June 23, 2021

## **VIA COMMISSION PORTAL**

Christopher J. Kirkpatrick Secretary, Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: Weekly Notification of Rule Amendments [Reg. 40.6(d))]
LedgerX LLC Submission No. 21-17

Dear Mr. Kirkpatrick:

LedgerX LLC ("<u>LedgerX</u>") hereby submits to the Commodity Futures Trading Commission (the "Commission"), pursuant to Section 5c(c) of the Commodity Exchange Act (the "CEA") and Commission Regulation 40.6(d), this weekly notification following rule amendments made effective during the week of June 21, 2021. The new Fee Schedule attached hereto as Appendix B became effective on June 23, 2021.

The changes and amendments to the LedgerX Fee Schedule are reflected in a redlined copy designated as Appendix A. The final version of the revised LedgerX Fee Schedule is attached as Appendix B.

## **Compliance with Core Principles**

LedgerX has reviewed the core principles ("Core Principles") set forth in the Commodity Exchange Act and has concluded the new Fee Schedule may most directly implicate the following Core Principles.

The new fee schedule is consistent with **SEF Core Principle 2**, and CFTC Regulation 37.2020(a) in that the criteria governing access are impartial, transparent, and applied in a fair and non-discriminatory manner. It is also consistent with **DCM Core Principle 2** and CFTC Regulation 38.151(b) in that it provides persons with trading privileges with impartial access to the LedgerX market, including access criteria that are impartial, transparent, and applied in a non-discriminatory manner. It is also consistent with **DCO Core Principle C** and CFTC Regulation 39.12 in that it provides for fair and open access for participation.

## **Certification**

LedgerX certifies that the Fee Schedule complies with the CEA and Commission Regulations thereunder. LedgerX additionally certifies that it has concurrently posted a copy of

## **□**LedgerX

this submission letter and the attachments hereto on LedgerX's website at <a href="https://ledgerx.com/">https://ledgerx.com/</a>. LedgerX is not aware of any or substantive opposing views.

Please contact the undersigned at <u>gc@ledgerx.com</u> if you have any questions or you would otherwise like to discuss this further.

Sincerely,

Brian G. Mulherin

General Counsel, LedgerX

Brian A. Mulhirin

