



MarketAxess SEF Corporation
299 Park Avenue, 10th Floor
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September 9, 2014

Submitted Via Email

Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581
submissions@cftc.gov

RE: Self-Certification of Rules Pursuant to CFTC Rule 40.6(a)

To Whom it May Concern:


Pursuant to Section 5c(c) of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC") Rule 40.6(a), the MarketAxess SEF Corporation ("MarketAxess SEF") hereby notifies the CFTC that it has updated its Rulebook to reflect recent developments and to provide clarity. The amended Rulebook will be effective on September 24, 2014. Please find enclosed two versions of the Rulebook, a clean version and a version marked to show the changes made.

The enclosed MarketAxess SEF Core Principles Chart demonstrates how MarketAxess SEF complies with applicable provisions of the CEA and the CFTC's regulations thereunder.

No substantive opposing views were expressed to the MarketAxess SEF Corporation by its governing board or committee members.

MarketAxess SEF Corporation hereby certifies that any changes made to the Rulebook comply with the CEA and CFTC regulations thereunder.

MarketAxess SEF Corporation hereby certifies that it has posted a copy of this filing and a notice of the pending certification on its website concurrently with filing this notice with the CFTC.

By: 

Ron Steinfeld
Chief Compliance Officer
MarketAxess SEF Corporation