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BY ELECTRONIC TRANSMISSION

Submission No. 14-87
September 15, 2014

Mr. Christopher J. Kirkpatrick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

**Re: Amendments to Rule 6.44 –Anti-Money Laundering and Economic Sanctions
Compliance--Submission Pursuant to Commission Regulation 40.6(a)**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commission Regulation 40.6(a), ICE Futures U.S., Inc. (“IFUS” or “Exchange”) submits by written certification amendments to Rule 6.44 (set forth in Exhibit A) which will become effective on September 30, 2014.

Rule 6.44, which implements the CFTC requirement that a designated contract market adopt rules requiring FCMs to maintain anti-money laundering programs, has been amended to make the current Rule applicable to all FCMs that are market participants in the Exchange’s markets, whether or not the FCMs are members of the Exchange. In addition, a new paragraph (b) has been added to the Rule addressing the obligations of Clearing Members and other market participants with respect to accounts carried for customers who may be subject to economic sanctions. Paragraph (b)(i) defines the scope of who is a “Sanctioned Party” for purposes of the rule and prohibits them from accessing the Exchange. Paragraph (c) directs that any Clearing Member which carries an account for or otherwise provides direct or indirect access to a Sanctioned Party, must immediately cancel all access and authorizations issued and provide notice of such cancellations to the Exchange, or request the Exchange to effectuate such

cancellations. In addition, paragraph (b)(ii) of the amendments requires firms carrying omnibus accounts to procure compliance with the Rule by the holders of the omnibus accounts as a condition to carrying the accounts. The foregoing amendments will assist the Exchange in ensuring that access to its markets is not provided to a market participant that is subject to economic sanctions administered by the U.S., United Kingdom, the European Union or any Canadian authorities, as specified in paragraph (b)(i) of the Rule.

The Exchange is not aware of any substantive opposing views that were expressed by members or others with respect to the amendments and certifies that concurrent with this filing a copy of this submission was posted on the Exchange's website at (<https://www.theice.com/notices/RegulatoryFilings.shtml>).

If you have any questions or need further information, please contact me at (212) 748-4083 or at Audrey.hirschfeld@theice.com.

Sincerely,

A handwritten signature in blue ink that reads "Audrey R. Hirschfeld". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Audrey R. Hirschfeld
SVP and General Counsel

cc: Division of Market Oversight

EXHIBIT A

Rule 6.44. Anti-Money Laundering and Economic Sanctions Compliance

(a) Each ~~[Member]~~ market participant registered with the CFTC as a Futures Commission Merchant shall develop and implement a written anti-money laundering program approved in writing by senior management reasonably designed to achieve and monitor the Member's compliance with the applicable requirements of the Bank Secrecy Act (31 U.S.C. 5311, *et seq.*), and the implementing regulations promulgated thereunder by the Department of the Treasury and, as applicable, the CFTC. Such anti-money laundering program shall, at a minimum:

(i) establish and implement policies, procedures and internal controls reasonably designed to assure compliance with the applicable provisions of the Bank Secrecy Act and the implementing regulations thereunder;

(ii) provide for independent testing for compliance to be conducted by the Member's personnel or by a qualified outside party;

(iii) designate an individual or individuals responsible for implementing and monitoring the day-to-day operations and internal controls of the program; and

(iv) provide ongoing training for appropriate personnel.

Market participants that ~~[members who]~~ are registered Futures Commission Merchants must also supervise and ensure that their guaranteed introducing brokers are in compliance with the anti-money laundering provisions contained in this Rule.

(b) (i) The following Persons (each, a "Sanctioned Party") are not permitted to access the Exchange, whether directly or indirectly: any Person that is (i) identified on the Specially Designated Nationals and Blocked Persons List of the U.S. Department of the Treasury's Office of Foreign Assets Control ("OFAC") ("Restricted Person"), (ii) 50% or more owned by Restricted Persons, (iii) located in a country subject to comprehensive economic sanctions administered by OFAC ("Restricted Country" or "Restricted Countries"), (iv) owned or controlled by the governments of Restricted Countries, (v) subject to restrictions administered or imposed by the UK HM Treasury, the European Union or any Canadian authorities or (vi) acting on behalf of any of the foregoing.

(ii) Clearing Members and other market participants may only carry omnibus accounts for Persons that (A) have expressly agreed not to act for any customer of the Exchange, directly or indirectly, that is a Sanctioned Party and (B) have themselves obtained the same express agreement from their customers.

(c) Any Clearing Member that carries an account for, or otherwise provides direct or indirect access to the Exchange to, a Person that is or becomes a Sanctioned Party shall immediately (i) cancel all access and authorizations issued to such Sanctioned Party and provide written notice to the Vice President of Market Regulation of such cancellations, or (ii) provide written instructions to the Exchange directing the Exchange to assist and coordinate in the cancellation of all access and authorizations for the Sanctioned Party at the Exchange as may be applicable.