Eurex Clearing AG ECAG Rule Certification 035-21 April 22, 2021

- 1. The text of the proposed amendments to the Price List ("**Price List**") of Eurex Clearing is appended as Attachment A. Any additions are underlined and any deletions are struck through.
- 2. The date of intended implementation is May 17, 2021.
- 3. Attached please find a certification that: (1) these amendments comply with the Commodity Exchange Act (the "Act"), and the Commission's regulations thereunder; and (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing to its website at: https://www.eurex.com/ec-en/rules-regs/regulations/cftc-dco-filings.
- 4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule appears below.
- 5. There were no opposing views expressed regarding these amended rules.
- 6. Confidential treatment is not requested.

CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND EFFECT OF THE PROPOSED RULE AND ITS COMPLIANCE WITH APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION'S REGULATIONS THEREUNDER

Eurex Clearing is amending the Price List to reflect the inclusion of two ETF options and three ETC options newly introduced at Eurex Deutschland and the harmonization of transaction fees for ETC options and futures. Eurex Clearing will provide clearing services for this contract. For avoidance of doubt, Eurex Clearing will clear these contracts outside the scope of its DCO license, which applies only to swaps. In addition, these contracts will not be available for direct access trading from the United States at this time.

Further information regarding the operation, purpose, and effect of the proposed amendments is discussed in the attached Eurex Clearing Circular 035/21, which is appended as Attachment A.

Eurex Clearing has identified the following derivatives clearing organization ("**DCO**") Core Principle as potentially being relevant to the above amendments:

1. <u>DCO Core Principle C (Participant and Product Eligibility)</u>: The proposed amendments will comply with DCO Core Principle C because the amendments list new contracts, and all products cleared by Eurex Clearing under its DCO license will continue to be subject to appropriate standards in compliance with this Core Principle.

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. §7a-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the amendments comply with the Commodity Exchange Act, and the Commission's regulations thereunder; and
- (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing to its website at: https://www.eurex.com/ec-en/find/circulars.

/s/ Eric Seinsheimer

By: Eric Seinsheimer

Title: US CCO, Eurex Clearing AG

Dated: April 22, 2021