



September 13, 2022

Submitted via Portal

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Required Certification AEGIS SEF, LLC
Amendment to Rulebook**

Dear Sir:

Pursuant to Section 40.6(a) of the regulations of the U.S. Commodity Futures Trading Commission (the "CFTC", or the "Commission"), AEGIS SEF, LLC ("AEGIS") hereby notifies the Commission that it has amended its Rulebook, more specifically rule 4.10.2. The rule amendment submission addresses Part (3) of the CFTC's Order of Registration In the Matter of the Application of AEGIS SEF, LLC for Registration as a Swap Execution Facility on July 19, 2022. The amended rule shall become effective 10 days after this submission has been filed or immediately upon approval by the CFTC, whichever occurs first.

A concise explanation and analysis of the rule and its compliance with applicable provisions of the Act, including core principles and the Commission's regulations, is attached hereto as Exhibit A. A copy of the Rulebook is attached under separate cover as Exhibit B. A redlined version of the Rulebook is attached under separate cover as Exhibit C.

AEGIS hereby certifies that: (i) the amended rule complies with the Act and the Commission's regulations thereunder, (ii) a notice and copy of this submission is being concurrently posted on AEGIS's website, and (iii) a notice is being sent to the Participants of AEGIS SEF. There were no substantive opposing views to the amendments to the rule.

Please contact the undersigned at 832-413-5973 or afurman@aegis-hedging.com with any questions regarding this matter.

Andrew Furman

Andrew Furman
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Exhibit A – Explanation

Amendment and Explanation	Supporting Rule / Core Principle
<p>Rule 4.10.2 – Confirmation of SEF Contracts</p> <ul style="list-style-type: none"> • Clarification of when a Trade Communication will legally supersede Terms Incorporated by Reference • Added - Upon request from the Commission, AEGIS-SEF shall request from the counterparties to a SEF Contract a copy of all Terms Incorporated by Reference and AEGIS-SEF shall provide such documents to the Commission as soon as they are available. 	<ul style="list-style-type: none"> • 37.6(b) - CFTC Order of Registration In the Matter of the Application of AEGIS SEF, LLC for Registration as a Swap Execution Facility- July 19, 2022 • Core Principle 5: Ability to Request Information • Core Principle 10: Recordkeeping and Reporting



Exhibit B – AEGIS SEF Rulebook

(attached under separate cover)

Exhibit C – AEGIS SEF Rulebook - Redline

(attached under separate cover)