, 2016

VIA E-MAIL (submissions@cftc.gov)

Mr. Christopher Kirkpatrick Secretary Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: CFTC Regulation 40.6(a) Rule Certification.

Amendments to Tradition SEF, Inc.'s StreamGlobal Platform Supplement.

Tradition SEF Submission No. 2016-09.

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "<u>Act</u>"), and Commodity Futures Trading Commission ("<u>CFTC</u>" or the "<u>Commission</u>") Regulation 40.6(a), Tradition SEF, Inc. ("<u>Tradition SEF</u>") hereby notifies the Commission that it is amending its StreamGlobal Platform Supplement (the "<u>Platform Supplement</u>"), effective as of January 10, 2017.

Tradition SEF has amended the Platform Supplement to clarify certain order types, controls and transaction functionality within the Platform. Revisions to the Platform Supplement are reflected on the redline version of the Platform Supplement attached hereto as Exhibit A.

Tradition SEF continues to be in compliance with applicable provisions of the Act, including the Core Principles. Tradition SEF hereby certifies that the rule amendments comply with the Commodity Exchange Act and the Commission's regulations thereunder. No substantive opposing views were expressed that were not incorporated into the rule amendments. Tradition SEF hereby certifies that it has posted a notice of pending certification with the Commission and a copy of the submission on its website (see http://www.traditionsef.com/regulatory/), concurrent with the filing of this submission with the Commission.

Should you require additional information related to this submission, please contact the undersigned at (212) 337-2337 or scot.halvorsen@tradition.com. Please reference Tradition SEF Submission No. 2016-09 in any related correspondence.

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Exhibit A

Tradition SEF StreamGlobal Platform Supplement