



October 18, 2022

Submitted via CFTC Portal

Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street
Washington, DC 20581

Re: Amendment to Form SEF of Cboe SEF, LLC (Submission No. 22-09)

Dear All:

Pursuant to Section 5c(c) of the Commodity Exchange Act (**CEA**) and Sections 37.3(b) and 40.6(a) of the regulations of the Commodity Futures Trading Commission (**Commission**), Cboe SEF, LLC (**Cboe SEF**) hereby submits an amendment to Cboe SEF's Form SEF Exhibits. Specifically, Cboe SEF is amending Exhibit A (Control Owners) to reflect that effective November 1, 2022, one of the entities that directly owns more than 10 percent of Cboe SEF, Bats Global Markets Holding, Inc. will change its name to Cboe Services Company.

Cboe SEF certifies that the submission complies with the CEA and the Commission's regulations. Cboe SEF further certifies that this submission has been concurrently posted on its website at <http://markets.cboe.com/global/fx/sef/resources/>.

If you have any questions regarding this submission, please contact me at (312) 786-7153 or mmargolis@cboe.com.

Sincerely,

/s/ Michael H. Margolis

Michael H. Margolis
Assistant General Counsel
Cboe SEF, LLC

Attachments

COMMODITY FUTURES TRADING COMMISSION

FORM SEF

**SWAP EXECUTION FACILITY
APPLICATION OR AMENDMENT TO APPLICATION FOR REGISTRATION**

COVER SHEET

Cboe SEF, LLC

Exact name of Applicant as specified in charter

17 State Street, 31st floor, New York, NY 10004

Address of principal executive offices

If this is an **APPLICATION** for registration, complete in full and check here.

If this is an **AMENDMENT** to an application, or to an existing order of registration, list all items that are amended and check here.

Exhibit A

GENERAL INFORMATION

1. Name under which the business of the swap execution facility is or will be conducted, if different than name specified above (include acronyms, if any):

2. If name of swap execution facility is being amended, state previous swap execution facility name:

3. Contact information, including mailing address if different than address specified above:

Michael Margolis, 433 W. Van Buren Street

Number and Street

Chicago

City

IL

State

USA

Country

60607

Zip Code

(312) 786-5600

Main Phone Number

N/A

Fax

<https://cboe.com/global/fx/sef/>

Website URL

mmargolis@cboe.com

E-mail Address

SIGNATURES

10. The Applicant has duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this 18th day of October 2022. The Applicant and the undersigned represent hereby that all information contained herein is true, current, and complete. It is understood that all required items and Exhibits are considered integral parts of this Form SEF and that the submission of any amendment represents that all unamended items and Exhibits remain true, current, and complete as previously filed.

Cboe SEF, LLC

Name of Applicant

/s/ Michael Margolis

Signature of Duly Authorized Person

Michael Margolis, Assistant General Counsel

Print Name and Title of Signatory

Exhibit A
CONTROL OWNERS

The person that directly owns more than 10% of Cboe SEF, LLC (~~f/k/a Bats Hotspot SEF LLC~~) is Cboe FX Holdings, LLC (~~f/k/a Bats Hotspot Holdings LLC~~). Cboe SEF, LLC is a wholly owned subsidiary of Cboe FX Holdings, LLC. The agreement which documents the basis upon which Cboe FX Holdings, LLC may exercise control or direction of Cboe SEF, LLC is the Second Amended and Restated Limited Liability Company Agreement of Cboe SEF, LLC, dated as of ~~October July 1831, 201722~~; ~~a copy of the agreement is submitted as Exhibit G-14.~~

Cboe FX Holdings, LLC is a wholly owned subsidiary of Cboe Services Company (f/k/a Bats Global Markets Holdings, Inc.). ~~Cboe Services Company Bats Global Markets Holdings, Inc.~~ is a wholly owned subsidiary of Cboe Bats, LLC (~~f/k/a CBOE V, LLC~~). Cboe Bats, LLC is a wholly owned subsidiary of Cboe Global Markets, Inc. (~~f/k/a CBOE Holdings, Inc.~~).

The following person owns 10% or more of Cboe Global Markets, Inc.: ~~T. Rowe Price Associates and FMR LLC~~ The Vanguard Group, Inc.¹. Such persons may, through its ability to elect members of the Board of Directors of Cboe Global Markets, Inc., exert indirect control or direction of Cboe SEF, LLC.

Name of Person	Address	Type of Control	Basis of Control: Percentage of ownership
Cboe FX Holdings, LLC	8050 Marshall Drive Lenexa, KS 66214	Direct	100%
Bats Global Markets Holdings, Inc. <u>Cboe Services Company</u>	8050 Marshall Drive Lenexa, KS 66214	Indirect	100% of Cboe FX Holdings, LLC
Cboe Bats, LLC	8050 Marshall Drive Lenexa, KS 66214	Indirect	100% of Bats Global Markets Holdings, Inc. <u>Cboe Services Company</u>
Cboe Global Markets, Inc.	40330 W. Van Buren S. <u>LaSalle Street</u> Chicago, IL 60607 5	Indirect	100% of CBOE V, <u>LLC Cboe Bats, LLC</u>
T. Rowe Price Associates, Inc. <u>The Vanguard Group, Inc.</u> ¹	100 E. Pratt Street <u>Baltimore, MD 21202100</u> <u>Vanguard Blvd.</u> <u>Malvern, PA 19355</u>	Indirect	≥10% of Cboe Global Markets, Inc.
FMR LLC ¹	245 Summer Street Boston, Massachusetts 02210	Indirect	≥10% of Cboe Global Markets, Inc.

¹ Based on information filed with the SEC by ~~each~~ The Vanguard Group, Inc. of ~~T. Rowe Price Associates, Inc.~~ and ~~FMR LLC.~~

Name of Person	Address	Type of Control	Basis of Control: Percentage of ownership