CX Futures Exchange, L.P. Rule Amendment Submission #2021-04 June 25, 2021

- 1. The text of the proposed changes to the addendum to the CX Futures Exchange, L.P. Compliance Manual is appended as Confidential Attachment A, which is submitted under a Petition for Confidential Treatment.
- 2. The proposed effective date is ten business days after receipt by the Commodity Futures Trading Commission ("CFTC" or "Commission") of this submission.
- 3. Attached, please find a certification that: (1) these amendments comply with the Commodity Exchange Act, and the Commission's regulations thereunder; and (2) concurrent with this submission, CX Futures Exchange, L.P. ("CX") posted on its website a notice of this submission.
- 4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule appears below.
- 5. There were no opposing views expressed regarding these amended rules.
- 6. Confidential treatment is requested.

CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND EFFECT OF THE CERTIFIED RULE AND ITS COMPLIANCE WITH APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION'S REGULATIONS THEREUNDER

Pursuant to Commission Rule 40.6(a)(7)(vi), the following is a concise explanation and analysis of the operation, purpose, and effect of the amended rules.

Commission Rule 38.251(g) requires designated contract markets to "...promptly notify Commission staff of any significant market disruptions on its electronic trading platform(s) and provide timely information on the causes and remediation." As such, CX is hereby amending its Compliance Manual Addendum on Communications with the CFTC to spell out more explicitly its policies with regard to notification in the event of a market disruption.

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. §7A-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the amended Rules below comply with the Commodity Exchange Act, and the Commodity Futures Trading Commission's regulations thereunder; and
- (2) concurrent with this submission, CX Futures Exchange, L.P. posted on its website: (a) a notice of pending certification of the above Rules with the Commission; and (b) a copy of this submission.

By: Nolan Glantz

Nolan Glanty

Title: COO Date: 6/25/2021