



July 8, 2021

Christopher J. Kirkpatrick
Secretary
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: Cboe Futures Exchange, LLC
Weekly Notification of Rule Amendments
Submission Number CFE-2021-015

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and Regulation 40.6(d)(2)(i) of the regulations promulgated by the Commodity Futures Trading Commission (“CFTC” or “Commission”) under the Act, Cboe Futures Exchange, LLC (“CFE” or “Exchange”) hereby provides a summary notice of a CFE rule amendment made during the week July 5, 2021 (“Amendment”). Exhibit 1 to this submission sets forth the rule changes included in the Amendment. The Amendment became effective on July 8, 2021.

The Amendment made non-substantive revisions to renumber certain rule provisions and to correct cross-references within CFE’s rules. First, the Amendment corrected the numbering of certain provisions within paragraph (e) of CFE Rule 403 (Order Entry and Maintenance of Front-End Audit Trail Information) to eliminate duplicative numbering. Second, the Amendment corrected cross-references to certain paragraphs within Rule 403 contained in CFE Rule 714 (Imposition of Fines for Minor Rule Violations). Rule 403 was recently revised to include additional paragraphs which altered the paragraph numbering within Rule 403. The Amendment corrected cross-references within Rule 714 to reflect the current paragraph numbering of Rule 403 and to reflect the corrected numbering within Rule 403(e) so that certain minor rule violation categories under Rule 714 cross-referenced the correctly numbered rule provisions. The types of violations covered by Rule 714 are not changing, and thus the Exchange considers the applicable fine schedules to have covered these violation types as reflected in the Amendment regardless of the prior rule cross-references within Rule 714.

CFE believes that the Amendment is consistent with the Designated Contract Market (“DCM”) Core Principles under Section 5 of the Act. In particular, CFE believes that the Amendment is consistent with DCM Core Principle 7 (Availability of General Information) in that the Amendment further clarified CFE’s rules by correcting numbering and cross-references within the rules.

CFE believes that the impact of the Amendment will be beneficial to the public and market participants. CFE is not aware of any substantive opposing views to the Amendment. CFE hereby certifies that the Amendment complies with the Act and the regulations thereunder. CFE further

certifies that CFE has posted a notice of this submission on CFE's Web site (https://markets.cboe.com/us/futures/regulation/rule_filings/cfe/) concurrent with the filing of this submission with the Commission.

Questions regarding this submission may be directed to Arthur Reinstein at (312) 786-7570 or Michael Margolis at (312) 786-7153. Please reference our submission number CFE-2021-015 in any related correspondence.

Cboe Futures Exchange, LLC

/s/ Michael Mollet

By: Michael Mollet
Managing Director

EXHIBIT 1

The Amendment, marked to show additions in underlined text and deletions in ~~stricken~~ text, consists of the following:

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Cboe Futures Exchange, LLC Rules

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403. Order Entry and Maintenance of Front-End Audit Trail Information

(a) - (d) No changes.

(e) Each Bulk Message must contain the following information: (i) Quote Update ID; (ii) EFID; (iii) Order Entry Operator ID; (iv) Clearing Corporation origin code (C for Customer or F for Firm); ~~(v)~~ Customer Type Indicator code; ~~(vi)~~ manual Order indicator; ~~(vii)~~ account designation (which shall be the account number of the account of the party for which the Quotes in the Bulk Message were placed, except that a different account designation may be included in the case of a Quote that is a bunched Order processed in accordance with Rules 406(g) and 605 or in the case of a Quote for which there will be a post-trade allocation of the resulting trade(s) to a different clearing member); ~~(viii)~~ at least one Quote; and ~~(ix)~~ such additional information as may be prescribed from time to time by the Exchange.

(f) - (i) No changes.

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714. Imposition of Fines for Minor Rule Violations

(a) - (e) No changes.

(f) The following is a list of the rule violations subject to, and the applicable fines that may be imposed by the Exchange pursuant to, this Rule 714:

(i) No changes.

(ii) **Failure to Identify Correct Customer Type Indicator Code. (Rule 403(b)(x) and Rule ~~403(e)(iv)~~403(e)(v))**

<i>Number of Cumulative Violations in Any Twelve (12) Month Rolling Period</i>	<i>Fine Amount</i>
First Offense.....	Letter of Caution
Second Offense.....	\$2,500
Third Offense.....	\$5,000
Fourth Offense.....	\$7,500
Subsequent Offenses.....	\$10,000

(iii) **Failure to Provide Correct Account Designation. (Rule 403(b)(xii) and Rule ~~403(e)(vi)~~403(e)(vii))**

<i>Number of Cumulative Violations in Any Twenty-Four (24) Month Rolling Period</i>	<i>Fine Amount</i>
First Offense.....	Letter of Caution
Second Offense.....	\$2,500
Subsequent Offenses.....	\$10,000

(iv) **Failure to Comply with Order Form Preparation and Recordkeeping Requirements Relating to Orders Which Cannot Be Immediately Entered into the CFE System. (Rule 403(fh))**

Failure to Maintain Front-End Audit Trail Information for All Electronic Orders Entered into the CFE System, Including Order Modifications and Cancellations. (Rule 403(gi))

<i>Number of Cumulative Violations in Any Twelve (12) Month Rolling Period</i>	<i>Fine Amount</i>
First Offense.....	Letter of Caution
Second Offense.....	\$2,500
Subsequent Offenses.....	\$10,000

(v) - (xvii) No Changes.

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