

Thomson Reuters (SEF) LLC 3 Times Square New York, NY 10036

May 10, 2016

## **Submitted Via Portal**

Commodity Futures Trading Commission Three Lafayette Centre 1155 21<sup>st</sup> Street, NW Washington, DC 20581

Re: Submission 16-02: Thomson Reuters (SEF) LLC – Amendments to Rulebook

## Ladies and Gentlemen:

Pursuant to Commodity Futures Trading Commission (the "<u>Commission</u>") Regulation 40.6(a), Thomson Reuters (SEF) LLC ("<u>TR SEF</u>") hereby self-certifies the amendments to its Rulebook identified in <u>Exhibit A</u>. The Rulebook has been amended to account for a new no-action letter issued by the Division of Market Oversight regarding SEF confirmations. The amended Rulebook will become effective on May 24, 2016.

A concise explanation and analysis of the amendments to the Rulebook and their compliance with applicable provisions of the Commodity Exchange Act (the "<u>CEA</u>") is attached hereto as <u>Exhibit A</u>, and the amendments showing changes against the version submitted to the Commission in Submission 16-01A on February 24, 2016, is attached hereto as Exhibit B.

TR SEF hereby certifies that: (i) the amended Rulebook complies with the CEA and the Commission's regulations thereunder, and (ii) a notice and copy of this submission is being concurrently posted on TR SEF's website. No substantive opposing views were expressed with respect to the amendments to the Rulebook.

Please contact me using the information below if you have any questions regarding these amendments to the Rulebook.

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Sincerely,

Wayne Pestone

Chief Compliance Officer

cc: Nancy Markowitz, Deputy Director, Division of Market Oversight, Exchange and Data Repository Branch

Roger Smith, Special Counsel, Division of Market Oversight (Commodity Futures Trading Commission)

## **EXHIBIT A Explanation and Analysis of Material Amendments**

**Summary**: The Rulebook has been amended to account for a new no-action letter issued by the Division of Market Oversight regarding SEF confirmations. The amendments showing changes against the version submitted to the Commission in Submission 16-01A on February 24, 2016, is attached hereto as Exhibit B.

Capitalized terms used but not otherwise defined herein have the meanings assigned to them in the Rulebook.

Amendment and Explanation	Relevant Law, Regulation or Guidance
Rule 402(a)(5)(i)	CFTC Regulation 37.6(b); Core Principle 10
Revised to refer to new CFTC No-Action Letter 16-25 (regarding SEF confirmation and recordkeeping requirements).	Core rimcipie 10

## **EXHIBIT B Rulebook Amendments**