



ICE Swap Trade, LLC  
55 East 52<sup>nd</sup> Street  
New York, NY 10055

September 21, 2016

Submitted via the CFTC Portal  
Secretary of the Commission  
Office of the Secretariat  
U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, N.W.  
Washington, D.C. 20581

Submission 16-06

Re: ICE Swap Trade, LLC – Rule Amendment – Amended Rulebook

Ladies and Gentlemen:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(a) of the regulations of the Commodity Futures Trading Commission (the “Commission”), ICE Swap Trade, LLC (“IST” or the “SEF”) hereby notifies the Commission that it has amended its Rulebook. The amendments contained herein have been made in order to clarify the process by which IST intends to implement and enforce certain of its rules, affect certain administrative/clerical revisions, and respond to guidance received from the Commission. The Rulebook will become effective on October 6, 2016 (based upon an acknowledged filing date of September 22, 2016).

A concise explanation of the amendments to the Rulebook is attached hereto as Exhibit A. A copy of the revised Rulebook marked to show changes against the Rulebook submitted to the Commission with an effective date of August 1, 2016, is attached hereto as Exhibit B, and a clean copy of the revised Rulebook is attached hereto as Exhibit C.

IST certifies that the amendments comply with the requirements of the Commodity Exchange Act and the rules and regulations promulgated thereunder. IST is not aware of any substantive opposing views expressed with respect to this filing and certifies that, concurrent with this filing, a copy of this submission was posted on the IST’s website and may be accessed at: <https://www.theice.com/swap-trade/regulation#rule-filings>.

Please contact the undersigned at (212) 323-8543 with any questions regarding this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "R. Laomo", with a stylized flourish at the end.

Robert James Laomo  
General Counsel  
Enclosures

Summary of Rulebook Changes

Amendment	Explanation
<p><b>Chapter 4 - Obligations of Participants</b></p> <p>Rule 401</p>	<p>Revised for clarity.</p>
<p><b>Chapter 5 - Trading Practices and Business Conduct</b></p> <p>Rule 501</p>	<p>Revised pursuant to guidance received from the Commission.</p>
<p><b>Chapter 8 - Disciplinary Rules</b></p> <p>Rule 801(c)</p> <p>Rule 811</p>	<p>Revised to clarify the actions that the CCO may take in the event that the CCO concludes that a Violation of the SEF's Rules has occurred.</p> <p>Revised for clarity and to include Rules 405(b) and 408.</p>
<p><b>Chapter 13 - Commodities Contract Terms and Conditions</b></p> <p>Rules 13111, 13112, 13113, 13115, 13116, 13117, 13146, and 13170</p>	<p>Delisted eight Commodity Contracts without active markets on the SEF.</p>