

MarketAxess SEF Corporation 299 Park Avenue, 10th Floor New York, NY 10171

September 30, 2015

Submitted Via Email

Commodity Futures Trading Commission Three Lafayette Centre 1155 21<sup>st</sup> Street, N.W. Washington, DC 20581 submissions@cftc.gov

## RE: <u>Self-Certification of Rules Pursuant to CFTC Rule 40.6(a)</u>

To Whom it May Concern:

Pursuant to Section 5c(c) of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC") Rule 40.6(a), the MarketAxess SEF Corporation ("MarketAxess SEF") hereby notifies the CFTC that it has updated its Rulebook to reflect recent developments and to provide clarity. The amended Rulebook will be effective on November 1, 2015. Please find enclosed two versions of the Rulebook, a clean version and a version marked to show the changes made.

The enclosed MarketAxess SEF Core Principles Chart demonstrates how MarketAxess SEF complies with applicable provisions of the CEA and the CFTC's regulations thereunder.

No substantive opposing views were expressed to the MarketAxess SEF Corporation by its governing board or committee members.

MarketAxess SEF Corporation hereby certifies that any changes made to the Rulebook comply with the CEA and CFTC regulations thereunder.

MarketAxess SEF Corporation hereby certifies that it has posted a copy of this filing and a notice of the pending certification on its website concurrently with filing this notice with the CFTC.

By: Mon Co

Ron Steinfeld Chief Compliance Officer MarketAxess SEF Corporation