

# SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: May 24, 2018

**IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.**

## ORGANIZATION

ICE Trade Vault, LLC

## FILING AS A:

DCM

SEF

DCO

SDR

ECM/SPDC

## TYPE OF FILING

### • Rules and Rule Amendments

Certification under § 40.6 (a) or § 41.24 (a)

“Non-Material Agricultural Rule Change” under § 40.4 (b)(5)

Notification under § 40.6 (d)

Request for Approval under § 40.4 (a) or § 40.5 (a)

Advance Notice of SIDCO Rule Change under § 40.10 (a)

### • Products

Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)

Swap Class Certification under § 40.2 (d)

Request for Approval under § 40.3 (a)

Novel Derivative Product Notification under § 40.12 (a)

## RULE NUMBERS

ICE Trade Vault, LLC Participant Agreement

## DESCRIPTION

ICE Trade Vault, LLC has amended the ICE Trade Vault Participant Agreement to revise certain provisions related to EU data privacy and OFAC requirements. The amended Participant Agreement is scheduled to be effective on June 8, 2018.