



FOIA CONFIDENTIAL TREATMENT REQUESTED

November 12, 2021

VIA CFTC PORTAL

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st St., N.W.
Washington, D.C. 20581

Re: Amendment to ICE NGX Canada Inc. Rules - Submission Pursuant to Section 5c(c)(1) of the Commodity Exchange Act and CFTC Regulation § 40.6(a)

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, ("Act") and Commodity Futures Trading Commission ("Commission") Regulation 40.6(a), ICE NGX Canada Inc. ("ICE NGX") is submitting this self-certification to amend the ICE NGX Risk Management Framework ("RMF"). The RMF is a "rule" as that term is defined under Commission Regulation 40.1(j). ICE NGX intends to implement these amendments on November 29, 2021, or such later date as ICE NGX may designate.

ICE NGX has respectfully requested confidential treatment for the RMF amendments which were submitted concurrently with this submission.

1. Overview

ICE NGX is making this submission to implement certain changes to the ICE NGX RMF (the "Amendments").

2. Details of Rule Changes

As described in notices published by ICE NGX on October 1, 15 and 21, 2021 and as previously notified to the Commission, ICE NGX is changing its primary banking relationship from The Toronto-Dominion Bank to Royal Bank of Canada ("RBC").

The Amendments are designed to facilitate the change in ICE NGX's primary banking relationship.

3. Compliance with Core Principles

ICE NGX reviewed the Amendments and determined that they comply with the rules and regulations of the Commission. In this regard, ICE NGX reviewed the derivatives clearing organization ("DCO") core principles (each a "Core Principle") and determined that the



Amendments are potentially relevant to the following Core Principles and applicable regulations of the Commission thereunder.

Compliance (Core Principle A): The Amendments are consistent with Core Principle A - Compliance and Commission Regulation 39.10, as the Amendments clarify and enhance ICE NGX's policies and procedures to reflect current ICE NGX practices for complying with Commission regulations.

Settlement procedures (Core Principle E): The Amendments are consistent with Core Principle E - Settlement procedures and Commission Regulation 39.14, as the Amendments update ICE NGX's policies in respect of its settlement banking credit facility.

4. Certifications

ICE NGX certifies that the proposed Amendments discussed in this submission comply with the Commodity Exchange Act, including the Core Principles and the Regulations of the Commission thereunder. ICE NGX is not aware of opposing views expressed regarding the Amendments. ICE NGX further certifies that, concurrent with this filing, a copy of this submission was posted to ICE NGX's website.

Yours truly,

A handwritten signature in black ink, appearing to read 'Martin McGregor', is written above a horizontal line.

By: Martin McGregor
Title: General Counsel and Chief Compliance Officer
Date: November 12, 2021