

# SUBMISSION COVER SHEET

Confidential Treatment has not been requested

Organization Name: trueEX LLC

Organization Type: SEF

Registered Entity Identifier: 2016-07S

Submission Number: 1609-2112-3557-07

Submission Date: 09/21/16 12:35:57 PM

Submission Type: Rule - 40.6(a) Rule Certification

Submission Description: Amended language at the request of the CFTC Division of Enforcement to ensure these rules regarding fraudulent acts and market manipulation are consistent with the Commodity Exchange Act and CFTC Regulations.

Rule Numbers: 503, 504