

Date:

DTCC Data Repository (U.S.) LLC
55 Water Street
New York, NY 10041-0099
Attn: DDR Onboarding

RE: Reporting Requirements for End-User Clearing Exception

This letter (“Attestation Letter”) is being submitted on behalf of (the “Firm”), whose LEI is , in connection with the End-User Bulk Swap-by-Swap Spreadsheet (the “spreadsheet”) submitted by the Firm from time to time containing the required reporting information for each Electing Counterpart¹, contained therein. This information applies to each swap entered into between the Firm and Electing Counterparty(s) that is subject to an exception or exemption from the mandatory clearing requirement provided by CFTC Regulations §50.50 and §50.51, and applicable CFTC Letters, and is in lieu of the Electing Counterparty providing an Annual End-User Clearing Exception/Exemption Form as specified in CFTC Regulations §50.50(b)(2) and §50.51(c), and any reporting conditions outlined in applicable CFTC Letters . Requests for additional information related to the spreadsheet or specific swaps for which the End-User Clearing Exception has been elected should be directed to:

Name:
Title:
Mailing Address:
Telephone:
Email:

The Firm hereby represents that it has a reasonable basis to believe, based on representations from the Electing Counterparty and/or through other means, that each Electing Counterparty meets the requirements for an exception or exemption to the mandatory clearing requirement. If the Firm determines that the information provided on the attached spreadsheet has changed or is incorrect, the Firm will promptly provide DTCC Data Repository (U.S.) LLC (“DDR”) with an updated or corrected spreadsheet. Additionally, the Firm will provide to DDR an updated spreadsheet and Attestation Letter on at least an annual basis for Electing Counterparties for which it retains a reporting obligation.

Sincerely,

Name:
Title:

¹ Electing Counterparty has the meaning assigned to it in CFTC Regulation Section 50.50(a)(iii)..