| <i>IMPORTANT</i> : Check box if Confidential Treatment is re- Registered Entity Identifier Code (optional): <u>19-228 (1 of 2)</u> | questeu |
|---|--------------------------------------|
| Organization: <u>Chicago Mercantile Exchange Inc. ("CME")</u> | |
| Filing as a: DCM SEF DCO | SDR |
| Please note - only ONE choice allowed. | |
| Filing Date (mm/dd/yy): <u>06/24/2019</u> Filing Description: <u>A</u> | mendments to the Disclaime |
| Rules of Five (5) Fertilizer Contracts | |
| SPECIFY FILING TYPE | |
| Please note only ONE choice allowed per Submission. | |
| Organization Rules and Rule Amendments | |
| Certification | § 40.6(a) |
| Approval | § 40.5(a) |
| Notification | § 40.6(d) |
| Advance Notice of SIDCO Rule Change | § 40.10(a) |
| SIDCO Emergency Rule Change | § 40.10(h) |
| Rule Numbers: New Product | t C hianian |
| New Product Please note only ONE product Certification Certification | - |
| Certification Security Futures | § 40.2(a) |
| | § 41.23(a) § 40.2(d) |
| Certification Swap Class | § 40.2(d) § 40.3(a) |
| Approval Approval Security Futures | § 40.5(a) § 41.23(b) |
| Novel Derivative Product Notification | |
| Swap Submission | § 40.12(a) § 39.5 |
| Product Terms and Conditions (product related Rules and | 0 |
| Certification | § 40.6(a) |
| Certification Made Available to Trade Determination | § 40.6(a) |
| Certification Security Futures | § 41.24(a) |
| Delisting (No Open Interest) | § 40.6(a) |
| Approval | § 40.5(a) |
| Approval Made Available to Trade Determination | § 40.5(a) |
| Approval Security Futures | § 41.24(c) |
| Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| "Non-Material Agricultural Rule Change" | § 40.4(a), § 40.5(a) § 40.4(b)(5) |
| Notification | § 40.6(d) |
| Notification | ş 40.0(u) |



June 24, 2019

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: CFTC Regulation 40.6(a) Certification. Amendments to the Disclaimer Rules of Five (5) Fertilizer Contracts. CME Submission No. 19-228 (1 of 2)

Dear Mr. Kirkpatrick:

Chicago Mercantile Exchange Inc., in its capacity as a derivatives clearing organization ("DCO") ("CME") and The Board of Trade of the City of Chicago, Inc., in its capacity as a designated contract market ("DCM") ("CBOT") (collectively, "the Exchanges") hereby notify the Commodity Futures Trading Commission ("CFTC" or "Commission") they are self-certifying amendments to the disclaimer rules of the five (5) fertilizer contracts provided in the table below (the "Contracts") (collectively, the "Rule Amendments") effective on Sunday, July 14, 2019 for trade date Monday, July 15, 2019 as provided in the table below.

| Contract Title | Rulebook Chapter | Commodity Code |
|---|---------------------|-------------------|
| Urea (Granular) FOB US Gulf Coast Swaps (Clearing Only) (CME) | 45 | UFN |
| UAN FOB NOLA Swaps (Clearing Only) (CME) | 46 | UFU |
| DAP FOB NOLA Swaps (Clearing Only) (CME) | 48 | DFL |
| Urea (Granular) FOB Egypt Swaps (Clearing Only) (CME) | 50 | UFG |
| Urea (Granular) FOB Middle East Futures (CBOT) | 44 | UME |

The Rule Amendments do not impact the economic value of the Contracts and are provided in Appendix A with additions <u>underscored</u> and deletions overstruck. The product chapters of the Contracts are housed in the CBOT Rulebook.

The Exchanges reviewed the designated contract market core principles ("DCM Core Principles") and the derivatives clearing organization core principles ("DCO Core Principles") (collectively, the "Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified the following Core Principles as being potentially impacted by the Rule Amendments:

- <u>Availability of General Information (DCM)</u>: The Rule Amendments will be posted publicly on the CME Group website in satisfaction of these core principles. In addition, a Special Executive Report ("SER") will be disseminated to market participants. The SER will also be posted in the CME Group website.
- <u>Public Information (DCO)</u>: The Rule Amendments will be posted publicly on the CME Group website in satisfaction of these core principles. In addition, a Special Executive Report ("SER") will be disseminated to market participants. The SER will also be posted in the CME Group website.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchanges hereby certify that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchanges' website at http://www.cmegroup.com/market-regulation/rule-filings.html.

Should you have any questions concerning the above, please contact the undersigned at 212-299-2200 or via e-mail at <u>CMEGSubmissionInquiry@cmegroup.com</u>.

Sincerely,

/s/ Christopher Bowen Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – CBOT Rulebook

300 Vesey Street New York, NY 10282 T 212 299 2200 F 212 299 2299 christopher.bowen@cmegroup.com cmegroup.com

APPENDIX A

CBOT Rulebook

(additions <u>underscored</u>; deletions struck through) Chapter 45 Urea (Granular) FOB US Gulf Coast Swaps (Clearing Only)

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Chapter 46 UAN FOB NOLA Swaps (Clearing Only)

* * *

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Chapter 48 DAP FOB NOLA Swaps (Clearing Only)

* * *

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Chapter 50 Urea (Granular) FOB Egypt Swaps (Clearing Only)

* * *

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Chapter 44 Urea (Granular) FOB Middle East Futures

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