

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-462

Organization: NEX SEF Limited ("NEXSEF")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 10/04/21 Filing Description: Weekly Notification of Rule Amendments - Week of September 27, 2021

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                                     |                                     |            |
|-------------------------------------|-------------------------------------|------------|
| <input type="checkbox"/>            | Certification                       | § 40.6(a)  |
| <input type="checkbox"/>            | Approval                            | § 40.5(a)  |
| <input checked="" type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/>            | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/>            | SIDCO Emergency Rule Change         | § 40.10(h) |

Rule Numbers: See filing.

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                          |   |                      |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/> | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/> | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/> | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/> | Approval  | § 40.5(a)            |
| <input type="checkbox"/> | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/> | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: Rule Numbers:



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

October 4, 2021

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. NEX SEF Limited (“NEX SEF”) Weekly  
Notification of Rule Amendments.  
NEX SEF Submission No. 21-462**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), NEX SEF submits this weekly notification of the following rule amendments effective during the week of September 27, 2021.

Effective October 2, 2021, to better support EBS clients and to ensure a continued high level of service to clients during CME Globex migration testing, NEX SEF amended its customer support hours. The marketplace was informed of the change via Market Regulation Advisory Notice (“MRAN”) NEX SEF 2021-04, which is attached hereto as Exhibit A.

Copies of the above-referenced materials are available at [www.cmegroup.com](http://www.cmegroup.com). If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – MRAN #NEX SEF 2021-04

**Exhibit A**  
**MARKET REGULATION ADVISORY NOTICE**

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<b>Exchange</b>	<b>NEX SEF</b>
<b>Subject</b>	<b>Facility Customer Support</b>
<b>Rule References</b>	<b>Rule 901 – NEX SEF NDF System Protocol</b>
<b>Advisory Date</b>	<b>September 14, 2021</b>
<b>Advisory Number</b>	<b>NEX SEF 2021-04</b>
<b>Effective Date</b>	<b>October 2, 2021</b>

Effective October 2, 2021, to better support EBS clients and to ensure a continued high level of service to clients during CME Globex migration testing, please be advised of the following change to EBS Customer Support coverage over the EBS weekend maintenance window:

New hours of EBS Customer support:

- Start: Sunday 08:00 BST/GMT
- End: Saturday 16:00 BST/GMT

Changes will be made to the EBS Dealing rules, EBS MTF Rulebook and NEX SEF rulebook to reflect the new hours and will be available to view on the effective date via <https://www.cmegroup.com/trading/market-tech-and-data-services/ebs/regdocs.html>.

NEX SEF Rulebook  
Chapter 9  
("System Protocols")  
(additions underscored; deletions ~~struck through~~)

Rule 901 – NEX SEF NDF System Protocol

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(h) TRADING PRIVILEGE HOLDER OR AUTHORISED TRADING FIRM SUPPORT

Facility Customer Support is available during Facility Hours 24x7 to assist Trading Privilege Holders or Authorised Trading Firms with all issues pertaining to the NEX SEF Dealing Service.

The Commodity Futures Trading Commission ("CFTC") will be notified of the aforementioned during the week of October 4, 2021, via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please note that there are no changes to customer support outside the EBS Weekend Maintenance period, or to system availability at any time. If you have queries, please contact your EBS Account Manager or the EBS Support team.

Questions regarding this Advisory Notice may be directed to NEX SEF at [NEXSEF\\_Support@nex.com](mailto:NEXSEF_Support@nex.com)

For media inquiries concerning this Market Regulation Advisory Notice please contact CME Group Corporate Communications at 312.930.3434 or [news@cmegroup.com](mailto:news@cmegroup.com).