

# SUBMISSION COVER SHEET

Confidential Treatment has not been requested

Organization Name: Javelin SEF, LLC

Organization Type: SEF

Registered Entity Identifier: 14-10

Submission Number: 1407-2114-3948-28

Submission Date: 07/21/14 02:39:48 PM

Submission Type: Rule - 40.6(a) Rule Certification

Submission Description: Rule amendments relate to Package Trades and setting pre-trade credit check limits for Javelin SEF Participants that are self clearing.

Rule Numbers: definition of Package Trade, 520, 524 and 607