
AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

9. Service Fees for Collaterals, Default Fund Contributions and Funds provided for the Fulfilment of Own Funds or equivalent Regulatory Capital Requirements

9.1 Service Fees for Eligible Margin Assets in the Form of Cash

For Eligible Margin Assets in the form of cash delivered as cover, a fee will be charged. The fee will be charged on the entire Eligible Margin Assets in the form of cash delivered by Clearing Members.

The fee for Eligible Margin Assets in the form of cash delivered as cover is determined according to the currency in which the delivery of Eligible Margin Assets in form of cash by the Clearing Member occurs. The respective fee therefore amounts to in case of cash in:

CHF: 0.20% p.a.

EUR: 0.20% p.a.

GBP: 0.20% p.a.

USD: 0.50% p.a.

The calculation of the fee will be performed act / 365 based on the value of the Eligible Margin Assets in the form of cash delivered and recorded in the respective internal margin account of the Clearing Member/~~Basics~~ Clearing Member/~~OTC-IRS-FCM~~ Clearing Member.

Eurex Clearing AG grants a discount of 50 per cent on the fee with respect to Eligible Margin Assets in the form of cash provided as cover for Eurex Transactions booked on accounts within an RC Transaction Accounts Group, an account within an SC Transaction Accounts Group, an account within an ICM SC Transaction Accounts Group or on an ICM Transaction Account of a Registered Customer. In order to receive the discount, it is required that the respective Clearing Member has set up a separate internal margin account with Eurex Clearing AG and assigned the relevant accounts of the respective RC Transaction Accounts Group and/or SC Transaction Accounts Group to it for the purpose of a calculating the discounts (such account hereinafter referred to as “**ETD Collateral Incentive Pool**”). Such discount will also be granted with respect to

Eligible Margin Assets in the form of cash provided as cover for OTC Interest Rate Derivatives Transactions booked on an accounts within an RC Transaction Accounts Group, an account within an SC Transaction Accounts Group, an account within an ICM SC Transaction Accounts Group or on an ICM Transaction Account of a Registered Customer, provided that the respective Registered Customer or Specified Client is also active in Eurex Transactions under the same member ID/in the same account and both Transaction Types, OTC Interest Rate Derivatives Transactions and Eurex Transactions, are allocated to the same ETD Collateral Incentive Pool. For the avoidance of doubt, under these circumstances it is permissible that a single RC Own-Transaction Accounts Group or SC Transaction Accounts Group or any Transaction Account within such Transaction Accounts Groups be assigned as the only Transaction Accounts Group/Transaction Account to ~~the~~ separate internal margin account, notwithstanding Chapter I Part 2 Subpart C Number 4.2 (iii) of the Clearing Conditions of Eurex Clearing AG.

ECAG principally reserves the right to charge a lower fee.

If the Clearing Member has chosen to use a Settlement Bank for payments in EUR or CHF pursuant to Chapter I Part 1 Number 2.1.2 (4) (b) (ff) of the Clearing Conditions of Eurex Clearing AG, a fee shall be charged per Clearing Member as specified below.

The settlement bank fee of 0.001% will be charged on a monthly basis on the full amounts settled through the Settlement Bank to cover cash obligations according to Chapter I Part 1 Number 1.4.1 of the Clearing Conditions of Eurex Clearing AG and is determined according to the corresponding clearing currency ("Settlement Bank Fee"). A monthly minimum Settlement Bank Fee (Floor) of EUR 3,000 (equivalent to CHF 3,300) shall apply per Clearing Member and shall be charged if a Settlement Bank is used for one or more currencies at least for one day of the respective calendar month.

9.2 Service Fees for Eligible Margin Assets in the Form of Securities

For Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) delivered as cover, a fee in the corresponding clearing currency will be charged daily in the amount of 0.05 per cent p.a. if the ratio of Eligible Margin Assets in the form of cash to the margin requirements is equal to or exceeds 30:100, 0.075 per cent p.a. if the ratio of Eligible Margin Assets in the form of cash to the margin requirements is between 20:100 and 29.99:100, and 0.10 per cent p.a. plus a concentration add-on of 0.10 per cent p.a., if the ratio of Eligible Margin Assets in the form of cash to the margin requirements is between 0:100 and 19.99:100.

The following is not part of the calculation basis, hence no fees will be charged for:

- a) Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) recorded in the margin accounts whose delivery is not required to cover the respective margin requirements,
- b) Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) delivered as cover for margin requirements determined for OTC Interest Rate Derivative Transactions relating to an ~~account within an ICM~~

Transaction Accounts ~~Group~~ of a Registered Customer, an account within an RC Transaction Accounts Group, an account within an ICM SC Transaction Accounts Group or an account within an SC Transaction Accounts Group, provided that (i) OTC Interest Rate Derivatives Transactions are booked on each of such accounts only and (ii) the respective Clearing Member has set up a separate internal margin account for an SC Transaction Accounts Group with Eurex Clearing AG and allocated the respective accounts of such SC Transaction Accounts Group to it for the purpose of calculating the discounts (OTC Collateral Incentive Pool). For the avoidance of doubt, under these circumstances it is permissible that a single SC Transaction Accounts Group or any Transaction Account within such Transaction Accounts Group be assigned as the only Transaction Accounts Group/Transaction Account to ~~the~~ separate internal margin account, notwithstanding Chapter I Part 2 Subpart C Number 4.2 (iii) of the Clearing Conditions of Eurex Clearing AG.

Eurex Clearing AG grants a discount of 50 per cent on the fee with respect to Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) provided as cover on a Basic Clearing Member Own Accounts (as defined in Chapter I Part ~~65~~ Number 5.1 of the Clearing Conditions of Eurex Clearing AG) and for Eurex Transactions and OTC Interest Rate Derivatives Transactions booked on an account within an RC Transaction Accounts Group, an account within an ICM SC Transaction Accounts Group, ~~or an account within an~~ SC Transaction Accounts Group or on an ICM Transaction Account of a Registered Customer. In order to receive the discount, it is required that the respective Clearing Member has set up the ETD Collateral Incentive Pool. For the avoidance of doubt, under these circumstances it is permissible that a single RC ~~Own~~ Transaction Accounts Group or SC Transaction Accounts Group or any Transaction Account within such Transaction Accounts Groups be assigned as the only Transaction Account/Transaction Accounts Group to ~~the~~ separate internal margin account notwithstanding Chapter I Part 2 Subpart C Number 4.2 (iii) of the Clearing Conditions of Eurex Clearing AG.

For Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) which are delivered through the GC Pooling re-use functionality, a fee in the corresponding clearing currency will be charged daily in the amount of 0.03 per cent p.a. The calculation of the fee will be performed $\text{act} / 365$ based on the value of the Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) delivered to cover margin requirements which are recorded in the respective internal margin account of the Clearing Member/~~Basic~~ Clearing Member/~~OTC IRS FCM Clearing Member~~.

9.3 Service Fees for intra-day Exchange of Eligible Margin Assets

In accordance with the specifications determined by ECAG for an intra-day exchange of Eligible Margin Assets in the form of cash as deposited for Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) respectively the intra-day exchange of Eligible Margin Assets in the form of securities or book-entry securities (Wertrechte) as deposited for Eligible Margin Assets in the form of securities or book-

entry securities (Wertrechte) other than those deposited, ECAG shall charge a service fee in the amount of EUR 50.00 or CHF 80.00 for each such exchange.

The respective service fees shall be charged without turnover tax.

9.4 Service Fees for Default Fund Contributions

For Default Fund Contributions in the form of cash Number 9.1 applies *mutatis mutandis*, provided that discounts will not be granted.

For Default Fund Contributions in the form of securities Number 9.2 applies *mutatis mutandis*, provided that discounts will not be granted. The calculation of the fee will thereby be performed act / 365 based on the value of the entire Contributions in the form of securities or book-entry securities (Wertrechte) which are recorded on the internal Default Fund account (i.e. including any Excess Contribution) and instead of the ratio of Eligible Margin Assets in the form of cash to the margin requirement, the ratio of the Contribution in the form of cash amounts to the respective Contribution requirement to the Default Fund is applicable.

9.5 Service Fees for Funds provided for the Fulfilment of Own Funds or equivalent Regulatory Capital Requirements

For funds in the form of cash provided for the fulfilment of own funds or equivalent regulatory capital requirements Number 9.1 applies *mutatis mutandis*, provided that discounts will not be granted.

For funds in the form of securities provided for the fulfilment of own funds or equivalent regulatory capital requirements, no service fees are charged.

[...]
