

# SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: September 11, 2017

**IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.**

## ORGANIZATION

Seed SEF LLC

## FILING AS A:

DCM

SEF

DCO

SDR

ECM/SPDC

## TYPE OF FILING

### • Rules and Rule Amendments

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

### • Products

- Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

## RULE NUMBERS

See Schedule A attached hereto.

## DESCRIPTION

As Schedule A indicates, most of the rule changes are simply a result of reorganizing the order in which the rules appear in the rulebook so that the order reflects a more logical structure based on substance and/or sequencing. Schedule A cross references each new rule number with its predecessor rule, if any. In addition, the revised rules incorporate grammatical and typographical corrections throughout the rulebook. These amended rules will be effective September 26, 2017.

**Schedule A to  
Submission Cover Sheet dated September 11, 2017  
Seed SEF**

Amended Rule Number	Former Rule Number (if any) and/or Description of Rule Change
316	New rule
405	“Clients” changed to “Customers”
410	Changed to “Reserved”
411 through 413	New rules
501 through 512	601 through 612 (Chapter 5 was “Reserved”)
601 through 603	701 through 703
604	New rule
605	723
606	726
607	721 and 727
608	New rule
611	729
612	714
613	704
614	New rule
615	724
616	706
617	708
618	707 and 709
619	710
620	717
621	718
622	719
623	New rule
624	720
625	716
626	715
627	711
628	712
Chapter 7 (Reserved)	New chapter
801 through 814	901 through 914
901 through 908	1001 through 1008
1001 through 1006	1201 through 1206
1007	1208
1008	1209
1009	1210
1010	1211
1011	1207
Chapter 11	Chapter 13