

Thomson Reuters (SEF) LLC 3 Times Square New York, NY 10036

May 23, 2018

## **Submitted Via Portal**

Commodity Futures Trading Commission Three Lafayette Centre 1155 21<sup>st</sup> Street, NW Washington, DC 20581

## Re: <u>Submission 18-01: Thomson Reuters (SEF) LLC – Amendments to Rulebook</u>

Ladies and Gentlemen:

Pursuant to Commodity Futures Trading Commission (the "<u>Commission</u>") Regulation 40.6(a), Thomson Reuters (SEF) LLC ("<u>TR SEF</u>") hereby self-certifies the amendments to its Rulebook identified in <u>Exhibit A</u>. The Rulebook has been amended in order to clarify certain points in TR SEF Chapter 5. The amended Rulebook will become effective on June 7, 2018.

A concise explanation and analysis of the amendments to the Rulebook and their compliance with applicable provisions of the Commodity Exchange Act (the "<u>CEA</u>") is attached hereto as <u>Exhibit A</u>, and the amendments showing changes against the version submitted to the Commission in Submission 17-05 on December 1, 2017, is attached hereto as <u>Exhibit B</u>.

TR SEF hereby certifies that: (i) the amended Rulebook complies with the CEA and the Commission's regulations thereunder, and (ii) a notice and copy of this submission is being concurrently posted on TR SEF's website. No substantive opposing views were expressed with respect to the amendments to the Rulebook.

Please contact me using the information below if you have any questions regarding these amendments to the Rulebook.

Wayne Pestone 1333 H Street, NW Suite 700 Washington, DC 20005 Phone: (202) 377-7975 Email: wayne.pestone@thomsonreuters.com Sincerely,

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Wayne Pestone Chief Compliance Officer

cc: Nancy Markowitz, Deputy Director, Division of Market Oversight, Exchange and Data Repository Branch

Roger Smith, Special Counsel, Division of Market Oversight (Commodity Futures Trading Commission)

## **EXHIBIT A** Explanation and Analysis of Material Amendments

**Summary**: The Rulebook has been amended in order to clarify a certain point in TR SEF Chapter 5. The amendments showing changes against the version submitted to the Commission in Submission 17-05 on December 1, 2017, is attached hereto as <u>Exhibit B</u>.

Capitalized terms used but not otherwise defined herein have the meanings assigned to them in the Rulebook.

Amendment and Explanation	<b>Relevant Law, Regulation or Guidance</b>
Rule 511 Amended language to specify information regarding Orders made available to Participants in accordance with the provisions of SEF Rule 1206	Core Principle 5

## EXHIBIT B Rulebook Amendments