

June 2, 2021

Submitted via CFTC Portal

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: DW SEF LLC – Rule Changes

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(a) of the regulations of the Commodity Futures Trading Commission (the “Commission”), DW SEF LLC (“DW SEF”) hereby submits amendments to its IRS Fee Schedule, which has been amended to reflect updates to certain terms and fees. In addition, DW SEF hereby submits an Introducing Agent Fee Schedule, which is being introduced for the first time. The amendments will become effective on June 17, 2021, no fewer than ten business days from the date hereof. Pursuant to Commission Regulations 40.8 and 145.9(d), attached as Appendix A are the updates to the IRS Fee Schedule with the additions and deletions indicated in redline format and attached as Appendix B is the Introducing Agent Fee Schedule. In connection with this submission, DW SEF hereby notifies the Commission that:

1. Pursuant to CFTC Regulations 40.8 and 145.9, DW SEF is seeking to keep certain information confidential because it would otherwise reveal trade secrets or confidential commercial or financial information and has posted a notice of pending certification with the Commission and a redacted copy of this submission on DW SEF’s website.
2. DW SEF certifies that the Rules comply with the Act and the Commission’s regulations thereunder, including Core Principle 2 (Compliance with rules), as the Fee Schedule is a rule of the SEF; and
3. No substantive opposing views with respect to the Rules were expressed to DW SEF by its governing board or committee members, members of DW SEF or market participants.

Should you have questions regarding this submission, please contact the undersigned at (646) 560-7223 or at Gregory.compa@tradeweb.com.

Very truly yours,



Gregory Compa
Chief Compliance Officer

APPENDIX A

IRS Fee Schedule

(Blackline and Clean Copies)

[REDACTED]

APPENDIX B

Introducing Agent Fee Schedule

(Clean Copy)

[REDACTED]

APPENDIX A

IRS Fee Schedule

(Blackline and Clean Versions)

APPENDIX B

Introducing Agent Fee Schedule

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