

Via Portal Submission

November 1, 2019

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: OneChicago, LLC Rule Submission
NTM 2019-14 (Regulatory Fee Change)
(OneChicago Submission Number 19-016)

Dear Mr. Kirkpatrick:

Pursuant to §5c(c)(1) of the Commodity Exchange Act, as amended (the “Act”), and §40.6(a) of the regulations promulgated by the Commodity Futures Trading Commission (“CFTC” or the “Commission”) under the Act, OneChicago, LLC (“OneChicago” or the “Exchange”) hereby submits Notice to Member (“NTM”) 2019-14 detailing the changing composition of the OneChicago Regulatory Fee. This change will be effective on December 1, 2019 for November 2019 trading activity. NTM 2019-14 is included in this submission as Attachment A.

The purpose and effect of the change is to modify the Regulatory Fee assessed to market participants to include a fee for covered sales resulting from the maturation of a security future. The fee was previously charged and collected by the Options Clearing Corporation. There is no impact to the overall fees assessed to market participants.

Comments on the rule amendment have not been solicited and none have been received. OneChicago is not aware of any substantive opposing views to this rule amendment. OneChicago certifies that the rule amendment complies with the Act, including the core principles, and the Commission’s regulations promulgated thereunder. OneChicago further certifies that a copy of this submission has been posted on the OneChicago website.

OneChicago staff has reviewed the core principles applicable to DCMs, and has concluded that the rule change may have some bearing upon the following core principle(s):

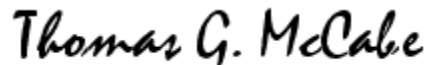
Core Principle 2: Core Principle 2 requires, *inter alia*, that DCMs provide their market participants with comparable and non-discriminatory fee structures. The updated fee schedule supports Core

Principle 2 in that the fees are transparent, non-discriminatory and consistent for all market participants.

Core Principle 7: Core Principle 7 requires DCMs to make available to market authorities, market participants, and the public accurate information concerning the rules and regulations for executing transactions on the contract market. The change supports Core Principle 7 in that the fees are set forth in a clear and transparent manner.

If you have any questions or comments related to this filing, please feel free to contact me by telephone at (312) 883-3430 or through e-mail at tmccabe@onechicago.com.

Respectfully Submitted,

A handwritten signature in black ink that reads "Thomas G. McCabe". The signature is written in a cursive, slightly slanted style.

Thomas G. McCabe
Chief Regulatory Officer

Encl: Attachment A

Attachment A

(See Following Page)

1 NOVEMBER 2019

Regulatory Fee Change

Effective Date

December 1, 2019

Effective December 1st, 2019 for activity during the month of November 2019 OneChicago will replace the OCC in collecting fees from the covered sales of equity securities resulting from the maturation of a security future. This fee is to be added under the OneChicago Regulatory Fee.

Additional information about this fee and its application are located on our public documentation site:

- <https://docs.onechicago.com/display/PD/Product+Related+Fees#ProductRelatedFees-RegulatoryFee>

Note: There is no impact to the overall fees assessed to market participants.

Today, the OCC assigns a fee to each clearing member based on the dollar amount of covered sales of equity securities that result from the maturation of a security future.

As of the effective date, OCC will no longer assign this fee. Instead, this fee as integrated into the OneChicago Regulatory Fee, is applied to the clearing members through the OneChicago billing process.

Please direct any questions regarding this notice to ops@onechicago.com (312.883.3411).