Eurex Clearing AG ECAG Rule Certification--Amendments to Compliance Manuals December 19, 2017

- 1. The text of the proposed amendments to the below referenced compliance manuals and policies of Eurex Clearing AG (collectively, the "Manuals") is appended as Attachment A. Any additions are underlined and any deletions are struck through.
- 2. The date of intended implementation of the proposed amendments is ten business days following the filing of this submission.
- 3. Attached please find a certification that: (1) these rules comply with the Commodity Exchange Act (the "Act"), and the Commission's regulations thereunder; and (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing with redactions for confidential information to its website at: http://www.eurexclearing.com/clearing-en/resources/cftc-dco-filing.
- 4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule appears below.
- 5. There were no opposing views expressed regarding these amended rules.
- 6. Confidential treatment pursuant to CFTC Regulation 40.8(c) is requested for this submission as well as Attachments A-D, which are the Manuals and the revisions thereto. Please see attached letter for detailed written justification.

CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND EFFECT OF THE PROPOSED RULE AND ITS COMPLIANCE WITH APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION'S REUGLATIONS THEREUNDER

Eurex Clearing is proposing the below amendments to the following four compliance manuals related to Eurex Clearing's activities as a DCO: Eurex Clearing AG DCO Compliance Manual, Job Descriptions for Chief Compliance Officer and US Compliance Officer, Eurex Clearing AG Policy and Procedure on Chief Compliance Officer and US Compliance Officer Functions, Eurex Clearing AG Policy and Procedure for Reporting to the CFTC.

REDACTED

Eurex Clearing has identified the following derivatives clearing organization ("DCO") Core Principles as potentially being relevant to the above amendments: DCO Core Principle A (Compliance), DCO Core Principle I (System Safeguards), and DCO Core Principle J (Reporting).

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. §7a-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the amended Rule complies with the Commodity Exchange Act, and the Commission's regulations thereunder; and
- (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing with redactions for confidential information to its website at: http://www.eurexclearing.com/clearing-en/resources/cftc-dco-filing.

By:

Eric Seinsheimer

Title:

US Compliance Officer, Eurex Clearing AG

Dated: December 19, 2017