



MarketAxess SEF Corporation  
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New York, NY 10171

November 23, 2015

Submitted Via Email

Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581  
submissions@cftc.gov

RE: Self-Certification of Rules Pursuant to CFTC Rule 40.6(a)

To Whom it May Concern:

Pursuant to Section 5c(c) of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC") Rule 40.6(a), the MarketAxess SEF Corporation ("MarketAxess SEF") hereby notifies the CFTC that it has updated its Rulebook to reflect recent developments and to provide clarity. The amended Rulebook will be effective on December 9, 2015. Please find enclosed two versions of the Rulebook, a clean version and a version marked to show the changes made. Please also find enclosed a clean version of Exhibit Q-2 to the MarketAxess SEF Application, which describes the MarketAxess SEF's trading protocols, and a version marked to show the changes made to Exhibit Q-2.

The enclosed MarketAxess SEF Core Principles Chart demonstrates how MarketAxess SEF complies with applicable provisions of the CEA and the CFTC's regulations thereunder.

No substantive opposing views were expressed to the MarketAxess SEF Corporation by its governing board or committee members.

MarketAxess SEF Corporation hereby certifies that any changes made to the Rulebook comply with the CEA and CFTC regulations thereunder.

MarketAxess SEF Corporation hereby certifies that it has posted a copy of this filing and a notice of the pending certification on its website concurrently with filing this notice with the CFTC.

By:  \_\_\_\_\_

Ron Steinfeld  
Chief Compliance Officer  
MarketAxess SEF Corporation