Registered Entity Identifier Code (optional): <u>16-147</u>	
Organization: <u>The Board of Trade of the City of Chicago, In</u>	
Filing as a: CM SEF DCO	SDR
Please note - only ONE choice allowed. Filing Date (mm/dd/yy): <u>April 15, 2016</u> Filing Descriptior	• Modifications to the CB(
Fee Schedule for E-mini Dow (\$5) Futures Basis Trade at I	
SPECIFY FILING TYPE	
Please note only ONE choice allowed per Submission.	
Organization Rules and Rule Amendments	
Certification	§ 40.6(a)
Approval	§ 40.5(a)
Notification	§ 40.6(d)
Advance Notice of SIDCO Rule Change	§ 40.10(a)
SIDCO Emergency Rule Change	§ 40.10(h)
Rule Numbers: <u>N/A</u>	
New Product Please note only ONE	product per Submission.
Certification	§ 40.2(a)
Certification Security Futures	§ 41.23(a)
Certification Swap Class	§ 40.2(d)
Approval	§ 40.3(a)
Approval Security Futures	§ 41.23(b)
Novel Derivative Product Notification	§ 40.12(a)
Swap Submission	§ 39.5
Official Product Name:	
Product Terms and Conditions (product related Rules and	Rule Amendments)
Certification	§ 40.6(a)
Certification Made Available to Trade Determination	§ 40.6(a)
Certification Security Futures	§ 41.24(a)
Delisting (No Open Interest)	§ 40.6(a)
Approval	§ 40.5(a)
Approval Made Available to Trade Determination	§ 40.5(a)
Approval Security Futures	§ 41.24(c)
Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)
"Non-Material Agricultural Rule Change"	§ 40.4(b)(5)
Notification	§ 40.6(d)



April 15, 2016

## VIA ELECTRONIC PORTAL

Christopher Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

## RE: Modifications to the CBOT Fee Schedule for E-mini Dow (\$5) Futures Basis Trade at Index Close ("BTIC") CBOT Submission No. 16-147

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") hereby notifies the Commodity Futures Trading Commission ("CFTC" or "Commission") that it will amend the fee schedule for E-mini Dow (\$5) Futures Basis Trade at Index Close ("BTIC") ("Fee Schedule"). The modifications to the Fee Schedule will be effective on May 1, 2016.

The modified Fee Schedule is set forth in Exhibit 1 below, with additions <u>underlined</u> and deletions <del>overstruck</del>.

The Exchange reviewed the designated contract market ("DCM") core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA"). During the review, Exchange staff identified the following Core Principles as potentially being impacted:

<u>Availability of General Information</u>: As required by this Core Principle, the Exchange will publish the modified Fee Schedule on the CME Group website to ensure that market participants have been advised of the revised fees.

<u>Financial Resources</u>: The proposed fee changes will not impact the Exchange's ability to discharge its financial, operational, or managerial responsibilities as a DCM.

The Exchange certifies that the proposed modifications to the Fee Schedule comply with the CEA and the regulations thereunder. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <a href="http://www.cmegroup.com/market-regulation/rule-filings.html">http://www.cmegroup.com/market-regulation/rule-filings.html</a>.

If you require any additional information regarding this submission, please e-mail <u>CMEGSubmissionInquiry@cmegroup.com</u> or contact Rachel Johnson at 312-466-4393 or via e-mail at <u>Rachel.Johnson@cmegroup.com</u>. Please reference our CBOT Submission No. 16-147 in any related correspondence.

Sincerely,

/s/ Christopher Bowen Managing Director and Chief Regulatory Counsel

Attachment: Fee Schedule modifications (blackline format)

## EXHIBIT 1

## **CBOT Fee Schedule**

(additions underlined and deletions overstruck)

Account Owner	Trading Rights of Individual Executing Trade	Venue/Transaction Type	E-mini Dow (\$5) Futures BTIC
Rule 106.R Electronic Corporate Member Firms	Member, Delegate, or Non-member	Globex – BTIC	<del>\$1.05</del>