



ICE Swap Trade LLC  
55 East 52<sup>nd</sup> Street  
New York, NY 10055

November 18, 2020

Submitted via the CFTC Portal

Submission 20-06

Melissa Jurgens  
Office of the Secretariat  
U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, N.W.  
Washington, D.C. 20581  
via email: [submissions@cftc.gov](mailto:submissions@cftc.gov)

Re: ICE Swap Trade, LLC – Regulation §40.6(d) Notification of Rule Amendments

Ladies and Gentlemen:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(d) of the regulations of the Commodity Futures Trading Commission (the “Commission”), ICE Swap Trade, LLC (“IST” or the “SEF”) hereby notifies the Commission of amendments that were made to certain of its rules during the preceding week. The amendments contained herein have been made in order to affect certain administrative revisions.

A concise explanation of the amendments to the Rulebook is attached hereto as Exhibit A. A copy of the revised Rulebook marked to show changes against the previous Rulebook with an effective date of November 19, 2020, is attached hereto as Exhibit B. A clean copy of the revised Rulebook is attached hereto as Exhibit C.

IST certifies that the rule amendment complies with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. IST is not aware of any substantive opposing views expressed with respect to this filing and certifies that, concurrent with this filing, a copy of this submission was posted on the IST’s website and may be accessed at: <https://www.theice.com/swap-trade/regulation#rule-filings>.

Please contact the undersigned at (212) 323-8543 with any questions regarding this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "R. Laorno", with a long horizontal flourish extending to the right.

Robert J. Laorno  
General Counsel  
Enclosure

**Summary of Rulebook Changes**

<b>Amendment</b>	<b>Explanation</b>
Definitions	Edited the No-Action Relief to 2020 updated guidance