



ICE Swap Trade LLC
55 East 52nd Street
New York, NY 10055

November 3, 2020

Submitted via the CFTC Portal

Submission 20-05

Melissa Jurgens
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581
via email: submissions@cftc.gov

Re: ICE Swap Trade, LLC – Regulation §40.6 Self-Certification of Rules – Post Trade Name Give-Up Rule

Ladies and Gentlemen:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(d) of the regulations of the Commodity Futures Trading Commission (the “Commission”), ICE Swap Trade, LLC (“IST” or the “SEF”) hereby notifies the Commission that it has amended its Rulebook. The amended Rulebook will become effective on November 18, 2020. Please find enclosed two versions of the Rulebook, a clean version and a marked version showing amendments.

A concise explanation and analysis of the amendments to the Rulebook and their compliance with applicable provisions of the Act, including core principles and the Commission’s regulations, is attached hereto as Exhibit A. A clean copy of the amended Rulebook is attached hereto as Exhibit B, and a copy of the amended Rulebook marked to show changes against the previous version, which became effective August 17, 2020, is attached hereto as Exhibit C.

IST certifies that the amendments comply with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. IST is not aware of any substantive opposing views expressed with respect to this filing and certifies that, concurrent with this filing, a copy of this submission was posted on the IST’s website and may be accessed at: <https://www.theice.com/swap-trade/regulation#rule-filings>.

Please contact the undersigned at (212) 323-8543 with any questions regarding this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Robert J. Laorno', written over a horizontal line.

Robert J. Laorno
General Counsel
Enclosure

Exhibit A

Summary of Rulebook Changes

Amendment	Explanation
Definitions	Added "Executed Anonymously"
Definitions	Edited the No-Action Relief to 2020 updated guidance
Chapter 5 Rule 514	Split Rule 514 into 'A' and 'B' and added Post Trade Name Give Up provision