



## **FOIA REQUEST FOR CONFIDENTIAL TREATMENT**

**TO:** **CFTC FOIA COMPLIANCE OFFICE**  
U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, NW  
Washington, DC 20581

**FROM:** **THE OPTIONS CLEARING CORPORATION**  
Legal Department  
125 South Franklin Street, Suite 1200  
Chicago, Illinois 60606  
(312) 322-6200

**BY:** Mark C. Brown, Director/Senior Counsel

**DATE:** 2019-10-15

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Pursuant to the Freedom of Information Act and the rules of the U.S. Commodity Futures Trading Commission (“Commission”) implementing FOIA, The Options Clearing Corporation (“OCC”) hereby requests confidential treatment for the record(s) (“Record(s)”) identified below:

### **RECORD(S) FOR WHICH CONFIDENTIAL TREATMENT IS REQUESTED:**

- Exhibits 3a, 3b, 3c, 3d, 3e, 3h, and 5a, at pages 80-184 and 196-203, of SR-OCC-2019-007.

### **BASIS:**

- Business confidentiality - The Record(s) contain(s) contain the trade secrets or confidential commercial or financial information of a self-regulatory organization and are being provided to the Commission’s staff in connection with the Commission’s oversight authority. OCC’s request for confidential treatment extends to any derivative works (regardless of media) containing the above described Record(s) or otherwise based thereon.